

Memorandum

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To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 20, 2014

Reference No.: 2.5e.
Action Item

From: NORMA ORTEGA
Chief Financial Officer

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Division Chief
Transportation Programming

Subject: **ALLOCATION FOR SUPPLEMENTAL FUNDS FOR PREVIOUSLY VOTED PROJECT
RESOLUTION FA-13-10**

RECOMMENDATION:

The California Department of Transportation (Department) recommends that the California Transportation Commission (Commission) allocate an additional \$475,000 in Interregional Improvement Program (IIP) funds for the Route 198 Expressway project (PPNO A4360B) in Kings and Tulare Counties.

ISSUE:

Additional funds are needed for one previously voted multi-funded project in order to close out the construction contract.

RESOLUTION:

Resolved, that \$475,000 be allocated from the Budget Act of 2013, Budget Act Item 2660-301-0042, to provide additional funds for the project identified below.

Project	Dist-Co-Rte	Funds	Original Allocated Amount	Current Allocation	Allocation Adjustment	Revised Allocation	% Increase Above Current Allocation
1	06-Kin, Tul-198	CMIA ⁽¹⁾	\$64,591,000	\$44,514,000	\$0	\$44,514,000	0.0%
		IIP	\$0	\$1,000,000	\$475,000	\$1,475,000	47.5%
		Demo	\$2,040,000	\$2,159,750	\$0	\$2,159,750	0.0%
		TCRP	<u>\$3,609,000</u>	<u>\$3,609,000</u>	<u>\$0</u>	<u>\$3,609,000</u>	0.0%
		Total	\$70,240,000	\$51,282,750	\$475,000	\$51,757,750	0.9%

Notes:

(1) Current CMIA allocation reflects de-allocation of \$20,077,000 in May 2010.



Kings County



Tulare County

FUNDING STATUS:

The Commission allocated \$64,591,000 of Corridor Mobility Improvement Account (CMIA) funds and \$3,609,000 of Traffic Congestion Relief Program (TCRP) funds in May 2009. The construction contract was awarded in September 2009 with an authorized budget of \$49,833,222, comprised of \$44,514,000 of CMIA funds, \$3,609,000 of TCRP funds, and \$1,710,222 of federal Demonstration funds. The Commission de-allocated CMIA award savings in May 2010. In September 2012, the Department secured an additional \$449,528 of federal Demonstration funds, and the Commission approved a supplemental allocation of \$1,000,000 of IIP funds.

Construction is now complete and all claims have been resolved. However, an additional \$475,000 is needed to close out the construction contract.

REASONS FOR COST INCREASE:

The contractor filed nine claims on exceptions to the proposed final estimate, several of which were found to have merit. In accordance with the Department's Standard Specifications, the Department must adjust contract unit prices for bid items which differed from the estimated quantity by more than 25 percent. The Department must also pay for extra work that was disputed and not previously paid, but found to have merit upon submittal of additional information. Two claims were reviewed by the Board of Review and approved for settlement. The two claims were for work required by the Department to remediate cracked concrete pavement and for deductions made for dowel bars and tie bars placed out of tolerance in the concrete pavement.

The requested allocation of \$475,000 will allow the Department to pay the above claims, as well as interest on the outstanding balance through April 2014.

FUNDING OPTIONS:

OPTION A: Approve this request for supplemental funds, as presented above, for \$475,000 to close out the construction contract.

OPTION B: Deny this request. Under this option, the Department would not be able to pay the contractor and would continue to accrue interest on the balance due.

RECOMMENDED OPTION:

The Department recommends that this request of \$475,000, as presented in Option A above, be approved to close out the construction contract.

REVISE:

Route 198 Expressway project (PPNO A4360B)

County	District	PPNO	EA	Element	Const. Year	PMBack	PMAhead	Route/Corridor					
Kings, Tulare	6	A4360B	3568U	CO	2008-09	R21.5, R0.0	R28.3, R3.3	198					
Implementing Agency: (by component)	PA&ED	Caltrans				PS&E	Caltrans						
	R/W	Caltrans				CON	Caltrans						
RTPA/CTC:	Kings County Association of Governments												
Project Title:	Route 198 Expressway												
Location	Near Hanford, on Route 198 from 0.5 mile east of Route 43 to 0.4 mile west of Route 99.												
Description:	Convert to four-lane expressway.												
(DOLLARS IN THOUSANDS)													
FUND	TOTAL	Project Totals by Fiscal Year						Project Totals by Component					
		Prior	12/13	13/14	14/15	15/16	16/17	R/W	CON	PA&ED	PS&E	R/W Supp	CON Supp
RIP													
Existing	15,867	15,867					11,981		1,549	800	1,537		
Change	0	0					0	0	0	0	0		
Proposed	15,867	15,867					11,981		1,549	800	1,537		
IIP													
Existing	17,000	16,000	1,000	0			5,281	1,000		4,105	1,600	5,014	
Change	475	0	0	475			0	475		0	0	0	
Proposed	17,475	16,000	1,000	475			5,281	1,475		4,105	1,600	5,014	
State Bond - CMIA													
Existing	44,514	44,514						44,514					
Change	0	0						0					
Proposed	44,514	44,514						44,514					
TCRP (Committed)													
Existing	14,000	14,000					5,038	3,609		853		4,500	
Change	0	0					0	0		0		0	
Proposed	14,000	14,000					5,038	3,609		853		4,500	
Demo													
Existing	2,660	2,660						2,160	490	10			
Change	0	0						0	0	0			
Proposed	2,660	2,660						2,160	490	10			
Total													
Existing	94,041	93,041	1,000	0			22,300	51,283	2,039	5,768	3,137	9,514	
Change	475	0	0	475			0	475	0	0	0	0	
Proposed	94,516	93,041	1,000	475			22,300	51,758	2,039	5,768	3,137	9,514	