

Memorandum

Tab 29

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: August 20, 2014

Reference No.: 2.5f.
Information Item

From: NORMA ORTEGA
Chief Financial Officer

Prepared by: Steven Keck, Chief
Division of
Budgets

Subject: **INFORMATIONAL REPORTS – DELEGATED ALLOCATIONS**
EMERGENCY G-11, SHOPP G-03-10 SAFETY, AND MINOR G-05-05

SUMMARY:

Since the period reported at the last California Transportation Commission (Commission) meeting, the California Department of Transportation (Department) allocated or sub-allocated:

- \$4,550,000 for five emergency construction projects, pursuant to the authority granted under Resolution G-11 (2.5f.(1)).
- \$14,263,000 for three safety projects, pursuant to the authority granted under Resolution G-03-10(2.5f.(3)).
- \$2,204,000 for three State Highway Operation and Protection Program (SHOPP) Minor A projects, pursuant to the authority granted under Resolution G-05-05 (2.5f.(4)).

As of July 3, 2014, the Department has allocated or sub-allocated the following for Fiscal Year (FY) 2013-14:

- \$127,251,000 for 100 emergency construction projects.
- \$50,366,000 for 15 safety delegated projects.
- \$29,449,000 for 37 SHOPP Minor A projects.

As of July 1, 2014, the Department has allocated or sub-allocated the following for Fiscal Year (FY) 2014-15:

- \$2,204,000 for three SHOPP Minor A projects.

BACKGROUND:

The Commission, by Resolution G-11, as amended by Resolution G-00-11, delegated to the Department authority to allocate funds to correct certain situations caused by floods, slides, earthquakes, material failures, slip outs, unusual accidents or other similar events.

This authority is operative whenever such an event:

1. Places people or property in jeopardy.

2. Causes or threatens to cause closure of transportation access necessary for:
 - a. Emergency assistance efforts.
 - b. The effective functioning of an area's services, commerce, manufacture or agriculture.
 - c. Persons in the area to reach their homes or employment.
3. Causes either an excessive increase in transportation congestion or delay, or an excessive increase in the necessary distances traveled.

Resolution G-11 authorizes the Department to allocate funds for follow-up restoration projects associated with, and that immediately follow an emergency condition response project. Resolution G-11 also requires the Department to notify the Commission, at their next meeting, whenever such an emergency allocation has been made.

On March 30, 1994, the Commission delegated to the Department authority to allocate funds under Resolution G-11, as amended by Resolution G-00-11, for seismic retrofit projects. This authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

On March 28, 2001, the Commission approved Resolution G-01-10, as amended by Resolution G-03-10, delegating to the Department authority to allocate funds for SHOPP safety and pavement rehabilitation projects. This authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

Resolution G-05-05 authorizes the Department to sub-allocate funds for Minor projects. At the June 2013 meeting, the funding and project listing for the FY 2014-15 Lump Sum Minor Construction Program was approved by the Commission under Resolution FM-13-05.

The SHOPP, as approved by the Commission, is a four-year program of projects with the total annual proposed expenditures limited to the biennial Commission-approved Fund Estimate. The Commission, subject to monthly reporting and briefings, has delegated to the Department the authority to amend programmed projects, the authority to allocate funds for safety projects, and the authority to allocate funds to emergency projects. The Department uses prudent business practices to manage the combination of individual project cost increases and savings to meet Commission policies.

In all cases, the delegated authority allows the Department to begin work without waiting for the next Commission meeting to receive an allocation.

The Department has complied with the National Environmental Policy Act and the California Environmental Quality Act requirements in preparing these projects.

Attachment

2.5 Highway Financial Matters

Project# Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Project ID Adv. Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
2.5f. Informational Report – Emergency G-11 Allocations (2.5f.(1))				
1 \$350,000 Humboldt 01-Hum-200 0.2	Near McKinleyville, at 0.2 mile east of Route 101. Following heavy rain on February 18, 2014, a slipout was discovered below the roadway at this location. In April 2014, erosion had advanced to the roadway shoulder. It was determined that high surface and underground water flow are causing the slipout. This project is to construct a rock slope protection (RSP) embankment, reconstruct damaged roadway, and modify drainage as necessary. Initial G-11 Allocation 06/26/14: \$350,000 (Additional \$30,000 was allocated for right of way purposes).	01-2399 SHOPP/13-14 0114000125 4 0E6904 Emergency	2013-14 302-0042 SHA 20.20.201.130	\$350,000
2 \$1,600,000 Siskiyou 02-Sis-96 37.3/37.7	Near Happy Camp, from 1.3 miles east of Doolittle Creek to 1.0 mile west of Benjamin Creek Road. An unstable slope at this location is causing debris, large rocks and boulders to impact the roadway and pose imminent risk to roadway safety. The initial allocation was to remove and dispose of loose debris, and construct a cable mesh drapery system. This supplemental allocation resulted from the need to conduct additional excavation following a series of winter storms during construction and to increase the size of the cable mesh drapery system Initial G-11 Allocation 01/16/14: \$1,750,000 Supplemental G-11 Allocation 05/13/14: \$1,600,000 Revised Allocation: \$3,350,000	02-3568 SHOPP/13-14 0214000077 4 4G9404 Emergency	2013-14 302-0042 SHA 20.20.201.130	\$1,600,000
3 \$750,000 Kern 06-Ker-99 Var	In Kern and Tulare Counties on Routes 58, 99 and 178 at various locations. On January 17, 2014, the California Governor issued a Proclamation of a State of Emergency due to record dry conditions and very low water supplies and snowpack. The initial allocation was to upgrade highway landscape irrigation controller systems and to implement mulching where watering is drastically reduced in order to conform to the Proclamation order and reduce water usage by 20-50 percent. This supplemental request is due to unforeseen additional irrigation system repairs that were discovered during construction. Initial G-11 Allocation 03/04/14: \$ 2,200,000 Supplemental G-11 Allocation 06/26/14: \$ 750,000 Revised Allocation: \$ 2,950,000	06-6710 SHOPP/13-14 0614000174 4 0S0704 Emergency	2013-14 302-0042 SHA 20.20.201.130	\$750,000
4 \$700,000 Riverside 08-Riv-74 R48.8/49.0	Near Hemet, from east of Willowbrook Road to west of Strawberry Creek. On March 11, 2014, and due to recent rain in the area, the hill adjacent to the highway began to slide and cause uplift to the highway shoulder and travel way. The initial allocation was to excavate and back fill the roadway, replace damaged pavement, remove debris, install under-drains, and provide traffic control as necessary. This supplemental allocation is due to a second slide that occurred within the construction zone on April 7, 2014 requiring an increase in the scope of repair work. Initial G-11 Allocation 03/24/14: \$ 750,000 Supplemental G-11 Allocation 05/12/14: \$ 700,000 Revised Allocation: \$ 1,450,000 (Additional \$200,000 was allocated for right of way purposes).	08-0062E SHOPP/13-14 0814000165 4 1F1204 Emergency	2012-13 302-0042 SHA 20.20.201.130	\$700,000

2.5 Highway Financial Matters

Project# Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Project ID Adv. Phase EA	Budget Year Item # Fund Type Program Code	Amount by Fund Type
2.5f. Informational Report – Emergency G-11 Allocations (2.5f.(1))				
5 \$1,150,000 San Bernardino 08-SBd-395 38.3/42.7	Near Adelanto, from 1.8 miles north of Kramer Hills to 3.3 miles south of Route 58. Currently, this section of Route 395 has three 12-foot wide lanes and 2-foot wide shoulders. Due to recent legal action involving multiple off-the-road type collisions and the Governor's office requesting interim safety improvements implemented, the initial allocation was to re-stripe the road pavement to its original lane configuration of two 12-foot lanes and 8-foot shoulders until a scheduled widening project (08-0N970) programmed for delivery in FY 16-17 is constructed. This supplemental request is due to the need to grind and resurface existing pavement in order to move the roadway crown to correspond with the new roadway centerline.	08-0206V SHOPP/13-14 0814000150 4 1F0404 Emergency	2013-14 302-0042 SHA 20.20.201.130	\$1,150,000
	Initial G-11 Allocation 02/27/14: \$ 800,000 Supplemental G-11 Allocation 07/03/14: \$1,150,000 Revised Allocation: \$1,950,000			

2.5 Highway Financial Matters

Project # Amount County Dist-Co-Rte Postmile	Location Project Description Allocation History	PPNO Program/Year Prgm'd Amount Project ID Adv. Phase EA	Budget Year Item # Fund Type Program Codes	Amount by Fund Type
Informational Report – SHOPP Safety-Resolution G-03-10 Delegated Allocations (2.5f.(3))				
1 \$4,236,000 Lake 01-Lak-20 8.1/8.6	Near Upper Lake, at the intersection of Routes 20 and 29. <u>Outcome/Output:</u> Construct roundabout, replace lighting and install sidewalks and crosswalks to reduce the frequency and severity of collisions. (Construction Support: \$509,000) Allocation date: 06/25/14	01-3073 SHOPP/13-14 \$3,900,000 0100000438 4 488604	2013-14 302-0042 SHA 302-0890 FTF 20.20.201.010	\$85,000 \$4,151,000
2 \$2,850,000 Shasta 02-Sha-299 83.0/84.0	Near Burney, from 1.0 mile west of the Hat Creek Bridge to Hat Creek Bridge. <u>Outcome/Output:</u> Realign and widen roadway to reduce the frequency and severity of collisions. (Construction Support: \$315,000) (EA 02-2E740 combined with Minor A EA 02-4E270, for construction under EA 02-2E74U, Project ID 0214000069.) Allocation date: 06/20/14	02-3433 SHOPP/13-14 \$2,417,000 0200020009 4 2E7404	2013-14 302-0042 SHA 302-0890 FTF 20.20.201.010	\$57,000 \$2,793,000
3 \$7,177,000 Riverside 08-Riv-371 67.7/72.9	Near Anza and Cahuilla, from 0.1 mile east of Cary Road to 0.1 mile east of Kirby Road. <u>Outcome/Output:</u> Construct four foot shoulders in both directions to improve safety and reduce the number and severity of collisions. (Construction Support: \$1,750,000) Allocation date: 07/01/14	08-0258N SHOPP/13-14 \$7,658,000 0800000467 4 0M2004	2013-14 302-0042 SHA 302-0890 FTF 20.20.201.010	\$115,000 \$7,062,000

2.5 Highway Financial Matters

#	Dist	County	Route	Postmiles	Location/Description	EA	Program Code	Original Est. FM-09-06	Allocation
2.5f. Informational Report – Minor Construction Program – Resolution G-05-05 Delegated Allocations (2.5f.(4))									
1	02	Sha	299	83.0/84.0	Extend existing truck lane, remove trees and install drainage systems. Project will be combined with SHOPP project, EA 02-2E740 under combined EA 02-2E74U,	4E2704	201.310	\$784,000	\$784,000
2	10	Sta	108	31.2/31.3	Widen roadway to match existing travel way between Estelle Avenue and Oakdale Road and construct sidewalk and driveway access in the City of Riverbank to comply with Americans with Disabilities Act (ADA) requirements.	0C9004	201.310	\$750,000	\$786,000
3	12	Ora	Var	Var	Upgrade and retrofit changeable message signs with safety cabling and brackets at various locations to improve roadside safety operations during maintenance procedures.	0M3704	201.235	\$634,000	\$634,000