

# Memorandum

**Tab 75**

**To:** CHAIR AND COMMISSIONERS  
CALIFORNIA TRANSPORTATION COMMISSION

**CTC Meeting:** May 21, 2014

**Reference No.:** 2.8a.  
Action Item

**From:** NORMA ORTEGA  
Chief Financial Officer

**Prepared by:** Rihui Zhang  
Division Chief  
Local Assistance

**Subject:** **REQUEST TO EXTEND THE PERIOD OF PROJECT ALLOCATION FOR  
LOCALLY-ADMINISTERED STIP PROJECTS, PER STIP GUIDELINES  
WAIVER 14-14**

## **RECOMMENDATION:**

The California Department of Transportation (Department) recommends the California Transportation Commission (Commission) extend the period of project allocation for the projects 1 and 6 on the attached document for the time periods shown.

## **ISSUE:**

The attached document identifies six projects totaling \$3,068,000 that are programmed in the State Transportation Improvement Program (STIP) for delivery in Fiscal Year 2013-14. The agencies will not be able to request an allocation of funds by the June 30, 2014 deadline. The attachment shows the details of the projects and the explanation for the delays. The project sponsors are requesting extensions, and the regional planning agencies concur.

## **BACKGROUND:**

Current STIP, Resolution G-13-07, Guidelines adopted by the Commission stipulate that funds that are programmed for all components of local grant projects or for Department construction costs are available for allocation only until the end of the fiscal year identified in the STIP. The Commission may approve a waiver to the timely use of funds deadline one time only for up to 20 months in accordance with Section 14529.8 of the Government Code.

Attachment

Time Extension/Waiver – Project Allocation Deadline  
 Local Streets and Roads Projects

Project #	Applicant County PPNO Project Description Reason for Project Delay	Extension Amount (\$ in thousands) PA&ED (Project Approval & Environment Document) PS&E (Plans, Specifications & Estimates) ROW (Right of Way) CON (Construction) <b>TOTAL</b>	Number of Months Requested Extended Deadline CT Recommendation
1	County of Modoc Modoc County PPNO: 2-3269 County Road 1 Rehabilitation	\$0 \$50 \$0 \$0 <b>\$0</b>	12 Months 6/30/2015 Support
<p>The County of Modoc (County) is requesting a 12-month extension to the period of allocation for the Project Approval and Environmental and Document (PA&amp;ED) phase of the project. The County experienced delay due to the County being out of compliance with their single audit report.</p> <p>The County failed to complete the single audits and was placed under federal sanctions in August 2012. The County could not complete the NEPA process until the audits were completed and the federal sanction was lifted in August 2013, which caused a 12-month delay. The County received an Authorization to Proceeds with Preliminary Engineering from Federal Highway Administration in September 2013. The environmental studies took longer than expected due to potential archeological findings associated with the two American Indian tribes within the project site. The County anticipates finalizing the NEPA documentation by June 2015. Therefore, the County is requesting a 12-month extension to June 30, 2015.</p>			
2	County of Glenn Glenn County PPNO: 03-1312 County Road V North	\$0 \$8 \$0 \$0 <b>\$8</b>	6 Months 12/31/2014 Neutral
<p>The County of Glenn (County) is requesting a six-month extension to the period of allocation for the Plans, Specifications &amp; Estimate (PS&amp;E) phase of the County Road V North project. The County has experienced unforeseen delays to the project due to staffing issues.</p> <p>The County experienced a delay to the project due to an unanticipated staff shortage. The Planning and Public Works Agency is currently seeking approval for staff increases. In the interim, the County plans to utilize consultant services to assist in the delivery of the PS&amp;E package. The County anticipates completion of the PS&amp;E phase by December 2014. Therefore, the County is requesting a six-month extension to December 31, 2014.</p>			
3	County of Glenn Glenn County PPNO: 03-1312 County Road V North	\$0 \$0 \$1 \$0 <b>\$1</b>	9 Months 3/30/2015 Neutral
<p>The County of Glenn (County) is requesting a nine-month extension to the period of allocation for the Right of Way (R/W) phase of the County Road V North project. The County has experienced unforeseen delays to the project due to staffing issues.</p> <p>The County experienced a delay to the project due to an unanticipated staff shortage. The Planning and Public Works Agency is currently seeking approval for staff increases. In the interim, the County plans to utilize consultant services to assist in the delivery of the PS&amp;E package. The County anticipates completion of the R/W phase by March 2015. Therefore, the County is requesting a nine-month extension to March 31, 2015.</p>			

Time Extension/Waiver – Project Allocation Deadline  
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4	County of Glenn Glenn County PPNO: 03-1314 Road V South-39 to Route 162 Rehabilitation	\$0 \$8 \$0 \$0 <b>\$8</b>	6 Months 12/31/2014 Neutral
<p>The County of Glenn (County) is requesting a six-month extension to the period of allocation for the Plans, Specifications &amp; Estimate (PS&amp;E) phase of the Road V South-39 to Route 162 Rehabilitation project. The County has experienced unforeseen delays to the project due to staffing issues.</p> <p>The County experienced a delay to the project due to an unanticipated staff shortage. The Planning and Public Works Agency is currently seeking approval for staff increases. In the interim, the County plans to utilize consultant services to assist in the delivery of the PS&amp;E package. The County anticipates completion of the PS&amp;E phase by December 2014. Therefore, the County is requesting a six-month extension to December 31, 2014.</p>			
5	County of Glenn Glenn County PPNO: 03-1314 Road V South-39 to Route 162 Rehabilitation	\$0 \$0 \$1 \$0 <b>\$1</b>	9 Months 3/30/2015 Neutral
<p>The County of Glenn (County) is requesting a nine-month extension to the period of allocation for the Right of Way (RW) phase of the Road V South-39 to Route 162 Rehabilitation project. The County experienced delays to the project due to staffing issues.</p> <p>The County experienced a delay to the project due to an unanticipated staff shortage. The Planning and Public Works Agency is currently seeking approval to increase staff. In the interim, the County plans to utilize consultant services to assist in the delivery of the PS&amp;E package. The County anticipates completion of the RW phase by March 2015. Therefore, the County is requesting a nine-month extension to March 31, 2015.</p>			
6	County of Sonoma Sonoma-Marin Area Rail Transit (SMART) PPNO: 04-5156L SMART Multi-Use Pathway	\$0 \$0 \$0 \$0 <b>\$3,000</b>	12 Months 6/30/2015 Support
<p>The Sonoma-Marin Area Rail Transit (SMART) is requesting a 12-month extension to the period of allocation for the Construction (CON) phase of the SMART Multi-Use Pathway project. The SMART experienced delays to the completion of the NEPA documentation.</p> <p>SMART is currently working on extensive environmental review due to the project complexity; the Biological Opinion is still pending and expected to be completed by June 2014. The Categorical Exclusion will be completed in September 2014. The delay to the environmental documentation will impede the finalization of the right of way certification, and the CON allocation request.</p> <p>Furthermore, SMART will be requesting allocations of funds programmed as part of the 2014 STIP in Fiscal Year 2014-15, for use on construction of an adjacent section of the SMART Pathway (Rohnert Park). SMART wishes to award a single construction project to realize economy of scale in contracting the pathways. Extending this project to Fiscal Year 2014-15 will facilitate using one construction contract. SMART anticipates advertising the construction of the pathways in March 2015 and awarding in June 2015. Therefore, SMART is requesting a 12-month extension to June 30, 2015.</p>			