

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 26, 2015

Reference No.: 3.12
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Rihui Zhang, Chief
Division of Local Assistance

Subject: **QUARTERLY REPORT - LOCAL ASSISTANCE LUMP SUM ALLOCATION FOR THE PERIOD ENDING DECEMBER 31, 2014**

SUMMARY:

As of December 31, 2014, about \$86 million, or 5 percent, of the \$1.6 billion that has been allocated by the California Transportation Commission (Commission) for Federal Fiscal Year (FFY) 2015 has been sub-allocated to 131 local projects. The majority of the sub-allocations (approximately \$67 million) are for 56 projects in the following three categories:

- High Priority Project/Demonstration Project/ Emergency Relief – 28 projects, \$28 million
- Freeway Service Patrol – 11 projects, \$22 million
- Regional Surface Transportation Program (RSTP) – 17 projects, \$17 million

The remaining \$19 million was sub-allocated for 75 projects in other categories (as referenced with an asterisk on the attachment).

BACKGROUND:

The California Department of Transportation's (Department) Division of Local Assistance (DLA) administers the local assistance subvention budget under delegated authority from the Commission. The Commission provides an annual lump sum allocation consistent with each fiscal year's Budget Act. The Commission further delegates to the Department the authority to adjust allocations between categories, and the Department reports to the Commission if transfers in or out of an expenditure category exceed 10 percent of its allocation, per Commission Resolution G-01-08.

The Surface Transportation Program State Match and Exchange is typically sub-allocated later in the year once Congress authorizes the entirety of the annual obligation limitation.

Consistent with historical trends, the Department anticipates using all funds allocated by the Commission for FFY 2015.

Attachment

LOCAL ASSISTANCE LUMP SUM ALLOCATIONS
Period Ending December 31, 2014
(Dollars in Thousands)

Reference No.: 3.12
March 26, 2015
Attachment

Fund Description	Commission Allocation			Total Sub-Allocations			Allocation Balance			Percent Sub-Allocated Total	Number of Total
	State	Federal	Total	State	Federal	Total	State	Federal	Total		
Local Administered & Miscellaneous Programs											
Regional Surface Transportation Program (RSTP) ¹		449,848	449,848		16,528	16,528		433,320	433,320	4%	17
Surface Transportation Program State Match and Exchange	57,849		57,849	0		0 *	57,849		57,849	0%	0
Congestion Mitigation & Air Quality Program ³		449,000	449,000		3,910	3,910 *		445,090	445,090	1%	19
Freeway Service Patrol	25,479		25,479	22,429		22,429	3,050		3,050	88%	11
High Priority Projects/Demonstration Projects/Emergency Relief		227,000	227,000		28,420	28,420		198,580	198,580	13%	28
Miscellaneous	3,250		3,250	999		999 *	2,251	-	2,251	31%	11
Bridge Programs											
Bridge Inspection	735		735	0		0 *	735	0	735	0%	0
National Highway Performance Program (NHPP) & RSTP Bridge ²		295,909	295,909		7,438	7,438 *		288,471	288,471	3%	21
Rail Programs											
Railroad Grade Crossing Maintenance	2,000		2,000	0		0 *	2,000		2,000	0%	0
Railroad Grade Separation	15,000		15,000	2,041		2,041 *	12,959		12,959	14%	1
Safety Programs											
Highway Safety Improvement Program		74,000	74,000		3,911	3,911 *	0	70,089	70,089	5%	23
Total Local Assistance Subvention Funds	104,313	1,495,757	1,600,070	25,469	60,207	85,676	78,844	1,435,550	1,514,394	5%	131

Notes

Allocations for state funds reflect the October 2014 Commission meeting vote, Item 2.5h.

Allocations for federal funds reflect the October 2014 Commission meeting vote, Item 2.5h.

The Allocation Balance is the difference between the Commission Allocations and the Total Sub-Allocations.

Total Sub-Allocations are from InfoAdvantage (accounting system).

In accordance with Commission Resolution G-01-08, the Department reports when total transfers in or out of an expenditure category exceed 10 percent of its allocation.

Assumptions:

* Indicates programs that were not discussed in Reference 3.5

¹ RSTP consists of the Surface Transportation Program subvented to local agencies, less funding set-aside for off-system bridge projects.

² NHPP consists of on-system bridges (about \$221 million) while RSTP bridge projects consist of off-system bridge (about \$75 million).