

Memorandum

To: CHAIR AND COMMISSIONERS
CALIFORNIA TRANSPORTATION COMMISSION

CTC Meeting: March 5, 2013

Reference No.: 3.8
Information Item

From: NORMA ORTEGA
Chief Financial Officer

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Division Chief
Project Management

Subject: **FY 2012-13 SECOND QUARTER PROJECT DELIVERY REPORT**

Attached is the California Department of Transportation's Fiscal Year 2012-13 Second Quarter Project Delivery Report.

Attachment



Second Quarter FY 2012-13 Project Delivery Report

**Quarterly Report to the
California Transportation
Commission**



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The Project Delivery Report is prepared quarterly in December, March, June, and September pursuant to California Transportation Commission (CTC) Resolution G-92-12. The Department of Transportation (Department) staff prepares this report. The purpose of this report is to monitor and track the progress of project delivery during the year and to compare against past years.

Note 1: All costs shown are in \$1,000's unless noted otherwise.

Note 2: Abbreviations and acronyms are listed in the appendix.

Executive Summary

Second Quarter - FY 2012-13

FY 2012-13 Contract for Delivery

For FY 2012-13, the dollar value of projects in the Contract for Delivery is \$1,430 million. Through the end of the second quarter, FY 2012-13, the Department delivered a total of 52 (31 percent of annual plan) highway construction contracts with an estimated value in the contract at \$244 million.

Program Delivery Summary

Though the end of the second quarter, FY 2012-13, the Department has delivered:

- A total of 140 projects valued at \$475 million from all funding programs.
- A total of 8 (35 percent of annual plan) programmed State Transportation Improvement Program (STIP) highway construction contracts valued at \$31 million (24 percent of annual plan).
- A total of 42 (28 percent of annual plan) programmed State Highway Operations and Protection Program (SHOPP) highway construction contracts valued at \$326 million (36 percent of annual plan).

Past Years' Contracts for Delivery Award Status

Though the end of the second quarter, FY 2012-13, the Department has awarded:

- 247 projects out of 279 (89 percent) from the FY 2011-12 Contract for Delivery.
- 346 projects out of 346 (100 percent) from the FY 2010-11 Contract for Delivery.

Environmental Document Milestones

In FY 2012-13, the planned total number of environmental document milestones is 158. The Department delivered 56 (47 percent of annual plan) final environmental documents and 10 (26 percent of annual plan) draft environmental documents.

Right of Way Program

In FY 2012-13, the Department received a right-of-way allocation of \$227 million dollars. Though the end of the second quarter, the Department expended \$91 million (40 percent of annual plan).

Construction Program

There are 639 on-going construction contracts valued at \$12,074 million.

Report on Completed Projects

Though the end of the second quarter, FY 2012-13, the Department has completed:

- A total of 16 STIP projects. The total amount of State funds that were approved by the commission for these projects was \$532 million. The actual cost of the projects completed was \$463 million which is 87 percent of the approved funds.
- A total of 129 SHOPP projects. The total amount of State funds that were approved by the commission for these projects was \$885 million. The actual cost of the projects completed was \$638 million which is 72 percent of the approved funds.

FY 2012-13 Contract for Delivery

Each year, the Department Director signs a contract with each of the Department's 12 district directors committing RTL Milestones for delivery by quarter.

The Contract for Delivery is the Department's fiscal year plan and includes programmed projects and projects funded from other sources including maintenance, toll bridge, and partnership projects. The contract is not subject to change, so it does not include program amendments, emergency, or minor program projects.

The total estimated value at the "Ready To List" delivery milestone for all 170 projects in the FY 2012-13 Contract for Delivery is \$1,430 million.

44 out of 52 projects planned in the first quarter was delivered. In addition, 8 projects planned in future quarters were delivered early.

The status of the eight projects that were not delivered as planned in the first two quarters is as follows:

- Two projects have subsequently been delivered.
- Four projects missed the planned quarter due to minor design changes and refinement.
- Two projects need additional time to secure necessary permits.

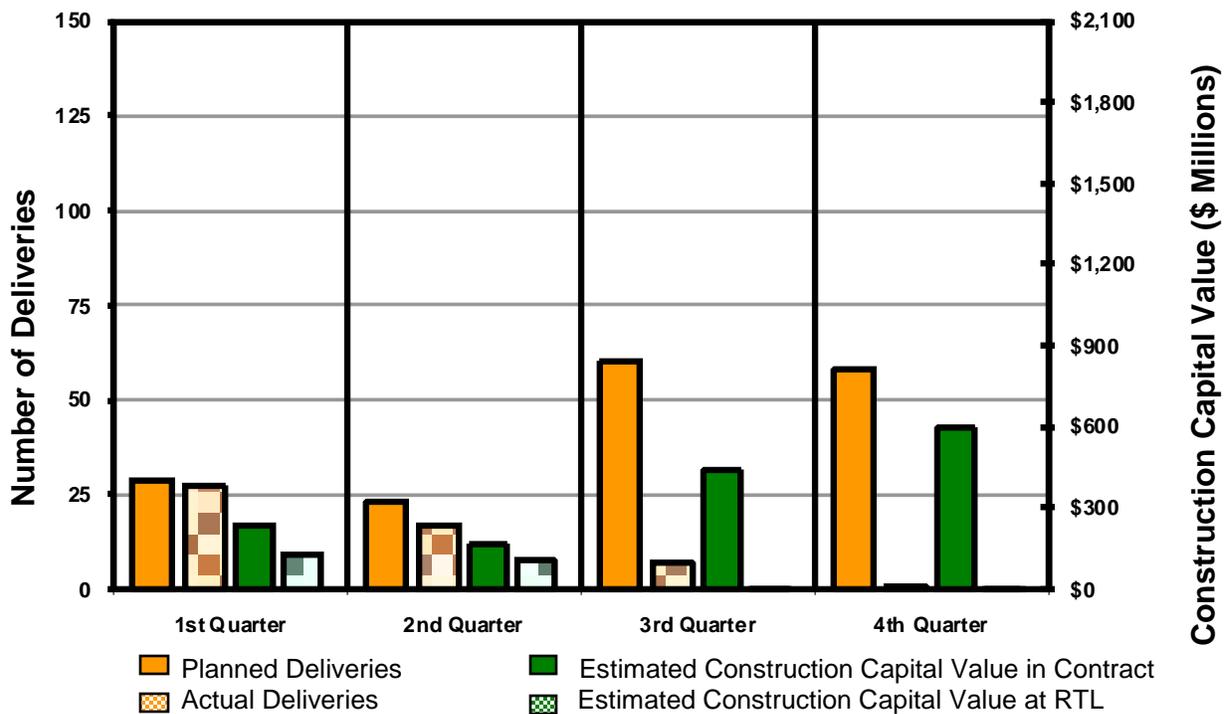
STATUS AS OF DECEMBER 31, 2012



STATEWIDE Contract for Delivery FY 2012-13

Ready to List (RTL) Milestone Delivery

Description	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	TOTAL
NUMBER OF DELIVERIES					
Planned	29	23	60	58	170
Actual	27	17	7	1	52
CONSTRUCTION CAPITAL VALUE (\$ MILLIONS)					
Estimate in Contract	\$ 236.8	\$ 161.9	\$ 437.1	\$ 594.5	\$1430.4
Estimated at RTL	\$ 131.2	\$ 106.1	\$ 4.6	\$ 2.2	\$ 244.1





The California Department of Transportation Contract for Delivery! FY 2012/2013

2nd Quarter Delivery Report 23 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENVY DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
1	36432	SHOPP	MEN	20	\$1,883	\$2,890		REHABILITATE DRAINAGE		★		10/15/12	02/01/13
1	47690	SHOPP	DN	101	\$6,700	\$1,357	\$1,192	REMOVE & RECONSTRUCT HINGES @ SPANS 2, 8 & 11		★	AADD	★	02/01/13
2	3E650	SHOPP	VAR	5	\$3,305	\$735	\$417	BRIDGE MAINTENANCE		★	★	★	05/24/13
2	3E690	SHOPP	MOD	299	\$1,293	\$730	\$480	SHOULDER WIDENING					02/28/13
3	0F680	SHOPP	SAC	80	\$1,730	\$448	\$343	REPLACE JOINTS AND SUPER-REHAB		★	AADD		04/01/13
3	1A731	SHOPP	ED	50	\$13,139	\$6,615		STORM WATER QUALITY IMPROVEMENTS, OVERLAY, WIDEN SHOULDER, REPLACE		12/01/12		12/15/12	08/01/13
3	1A844	SHOPP	ED	89	\$20,659	\$5,809	\$5,965	STORM WATER QUALITY IMPROVEMENTS O/L, WIDEN, ADD DIKES & RET BASINS					05/01/13
3	3F030	SHOPP	NEV	80	\$1,774	\$375	\$343	INSTALL CONCRETE MEDIAN BARRIER		★	AADD	★	04/15/13
3	4E590	SHOPP	ED	49	\$1,495	\$697	\$741	INCREASE SUPERELEVATION OF CURVE		★	AADD	★	06/15/13
4	1123H	STIP	SM	1	\$1,150	\$151	\$1,082	MITIGATION AT DISPOSAL SITE, N & S PORTALS, S ROCK CUT & POND REPAIR		★			04/15/13
4	4A260	SHOPP	ALA	580	\$4,537	\$800	\$1,192	INSTALL METAL BEAM GUARDRAIL		★	★	★	05/01/13
5	0M980	SHOPP	SCR	1	\$1,543	\$582	\$607	GUARDRAIL UPGRADE					02/18/13
5	0Q570	SHOPP	MON	101	\$8,000	\$977	\$1,109	INSTALL MEDIAN BARRIER			★	★	04/22/13
5	33075	STIP	SLO	46	\$4,300	\$1,024	\$1,269	BRIDGE REPLACEMENT			★	★	05/01/13
6	34252	P/LOCAL/ FUTU	FRE	180	\$26,500	\$8,449	\$8,487	CONSTRUCT 4 LANE EXPRESSWAY ON EXISTING ALIGNMENT					06/01/13
7	26590	SHOPP	LA	101	\$3,241	\$956		UPGRADE BRIDGE RAILS			AADD	11/13/12	05/19/14
8	0K310	SHOPP	SBD	95	\$3,797	\$1,148		VERTICAL CURVE ALIGNMENT		★		12/03/12	05/23/13
8	0Q110	SHOPP	RIV	10	\$3,570	\$595	\$692	REPLACE CHAIN LINK FENCE & BARBED WIRE FENCE WITH CONCRETE BARRIER		★	★	★	07/12/13
10	0G800	SHOPP	SJ	12	\$31,000	\$3,983	\$3,506	ROADWAY REHABILITATION BY WIDENING THE SHOULDERS		★			07/03/13
11	06381	SHOPP	SD	8	\$1,697	\$315	\$475	HIGHWAY PLANTING/IRRIGATION SYSTEM FOR PPNO 0187P		★	AADD		05/09/13
11	29040	SHOPP	SD	94	\$14,513	\$2,225		PAVEMENT REHABILITATION		★	AADD	11/21/12	06/13/13
11	40280	SHOPP	SD	52	\$3,500	\$790		PAVEMENT REHABILITATION			AADD	11/30/12	05/23/13

SECOND QUARTER OCTOBER 1 - DECEMBER 31

Status as of 12/31/2012



- AADD - Authority to Advertise District Delegation
- B - CMIA - Bond - Corridor Mobility Improvement Account
- B - RTE99 - P1B SR99 Improvement
- HM - b - Highway Maintenance - bridge
- HM - d - Highway Maintenance - drainage
- HM - p - Highway Maintenance - pavement
- L - Reimb - Local Reimbursed
- M - Reimb - Measure Reimbursed
- MTC - Metropolitan Transportation Commission
- PE - Preliminary Engineering
- PH2 Ret - Phase 2 Retrofit
- RA - Recovery Act
- Retro-SW - Retrofit Soundwall
- RM2 - Regional Measure 2
- STIPP - State Transportation Improvement Program
- TCIP - Trade Corridors Improvement Program
- TCRP - Traffic Congestion Relief Program
- TOLL - Other Toll
- TOLL-R - Toll Retrofit
- VAR - Various
- SHOPP - State Highway Operation Protection Prog.
- B-SHOPP - Bond - State Highway Operations Protection Program Augmentation

- Completed
- Completed Ahead of Schedule
- Behind Schedule
- To Be Completed/Awarded
- PE Support <= 80% of Budget
- PE Support >= 120% of Budget
- Awarded
- Awarded Ahead of Schedule
- Award Behind Schedule
- Future RTL Status Date
- PE Support Within Budget



The California Department of Transportation Contract for Delivery! FY 2012/2013

2nd Quarter Delivery Report 23 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENV DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
11	40370	SHOPP	SD	163	\$2,605	\$461	\$503	INSTALL OUTER SEPARATION BARRIER			AADD	★	04/18/13
					\$161,931	\$42,112	\$28,403						

SECOND QUARTER OCTOBER 1 - DECEMBER 31

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The California Department of Transportation Contract for Delivery! FY 2012/2013

1st Quarter Delivery Report 29 Planned Deliveries

DISTRICT	PROJECT	PROGRAM	COUNTY	ROUTE	EST. CONST. CAPITAL VALUE IN CONTRACT (1000's)	BUDGETED PE SUPPORT (1000's)	ACTUAL PE SUPPORT (1000's)	PROJECT DESCRIPTION	PROJ APP & ENVY DOC	RIGHT OF WAY CERT	PLANS, SPECS, EST.	READY TO LIST	AWARD
2	0E440	STIP	SIS	97	\$743	\$239	\$298	TURN LANE			AADD	★	★
2	0E840	STIP	SIS	3	\$743	\$239	\$303	INSTALL LEFT TURN LANE AT JUNIPER DRIVE		★	AADD	★	★
2	2E291	SHOPP	SIS	96	\$8,200	\$20	\$39	BRIDGE PREVENTIVE MAINTENANCE			AADD	★	12/26/12
3	1A732	SHOPP	ED	50	\$10,144	\$4,889	\$4,299	STORM WATER QUALITY IMPROVEMENTS, OVERLAY, WIDEN SHOULDER, REPLACE		★			02/22/13
4	00394	BATA-REHAB	CC	580	\$3,500	\$2,720	\$2,718	REPLACE MAINTENANCE BUILDINGS			★		
4	01408	OPP/BATA-REH	ALA	80	\$39,000	\$4,850	\$1,145	ALA-80-1.8/0 SFOBB MAINTENANCE OPERATIONS BUILDING-PHASE 1					11/13/12
4	0A090	SHOPP	SOL	80	\$2,500	\$1,898	\$3,012	LENGTHENING ON-RAMP AND WIDEN ALAMO CREEK BRIDGE.		★	★	★	02/26/13
4	4A925	LSP/STIP/LOCA	SM	101	\$6,970	\$690	\$230	INSTALL INTELLIGENT TRANSPORTATION SYSTEM ELEMENTS					
5	0G160	SHOPP	SB	166	\$3,731	\$2,633	\$2,264	RELOCATE DRAINAGE DITCHES			★		02/01/13
6	0H100	SHOPP	FRE	168	\$3,700	\$446	\$555	AC OVERLAY		★	AADD	★	03/02/13
6	0H170	SHOPP	FRE	180	\$3,564	\$1,938	\$1,545	BRIDGE REPLACEMENT					02/01/13
6	0H180	SHOPP	KER	14	\$14,450	\$2,206	\$2,035	BRIDGE REPLACEMENT (SCOUR)		★			03/01/13
6	0M800	SHOPP	MAD	99	\$680	\$368	\$326	INSTALL MEDIAN BARRIER			AADD		02/22/13
6	36023	STIP	TUL	99	\$17,700	\$1,850	\$283	4 LANE FREEWAY TO 6 LANE FREEWAY			★		11/30/12
6	48750	STIP	KIN	198	\$15,491	\$3,996		RECONSTRUCT INTERCHANGE		08/15/12		09/15/12	05/15/13
7	25880	SHOPP	LA	5	\$2,600	\$756	\$544	SOIL STABILIZATION & REVEGETATION			AADD		01/31/13
7	3X180	SHOPP	LA	47	\$415	\$83	\$168	STORM DAMAGE REPAIRS *DIR			AADD		01/17/13
8	0G840	SHOPP	SBD	15	\$11,335	\$3,626	\$3,624	UPGRADE AND INCREASE CAPACITY AT THE SAFETY ROADSIDE REST AREA					04/01/13
8	0Q860	SHOPP	SBD	15	\$176	\$180	\$188	REPLACE OVERHEAD SIGN STRUCTURE					12/26/12
10	0G350	SHOPP	SJ	12	\$2,745	\$1,342	\$951	REPLACE BEARING PADS, JOINT SEALS		★			01/02/13
10	0K330	SHOPP	SJ	5	\$2,590	\$1,406	\$1,455	INSTALL TRAFFIC MONITORING STATIONS (TMS) AT VARIOUS LOCATIONS		★	★		★
10	0S780	STIP	STA	99	\$1,075	\$572	\$560	BRIDGE ENHANCEMENT, 16 STRUCTURES			AADD	★	

FIRST QUARTER JULY 1 - SEPTEMBER 30

Status as of 12/31/2012



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The California Department of Transportation Contract for Delivery! FY 2012/2013

1st Quarter Delivery Report 29 Planned Deliveries

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10	OT140	SHOPP	AMA	49	\$464	\$476	\$486	INSTALL NEW SIGNAL	★	★	★	★	
11	0223U	RTIP/SHOPP	SD	5	\$74,700	\$3,417		REPLACE BRIDGE, RECONSTRUCT INTERCHANGE, SIGNAL INTERSECTION, ADD		07/13/12	AADD	07/13/12	11/12/12
11	27520	SHOPP	IMP	98	\$5,440	\$1,060	\$441	COLD PLANE AND OVERLAY PAVEMENT		★	AADD		03/07/13
11	2T230	LOCAL/ DEMO	SD	78	\$720	\$180	\$224	SR-78 LANDSCAPE			AADD	★	12/21/12
12	OK330	STIP	ORA	91	\$607	\$127	\$205	WILDLIFE CORRIDOR CONNECTIVITY ENHANCEMENT (INSTALL VEGETATION)				★	12/07/12
12	OK530	SHOPP	ORA	91	\$2,520	\$560	\$698	RESURFACE ALL LANES WITH RUBBERIZED DENSE AC		★	★	★	
12	0M000	SHOPP	ORA	55	\$300	\$380	\$441	OVERLAY THE CONNECTOR WITH OGAC, MODIFY DRAINAGE, UPGRADE METAL BEAM				★	
					\$236,803	\$43,147	\$29,037						

FIRST QUARTER JULY 1 - SEPTEMBER 30

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Program Delivery Summary

This section describes by funding programs the number and dollar value of all projects delivered.

Intercity Rail Program

For FY 2012-13, five Intercity Rail projects valued at \$33.8 million are programmed for delivery.

Number of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	0	0	0	5	5
Actual	0	0			0

Value of Intercity Rail Projects

	Q1	Q2	Q3	Q4	Annual
Plan	\$ 0.0	\$ 0.0	\$ 0.0	\$ 33.8	\$ 33.8
Actual	\$ 0.0	\$ 0.0			\$ 0.0

AB 1740 Retrofit Soundwall Program

All 63 planned projects with a construction value of \$215 million have been delivered within the program budget of \$226 million.

	Delivered		Construction Completed	
Locations	63	100%	61	97%
Value	\$ 215	95%	\$ 211	93%

The balance of \$11 million is being held in reserve pending settlement of any potential claims and closing out of all projects. The last two projects under construction have completion dates of February 2013 and July 2014.

Delivery Summary of All Programs

Through the end of the second quarter, FY 2012-13, the Department delivered a total of 140 projects valued at \$475.4 million from all programs.

Projects are shown below by the planned program and dollar value.

Projects by Funding Programs	Number		Value	
	Annual Plan	FYTD	Annual Plan	FYTD
STIP (w TCRP, TFA)	23	8	\$ 129.1	\$ 30.8
SHOPP	150	42	\$ 910.2	\$ 326.4
BOND	4	1	\$ 183.9	\$ 7.0
Partnership*	17	2	\$ 360.5	\$ 4.2
Minor (CFD)	2	0	\$ 0.4	\$ 0.0
Subtotal	196	53	\$ 1,584.1	\$ 368.4
Emergency		59		\$ 20.9
Minor		21		\$ 14.8
Maintenance		7		\$ 71.3
Total		140		\$ 475.4

* Partnership funds include all local funds and federal fund subventions given to local agencies.

Detailed Delivery Summary of All Projects by Programs

Programs	Annual Number of Projects			Annual Dollar Value of Projects		
	Plan	Actual	Percent	Plan	Actual	Percent
STIP Program						
STIP (w TCRP, TFA)	18	8	44	\$ 95.3	\$ 30.8	32
Intercity Rail	5	0	0	\$ 33.8	\$ 0.0	0
Advanced** STIP		0			\$ 0.0	
TOTAL STIP	23	8	35	\$ 129.1	\$ 30.8	24
SHOPP (w Augmentation)						
SHOPP (w Augmentation)	137	28	20	\$ 803.7	\$ 182.4	23
Amended** SHOPP	13	13	100	\$ 106.5	\$ 106.5	100
Advanced** SHOPP		1			\$ 37.5	
	150	42	28	\$ 910.2	\$ 326.4	36
Other ** Programs in Contract (excluding Intercity Rail Bond Program)						
BOND	4	1	25	\$ 183.9	\$ 7.0	4
Partnership	17	2	12	\$ 360.5	\$ 4.2	1
Minor	2	0	0	\$ 0.4	\$ 0	0
TOTAL "Other"	23	3	13	\$ 544.8	\$ 11.2	2
Additional ** Programs						
Emergency		59			\$ 20.9	
Minor		21			\$ 14.8	
Maintenance		7			\$ 71.3	
TOTAL "Additional"		87			\$ 107.0	
TOTAL All Programs						
STIP	23	8	35	\$ 129.1	\$ 30.8	24
SHOPP	150	42	28	\$ 910.2	\$ 326.4	36
Other	23	3	13	\$ 544.8	\$ 11.2	2
Subtotal	196	53	27	\$ 1,584.1	\$ 368.4	23
Additional		87			\$ 107.0	
TOTAL		140			\$ 475.4	

**** Notes:**

Additional – Recent projects not in contract. Includes funding reservations.

Amended – Added or deleted to program by amendment.

Advanced – Delivered early from future program year. (Not included in planned numbers)

Other – planned non-STIP/SHOPP projects committed in contract.

Delivery Percentages – Advances in contracts are included in planned figures, other advances are not included in planned figures, but are added to delivered figures.

Due to multiple funding sources on some projects, the sum of contract projects by funding source will exceed the number of planned contract projects.

Historical Program Delivery Comparison

2nd Quarter "Annual Plan" Comparison

Number of STIP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	23	29	25	25	34
FYTD	8	5	11	14	16
Percent	35	17	44	56	47

Value of STIP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	\$ 129	\$ 504	\$ 318	\$ 351	\$ 466
FYTD	\$ 31	\$ 40	\$ 248	\$ 93	\$ 140
Percent	24	8	78	26	30

Number of SHOPP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	150	185	245	174	215
FYTD	42	60	119	78	107
Percent	28	32	49	45	50

Value of SHOPP Projects

	12-13	11-12	10-11	09-10	08-09
Annual Plan	\$ 910	\$1,085	\$2,866	\$1,005	\$1,441
FYTD	\$ 326	\$ 399	\$1,187	\$ 445	\$ 312
Percent	36	37	41	44	22

Total Number of All Projects

	12-13	11-12	10-11	09-10	08-09
FYTD	140	167	257	240	288

Total Value of All Projects

	12-13	11-12	10-11	09-10	08-09
FYTD	\$ 475	\$ 833	\$1,840	\$1,359	\$1,342

Past Years' Contract For Delivery Award Status

This section describes the contract award status projects in past years for the annual Contract for Delivery.

Contract Award Status

Progress continues to be made to get past years' contracts for delivery projects awarded.

Contract Award Status	Plan	Awarded	Percent
FY 2011-12 Contract for Delivery	279	247	89
FY 2010-11 Contract for Delivery	346	346	100
FY 2009-10 Contract for Delivery	306	306	100
FY 2008-09 Contract for Delivery	334	334	100
FY 2007-08 Contract for Delivery	294	294	100
FY 2006-07 Contract for Delivery	286	286	100
FY 2005-06 Contract for Delivery	174	174	100

Historical Delivery Comparison

Through the second quarter FY 2012-13, for last year's contract for delivery (FY 2011-12), the Department has awarded 247 projects out of 279 projects or 89 percent of the planned projects. As a comparison, as reported a year ago for the same time period, the Department had awarded 328 projects out of 346 planned projects or 95 percent.

Contracts Not Yet Awarded

Of the 32 projects not yet awarded, 13 projects are currently out to bid. Issues for award delays on the other projects are as follows:

- Five projects were future year funds.
- 12 projects are delayed pending minor issues such as upgraded right of way cert or federal aid number.
- One project is a joint venture with Department of Agriculture and needs approval from Department of Finance and Bond Sale for contributor funds.
- One project is yet to be delivered, right of way acquisition is still ongoing.

Contracts Not Yet Awarded	Number
PROJECTS ALLOCATED	
Project Currently Bid	13
Minor issue to finalize	2
PROJECTS NOT ALLOCATED	
Minor issues to finalize	10
Dept of Finance approval needed	1
Future year funds	5
Not delivered	1
Total	32

See appendix for a list of projects not yet awarded

Environmental Document Milestones

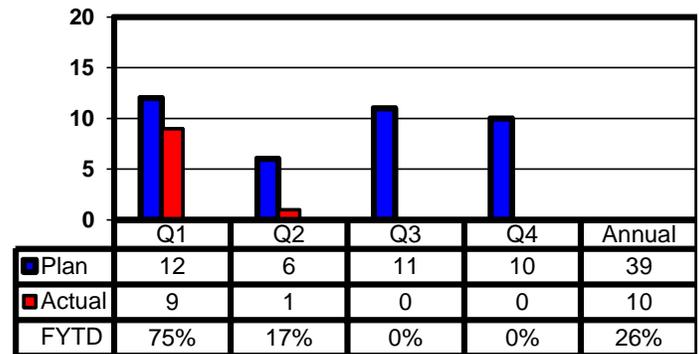
Environmental Delivery Commitment

As part of this report, the Department reports on delivery for the upcoming year of the two environmental milestones that require CTC action for consideration of future funding. The two milestones include Draft Environmental Documents (DED) and Final Environmental Documents (FED). To provide a comprehensive view of environmental documents under development, the Department also includes Categorical Exclusions that do not require CTC review or action. For FY 2012-13, the Department has planned delivery of 158 environmental milestones.

For FY 2012-13, through the end of the second quarter, the Department delivered 66 (42 percent of annual plan) environmental milestones.

Through the end of the second quarter, one DED's planned environmental document has slipped outside FY 2012-13 (milestones shown in appendix).

Number of DED Milestones



Historical Delivery Comparisons

As a benchmark for comparison, below are historical environmental milestone delivery trend charts for the current year and past four years.

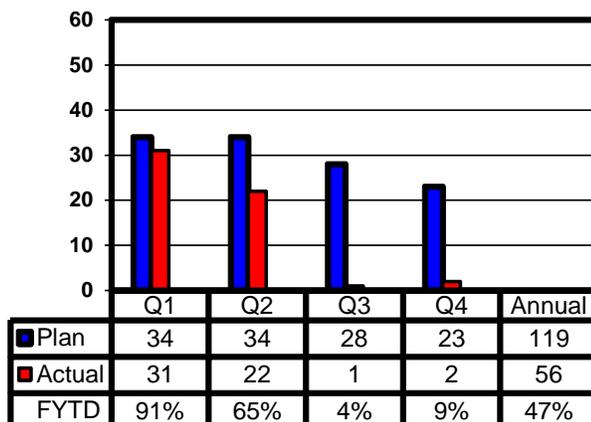
Past 2nd Qtr PAED Milestones

	12-13	11-12	10-11	09-10	08-09
Plan	119	167	147	148	151
FYTD	56	100	81	99	98
Percent	47	60	55	67	65

Past 2nd Qtr DED Milestones

	12-13	11-12	10-11	09-10	08-09
Plan	39	44	37	34	41
FYTD	10	13	12	10	17
Percent	26	30	32	29	41

Number of FED Milestones



Right of Way

Right of Way Delivery Commitment

The Department's R/W delivery commitment is twofold. One delivery commitment is to utilize funds approved by the CTC for acquisition of R/W. The second delivery commitment is to secure all necessary R/W requirements and to certify R/W for all projects scheduled for delivery in the current year.

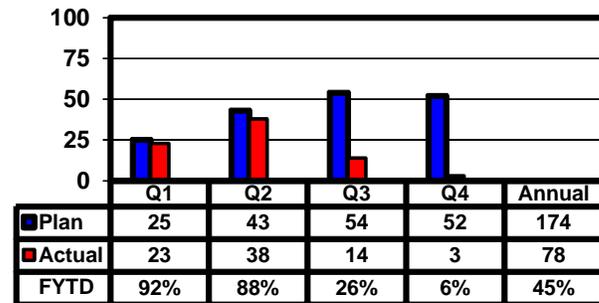
Right of Way Expenditures

R/W activities and expenditures are outlined by the categories below:

Category (\$millions)	Plan	FYTD	Percent
Capital Projects			
STIP	\$ 99.5	\$ 52.8	53
SHOPP	\$ 41.5	\$ 17.5	42
Subtotal	\$ 141.0	\$ 70.3	50
Specific Categories			
Post Certifications	\$ 64.7	\$ 15.9	25
Inverse Condemnation	\$ 20.3	\$ 4.2	21
Project Development	\$ 1.0	\$ 0.3	30
Subtotal	\$ 86.0	\$ 20.4	24
TOTAL	\$ 227.0	\$ 90.7	40

For FY 2012-13, the Department requested and received a R/W allocation of \$227 million.

Right of Way Certifications



For FY 2012-13, the planned number of R/W certifications is 174. Through the end of the second quarter, the Department completed a total of 78 R/W certifications, 45 percent of the annual plan.

Historical Delivery Comparisons

As a benchmark for comparison, below are historical R/W delivery trend charts for the current year and past four years.

Past 2nd Qtr Right of Way Expenditures

	12-13	11-12	10-11	09-10	08-09
Plan	\$227.0	\$217.5	\$219.4	\$237.7	\$230.9
FYTD	\$ 90.7	\$ 89.8	\$ 69.7	\$ 80.4	\$106.1
Percent	40	41	32	34	46

Past 2nd Qtr Right of Way Certifications

	12-13	11-12	10-11	09-10	08-09
Plan	174	275	311	283	304
FYTD	78	126	186	192	206
Percent	45	46	60	68	68

Construction Program

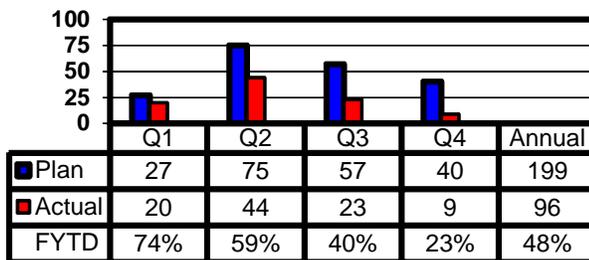
PLANNED CONSTRUCTION PROGRAM

(Excludes some projects such as minor, program amendments and emergency.)

Construction Delivery Commitment

Delivery in the eyes of our customers is achieved when capital improvements are delivered to the traveling public. This is best measured by when the construction contract is accepted.

Planned Construction Contracts Accepted



Through the end of the second quarter, FY 2012-13, the Department had accepted a total of 96 major construction contracts (48 percent) out of a total of 199 planned contracts identified in the Department's delivery plan.

Historical Delivery Comparison

As a benchmark for comparison, shown are historical delivery trend charts for planned major construction contract acceptances.

Past 2nd Qtr Construction Contracts Accepted

	12-13	11-12	10-11	09-10	08-09
Plan	199	272	216	226	213
FYTD	96	141	96	157	144
Percent	48	52	44	69	68

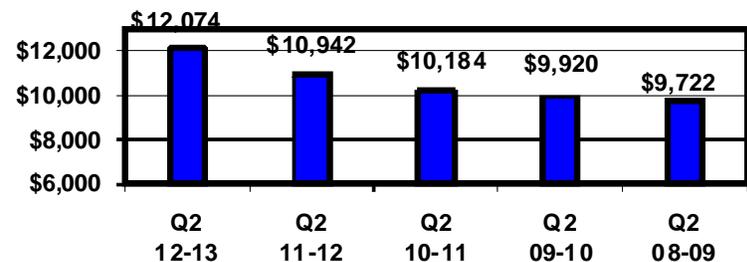
OVERALL CONSTRUCTION PROGRAM

(Includes planned programmed projects and additional minor A, amendments, and some minor B projects that are not programmed.)

Under Construction

At the end of the second quarter, FY 2012-13, the Department had 639 contracts valued at \$12,074 million under construction.

Value of Ongoing Contracts (\$ millions)



2nd Quarter Construction Program Results

Construction Starts – 88 construction contracts valued at \$1,345 million were started (including minor A and some minor B projects that are not programmed).

Accepted Contracts – 188 construction contracts valued at \$818 million were accepted.

Arbitration - The Department currently has 23 construction contracts in arbitration. Two new arbitration case was filed, and seven contracts were settled or received a arbitration decision.

Report on Completed Projects

In the 2010 STIP guidelines is a requirement for the Department to provide the Commission with a report on completed projects. This report provides cost information for projects that the Department has accepted the construction contract (CCA milestone).

Cost information at completion consists of all project expenditures to date. The expended costs in this report are compared to the latest approved budget costs resulting from actions taken by the Commission on each project, including: Programmed funds, Allocated funds, Funds adjusted at vote, Supplemental funds, and AB 608 adjustments.

Reporting Program / Project Thresholds

Completed project cost information is presented in the following levels for analysis:

- Program Level
- STIP/SHOPP Component Level
- Individual Project Component Level
- Overall Project Level

Program Level

At the Program level, total costs are reported for STIP and SHOPP program funds.

STIP / SHOPP Component Level

The methodology used to determine the amount of committed funds is based on programmed amounts, allocated funds, or debit and credit adjustments made against county shares in accordance with STIP guidelines.

It should be noted that while some individual components may exceed their approved budget, other components often have significant savings. STIP guidelines restrict the

ability to capture savings. Consequently, some components are over expended while the overall project expenditures is less than the total county shares used to fund the entire project.

Individual Project Component Level

This provides an assessment of estimating trends for each of the six individual programmed cost components.

When projects are initially programmed into a programming document, there are a lot of unknown factors that could result in higher or lower costs by the time a project is ready for construction. A good example of unknowns is project refinements and changes that are implemented by the public hearing and project input process during preliminary engineering.

Sometimes Department expenditures in one component are offset by savings in another component. A common example is additional right of support effort may result in lower right of way capital expenditures. Another example is additional environmental expenditures to produce a publicly acceptable environmental document may be offset by lower design expenditures.

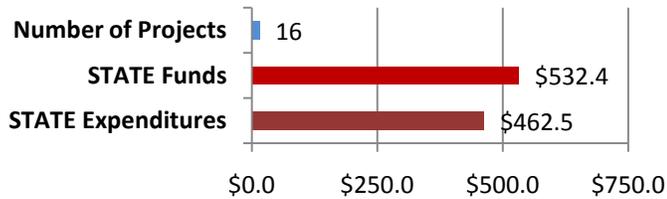
Overall Project Level

This compares expended costs to the approved budget costs for the overall project. At the project level, greater flexibility is provided when costs can be managed within a project budget and transferred between components.

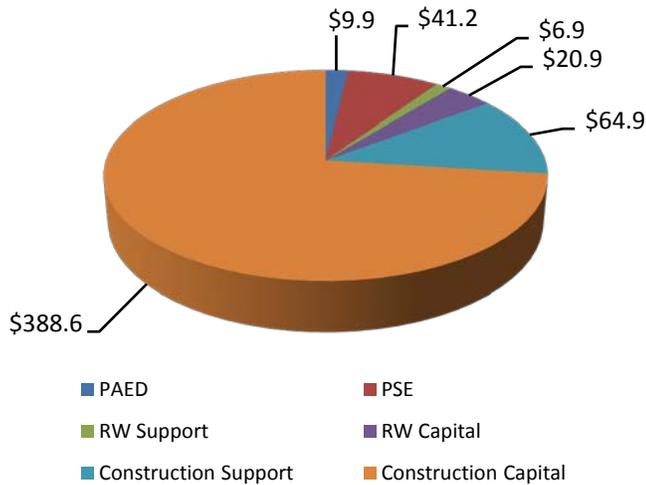
Completed FY 2011-12 STIP Projects

STIP Program Level

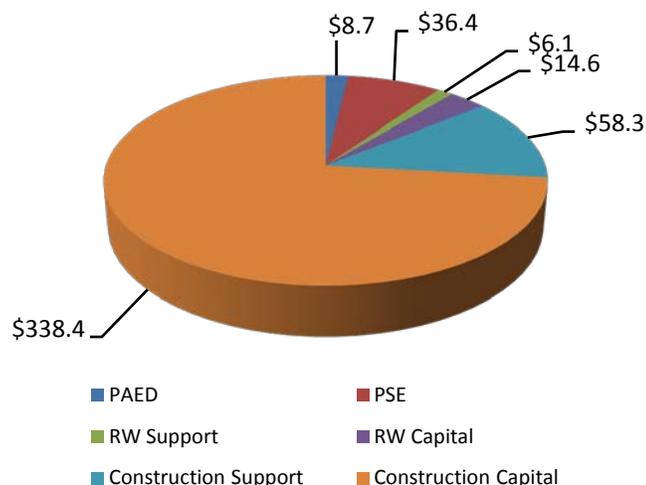
STIP Projects, State Funds Only (millions)



Approved State Funded Budget (millions)



State Funded Expenditures (millions)



There were a total of 16 STIP projects that were completed through the fourth quarter in FY 2011-12. The total amount of State funds⁽¹⁾ that were approved⁽²⁾ by the commission for these projects was \$532.4⁽³⁾ million. The actual cost of the projects completed was \$462.5 million which is 87 percent of the approved funds.

- (1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
- (2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
- (3) Local funds are only included if they were part of the construction contract administered by the Department. Other local funds may not be reflected in accounting and data systems.

STIP Component Level

Project Development

Approved	Expended	Percent
\$51,071	\$45,062	88

Right of Way

Approved	Expended	Percent
\$27,806	\$20,737	75

Construction

Approved	Expended	Percent
\$453,545	\$396,720	87

Overall Project Level – All Components

Approved	Expended	Percent
\$532,422	\$462,519	87

At the STIP component level, construction and right of way components are under budget, and project development is over budget. Due to bid results and award savings, the overall project expenditures are substantially below the total funds approved for these projects.

Individual Project Component Level

PROJECT DEVELOPMENT (PJD) COSTS

Project Development (PJD) - includes PAED and PS&E cost components which are evaluated for adjustments together as a single component. . PAED is the preliminary engineering effort up to completion of the project report and environmental document. PS&E is the final design to prepare a project ready for construction

(\$1,000'S)	PAED	PSE	TOTAL
Approved Budget	\$9,852	\$41,219	\$51,071
Expenditures	\$8,668	\$36,394	\$45,062
Percent	88%	88%	88%

The construction capital cost used is the allocated amount by the commission (as reported to Commission in vote box) unless a higher amount is authorized by G-12 or supplemental vote.

The construction capital cost allocated and reported in vote boxes to the Commission reflects the Departments best estimate of the capital budget for construction. This is the amount that would be debited against county shares if these were STIP projects.

RIGHT OF WAY (RW) COSTS

Right of Way (RW) - includes support and capital cost components which are evaluated for adjustments together as a single component. . Right of Way is the effort necessary to secure right of way necessary to construct a project. It can include utility relocation work, acquisition of property and coordination with railroads.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$6,948	\$20,858	\$27,806
Expenditures	\$6,105	\$14,632	\$20,737
Percent	88%	70%	75%

OVERALL TOTAL PROJECT LEVEL COSTS

Project - includes four support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into six separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$122,910	\$409,512	\$532,422
Expenditures	\$109,445	\$353,074	\$462,519
Percent	89%	86%	87%

CONSTRUCTION COSTS

Construction - includes support and capital cost components which are evaluated for adjustments together as a single component.. Construction is the effort to administer a construction contract and make payments to the contractor.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$64,891	\$388,654	\$453,545
Expenditures	\$58,278	\$338,442	\$396,720
Percent	90%	87%	87%

COMPONENT COST BREAKDOWN

A breakdown of project component cost information by percent of programmed budget is shown below:

Project Development

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	2	13%	\$ 7,042	\$ 2,042		
80-100%	7	44%	\$23,622	\$21,239		
100-120%	5	31%	\$18,310	\$19,180		
>120%	1	6%	\$ 2,097	\$ 2,601		
No Budget	1	6%	\$ 0	\$ 0		
TOTAL	16		\$51,071	\$45,062	\$6,009	88%

Right of Way

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	10	62%	\$18,243	\$11,184		
80-100%	2	13%	\$ 3,212	\$ 3,005		
100-120%	1	6%	\$ 6,261	\$ 6,330		
>120%	1	6%	\$ 90	\$ 217		
No Budget	2	13%	\$ 0	\$ 0		
TOTAL	16		\$27,806	\$20,737	\$7,069	75%

Construction

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	3	19%	\$ 159,183	\$122,848		
80-100%	10	62%	\$ 256,657	\$232,119		
100-120%	2	13%	\$ 36,402	\$ 40,186		
>120%	1	6%	\$ 1,303	\$ 1,568		
No Construction	0	0%	\$ 0	\$ 0		
TOTAL	16		\$453,545	\$396,720	\$56,825	87%

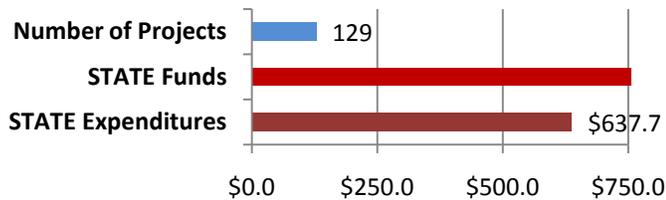
Overall Total Project

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	3	19%	\$183,992	\$139,587		
80-100%	9	56%	\$296,631	\$266,981		
100-120%	4	25%	\$ 51,799	\$ 55,951		
>120%	0	0%	\$ 0	\$ 0		
TOTAL	16		\$532,422	\$462,519	\$69,903	87%

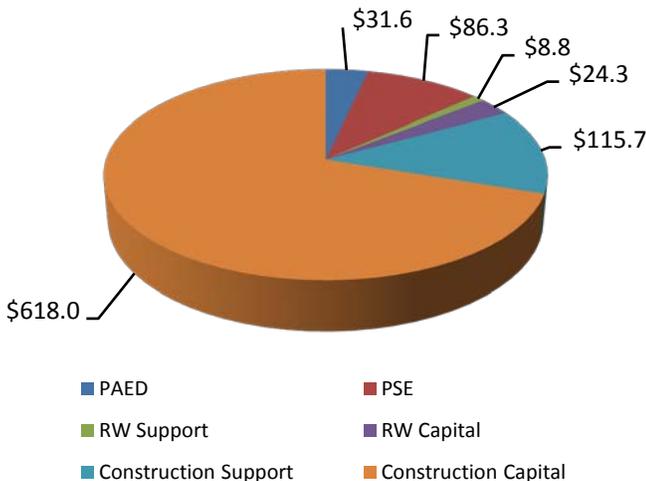
Completed FY 2011-12 SHOPP Projects (4 support components – 2008 SHOPP)

SHOPP Program Level

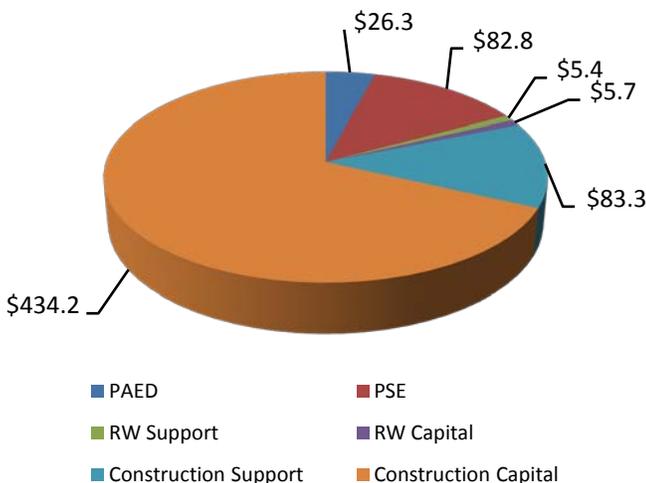
SHOPP Projects, State Funds Only



Approved State Funded Budget



State Funded Expenditures



There were a total of 129 SHOPP projects with four programmed support components that were completed through the second quarter in FY 2012-13. The total amount of State funds⁽¹⁾ that were approved⁽²⁾ by the commission for these projects was \$884.8⁽³⁾ million. The actual cost of the projects completed was \$637.7 million which is 72 percent of the approved funds.

- (1) Funds approved by Commission, STIP, TCRP, SHOPP, ARRA, and Bond.
- (2) Programmed funds, allocated funds, adjusted funds (debits, credits), and supplemental funds.
- (3) Local funds are only included if they were part of the construction contract administered by the Department. Other local funds may not be reflected in accounting and data systems.

SHOPP Component Level

Project Development

Approved	Expended	Percent
\$117,900	\$109,156	93

Right of Way

Approved	Expended	Percent
\$33,168	\$11,059	33

Construction

Approved	Expended	Percent
\$733,685	\$517,477	71

Overall Project Level – All Components

Approved	Expended	Percent
\$884,753	\$637,692	72

At the SHOPP component level, construction and right of way components are under budget, and project development is over budget. Due to bid results and award savings, the overall project expenditures are substantially below the total funds approved for these projects.

Individual Project Component Level

PROJECT DEVELOPMENT (PJD) COSTS

Project Development (PJD) - includes PAED and PS&E cost components which are evaluated for adjustments together as a single component. . PAED is the preliminary engineering effort up to completion of the project report and environmental document. PS&E is the final design to prepare a project ready for construction

(\$1,000'S)	PAED	PSE	TOTAL
Approved Budget	\$31,572	\$86,328	\$117,900
Expenditures	\$26,343	\$82,813	\$109,156
Percent	83%	96%	93%

RIGHT OF WAY (RW) COSTS

Right of Way (RW) - includes support and capital cost components which are evaluated for adjustments together as a single component. . Right of Way is the effort necessary to secure right of way necessary to construct a project. It can include utility relocation work, acquisition of property and coordination with railroads.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$8,797	\$24,371	\$33,168
Expenditures	\$5,367	\$ 5,693	\$11,059
Percent	61%	23%	33%

CONSTRUCTION COSTS

Construction - includes support and capital cost components which are evaluated for adjustments together as a single component.. Construction is the effort to administer a construction contract and make payments to the contractor.

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$115,697	\$617,988	\$733,685
Expenditures	\$ 83,281	\$434,196	\$517,477
Percent	72%	70%	71%

The construction capital cost used is the allocated amount by the commission (as reported to Commission in vote box) unless a higher amount is authorized by G-12 or supplemental vote.

CONSTRUCTION CAPITAL COST TRENDS

The construction capital cost allocated and reported in vote boxes to the Commission reflects the Departments best estimate of the capital budget for construction. This is the amount that would be debited against county shares if these were STIP projects.

The table below provides additional information on subsequent adjustments made to the construction capital costs based on bid results and changes during construction. This provides trend information between programmed, allocated, awarded, construction (includes G-12's and supplemental) and expenditures for completed construction projects.

	Cost (\$1,000'S)	Percent of Allocated Funds
Programmed Budget	\$ 764,420	128 %
Allocated Budget	\$ 599,043	100 %
Awarded Budget	\$ 485,859	81 %
Construction Budget	\$ 514,395	86 %
Expended	\$ 434,196	72 %

OVERALL TOTAL PROJECT LEVEL COSTS

Project - includes four support and two capital cost components. Project costs include all associated direct costs of a project. While project costs are estimated and programmed into six separate components, the total amount programmed can be evaluated against the project total committed and expended .

(\$1,000'S)	Support	Capital	TOTAL
Approved Budget	\$242,394	\$642,359	\$884,753
Expenditures	\$197,804	\$439,889	\$637,692
Percent	82%	68%	72%

COMPONENT COST BREAKDOWN

A breakdown of project component cost information by percent of programmed budget is shown below:

Project Development

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	41	32%	\$39,814	\$18,943		
80-100%	13	10%	\$16,445	\$15,757		
100-120%	20	16%	\$43,867	\$46,851		
>120%	36	28%	\$17,774	\$27,605		
No Budget	19	15%	\$ 0	\$ 0		
TOTAL	129		\$117,900	\$109,156	\$8,744	93%

Right of Way

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	109	84%	\$28,840	\$ 6,829		
80-100%	3	2%	\$ 1,856	\$ 1,574		
100-120%	4	3%	\$ 2,375	\$ 2,522		
>120%	2	2%	\$ 97	\$ 134		
No Budget	11	9%	\$ 0	\$ 0		
TOTAL	129		\$33,168	\$11,059	\$22,109	33%

Construction

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	81	63%	\$488,085	\$291,830		
80-100%	39	30%	\$222,938	\$200,906		
100-120%	7	5%	\$ 19,851	\$ 21,233		
>120%	2	<1%	\$ 2,812	\$ 3,508		
No Construction	0	0%	\$ 0	\$ 0		
TOTAL	129		\$733,685	\$517,477	\$216,208	71%

Overall Total Project

	Projects	Percent Projects	Budget (1,000's)	Expended (1,000's)	Costs (1,000's) Over/Under	Percent
< 80%	71	55%	\$592,367	\$365,574		
80-100%	41	32%	\$248,943	\$223,654		
100-120%	12	9%	\$ 35,384	\$ 37,788		
>120%	5	4%	\$ 8,059	\$ 10,677		
TOTAL	129		\$884,753	\$637,692	\$247,061	72%

Appendix

- (A) Glossary
- (B) Past Years' Contracts for Delivery Award Status
Projects Not Yet Awarded
- (C) Environmental Documents
- (D) Status of Major Projects with Right of Way
- (E) Construction Contract Administration
- (F) Completed Projects Cost Information

Glossary

- #** 1st – First
2nd – Second
3rd – Third
4th - Fourth
- A** AB – Assembly Bill
- B** BATA – Bay Area Toll Authority
BIP –
BOND – Proposition 1B Bond Program
- C** Cap – Capital (has construction)
CE – Categorical Exemption
Cert - Certification
CTC – California Transportation
Commission
Cty - County
- D** Doc – Document
D-EA – District and expenditure
authorization
DED – Draft environmental document
- E** ED – Environmental Document
EIR – Environmental Impact Report
Emerg – Emergency funded project
Env - Environmental
- F** FED – Final environmental document
FY – Fiscal Year
FYTD – Fiscal year to date
- N** ND – Negative Declaration
NOP – Notice of Preparation
- P** PART – Partnership (local funded
projects delivered by state including
contributor funds on state funded
projects (counts all non-STIP or
non- SHOPP Funds)
PAED – Project approval and
environmental document
PM – Post Mile
PSE – Plans, specifications and
estimate
- Q** Q1 – First Quarter
Q2 – Second Quarter
Q3 – Third Quarter
Q4 – Fourth Quarter
Qtr – Quarter
- R** RTL – Ready to list
Rte – Route
R/W – Right-of-way
RWC – Right-of-way certification
- S** SDWLL – Retrofit Soundwall funded
project
SHOPP – State Highway Operations
and Protection Program
STIP – State Transportation
Improvement Program
- T** TBSRA – Toll Bridge Seismic Retrofit
Account
TCRF – Traffic congestion relief funds

Prior Years' Contracts for Delivery Award Status

Projects initial bid.

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
11-12	03-3E620	SHOPP	BUT	099	REPLACE BRIDGE (SCOUR)	\$10,807	05/01/12	12/06/12	12/24/12	02/20/13	
11-12	04-0A790	SHOPP	CC	004	UPGRADE TRAFFIC BARRIER AND GUARDRAIL END TERMINALS AT VARIOUS	\$5,296	06/22/12	10/24/12	11/13/12	12/18/12	
11-12	04-1A320	SHOPP	CC	580	BRIDGE DECK REPLACEMENT	\$19,000	01/10/12	08/22/12	09/04/12	10/31/12	
11-12	04-2A440	SHOPP	SCL	152	IMPROVE SIGHT DISTANCE, UPGRADE SHOULDERS AND PROVIDE	\$13,930	05/01/12	08/23/12	11/13/12	01/15/13	
11-12	04-4A320	SHOPP	SM	280	INSTALL METAL BEAM GUARDRAILING	\$4,521	06/15/12	08/22/12	09/04/12	10/09/12	
11-12	06-0G840	STIP	KER	099	WIDEN FREEWAY FROM 6 TO 8 LANES	\$13,600	06/01/12	10/24/12	11/13/12	01/16/13	
11-12	06-43070	STIP	TUL	216	WIDEN AND REALIGNMENT OF HOUSTON AVENUE	\$5,500	04/15/12	10/24/12	02/04/13	03/05/13	
11-12	07-22820	SHOPP	VEN	001	STRUCTURE (SEAWALL) RESTORATION	\$14,300	10/14/11	08/22/12	10/22/12	11/29/12	
11-12	07-26610	SHOPP	LA	134	INSTALL MBGR *NOT PROGRAMMED	\$5,098	04/16/12	08/22/12	12/03/12	01/17/13	
11-12	07-27450	SHOPP	LA	005	UPGRADE MBGR & CRASH CUSHIONS	\$3,859	05/23/12	10/24/12	12/03/12	01/10/13	
11-12	07-27670	SHOPP	VEN	001	INSTALL ADA CURB RAMPS	\$499	05/11/12	12/06/12	01/07/13	01/31/13	
11-12	08-0H330	SHOPP	RIV	215	COLD PLAN 0.15 FT AND PLACE 0.5 FT RUBBERIZED AC TYPE G	\$15,392	03/30/12	06/28/12	08/27/12	10/11/12	
11-12	11-29030	SHOPP	SD	005	SEISMIC RETROFIT AND STRUCTURE REHABILITATION	\$3,698	05/31/12	08/22/12	10/01/12	11/08/12	

\$115,500

Projects allocated, not advertised

11-12	04-1G560	SHOPP	ALA	880	REMOVE EXISTING MEDIAN DOUBLE METAL BEAM BARRIER AND INSTALL TYPE 60A	\$1,500	06/29/12	08/22/12			DELISTED
11-12	11-28960	SHOPP	IMP	086	SCOUR MITIGATION	\$9,254	02/22/12	05/24/12			

\$10,754

Projects delivered, not allocated with issue pending

10-11	08-36850	SHOPP	SBD	15	Const Comm Veh Enforcement Facility (Cvef) & Agric Inspec Facility	\$ 71,244	06/30/11				Needs Dept Finance Approval and Dept
11-12	02-0E360	SHOPP	TEH	005	REBUILD N/B & S/B FACILITIES AT CORNING SRRA'S	\$6,000	12/01/11				FED AUTH
11-12	04-3S752	SHOPP	SON	001	REPLACE CULVERT AND ROCK SLOPE PROTECTION	\$340	12/05/11				FED AUTH
11-12	04-3S830	SHOPP	SCL	017	CONSTRUCT HEADWALL AND SOIL WALL, DRAINAGE SYSTEM	\$2,949	01/09/12				FED AUTH
11-12	04-4S080	SHOPP	SCL	101	WITH GEOGRID AND SEAL CRACKED WINGWALL	\$975	06/22/12				
11-12		SHOPP	KER	099	GRIND IN RUMBLE STRIPS	\$980	03/01/12				
11-12	07-27820	SHOPP	LA	010	INSTALL ADA CURB RAMPS	\$3,000	05/22/12				Additional ADA review
11-12	08-0G620	SHOPP	SBD	038	GRIND 30MM & OVERLAY 45MM DGAC TYPE A	\$13,155	01/31/12				FED AUTH
11-12	08-0K230	SHOPP	RIV	010	PCC GRINDING AND SLABS REPLACEMENT	\$6,778	05/16/12				FED AUTH

FY CFD	D-EA	Pgm	Cty	Rte	Description	Value	Ready to List	Vote	Ad	Bid Opening	Comments
11-12	08-0K280	SHOPP	SBD	040	GRIND 45 MM AND OVERLAY 60 MM AC	\$23,219	08/15/11				FED AUTH, Upgraded RW CERT
11-12	12-0H208	SHOPP	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	\$4,458	03/01/12				RW CERT 3W

\$133,098

Projects delivered, with future year programmed funds

11-12	07-3X000	SHOPP	VEN	033	CONSTRUCT SOLDIER PILE WALL	\$2,500	04/30/12				Advanced Delivery
11-12	07-3X020	SHOPP	VEN	150	CONSTRUCT SOLDIER PILE WALLS	\$4,000	05/30/12				Advanced Delivery
11-12	08-0J010	SHOPP	SBD	018	COSNTRCUT LEFT TURN IN BOTH DIRECTION WIDEN SHOULDER WEST BOUND	\$803	06/30/12				Advanced Delivery
11-12	08-0M310	SHOPP	RIV	060	UPGRADE PEDESTRIAN FACILITIES ADA RAMPS 37 CURB RAMPS	\$673	02/01/12				Advanced Delivery
11-12	11-07670	SHOPP	IMP	000	CONSTRUCT MAINTENANCE STATION	\$9,158	11/26/11				Advanced Delivery

\$17,134

Projects not delivered

11-12	07-1170U	STIP/C MAQ	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	\$134,760	04/17/12				Not delivered, RW acquisition ongoing.
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Final Environmental Document (FED) Milestone Delivery

First Quarter - 34 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	49650	SHOPP	HUM	101	EXCAVATE HAZARDOUS WASTE	Completed
01	0B190	SHOPP	HUM	101	INSTALL MEDIAN BARRIER	Completed
01	0B430	SHOPP	HUM	101	BIG LAGOON SLIPOUT REPAIR	Completed
01	0B470	SHOPP	MEN	001	REPAIR SLIPOUT	Behind Schedule
02	1E060	STIP	MOD	139	INSTALL CCTV AND RWIS	Completed
02	4E530	SHOPP	SHA	005	BRIDGE REHABILITATION.	Completed
03	0F230	SHOPP	SAC	050	INSTALL TWO 4" MICRODECK SYSTEMS TO REHA	Completed
03	1F280	STIP	GLE	005	NATIVE PLANTING	Completed
03	1F290	STIP	COL	005	NATIVE PLANTING	Completed
03	1F330	SHOPP	ED	193	IMPROVE SUPERELEVATION OF CURVE	Completed
03	2F150	SHOPP	ED	049	LEFT TURN CHANNELIZATION	Completed
03	3F320		SAC	050	PLACE THIN HIGH FRICTION SURFACE	Completed
03	4E480	STIP	SAC	099	INSTALL NATIVE PLANTING	Completed
04	3G300		CC	160	FENDER SYSTEM	Behind Schedule
04	4A630	SHOPP	VAR	000	CONSTRUCT WHEELCHAIR RAMPS	Completed
05	1C320	SHOPP	SLO	046	INSTALL RUMBLE STRIPS	Completed
06	0N380	SHOPP	KER	178	CONSTRUCT RETAINING STRUCTURES	Completed
07	27490	SHOPP	LA	110	SOURCE CONTROL *SHOPP CANDIDATE	Completed
07	27590	SHOPP	LA	110	SOURCE CONTROL *SHOPP CANDIDATE	Completed
07	3X350	SHOPP	LA	105	RECONSTRUCT THE FAILED SLOPE *DIR	Completed
07	3X410	SHOPP	LA	002	GRADE SLOPE,PLACE EROSION CONTROL *DIR	Completed
07	4T560	SHOPP	LA	107	SIGNALS	Completed
07	4T570	SHOPP	LA	105	RDWRK/FLSHNG BCN/LGTHNG DRNG,STRPNG	Completed
07	4T580	SHOPP	LA	210	INSTALL TRAFFIC SIGNAL	Completed
08	33630	SHOPP	SBD	38	REPLACE BRIDGE DECK, UPGRADE BRIDGE RAIL, A	Completed
08	43541	SHOPP	SBD	040	PLACE ROCK SLOPE PROTECTION	Completed
08	0P310	SHOPP	SBD	395	INSTALL LEFT-TURN PHASING	Completed
08	0Q230	SHOPP	SBD	018	SIGNALIZE INTERSECTION. PLUS CURB RAMPS, AL	Completed
08	3348H	STIP	RIV	215	FACILITATE MANAGING LANDSCAPE DESIGN. PL	Completed
09	34650	SHOPP	MNO	266	CURVE CORRECTION/COLLISION SEVERITY REDUC	Completed
10	0K021	SHOPP	MER	099	INSTALL ABOUT 220 TREES AND 800 GROUND CO	Completed
11	26330	STIP	IMP	008	REVISED INTERCHANGE	Completed
11	29230	SHOPP	SD	805	STORM WATER MITIGATION SLOPE EROSION REF	Completed
11	40670	SHOPP	SD	005	PAVEMENT REHABILITATION	Completed

Second Quarter - 34 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	0C360		MEN	101	INSTALL HIGH FRICTION COURSE TREATMENT	Completed
02	1E000	STIP	MOD	299	INSTALL HAR	Completed
02	29971	STIP	MOD	299	WIDEN TO THREE LANES	Completed
02	4E610	SHOPP	TRI	299	REPAIR SLIDES.	Behind Schedule
03	0F270	SHOPP	YUB	065	SCOUR MITIGATION BRIDGE REHAB	Completed
03	1A843	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, W	Behind Schedule
03	1A845	SHOPP	ED	089	STORM WATER QUALITY IMPROVEMENTS O/L, W	Behind Schedule
03	1F420	SHOPP	BUT	099	SHOULDER WIDENING ON STRUCTURE	Behind Schedule
03	2F000	SHOPP	VAR	000	UPGRADE GUARDRAIL END TREATMENTS	Behind Schedule
03	2F970	SHOPP	COL	020	HMA OVERLAY	Behind Schedule
03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE	Behind Schedule
03	4M200	SHOPP	COL	005	SUB-SCOUR MITIGATION-7 BRIDGES	Completed
04	2G870	SHOPP	MNR	001		Behind Schedule
04	4A090	SHOPP	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON	Completed
05	0T500	STIP	SCR	000	VISTA POINT INTERPRETIVE DISPLAYS	Completed
05	0T640	SHOPP	SBT	025	CURVE CORRECTION	Behind Schedule
06	0E680	STIP	MAD	099	99 CORRIDOR-BRIDGE ENHANCEMENT	Completed
06	0G850		KER	058	WIDEN FREEWAY FROM 4 TO 6 LANES	Completed
06	0J530	SHOPP	TUL	190	INTERSECTION IMPROVEMENTS	Completed
07	26560	SHOPP	LA	138	WIDEN ROADWAY AND PAVE SHOULDERS	Behind Schedule
07	28850	SHOPP	LA	405	PAVE AREA,CONSTRUCT SCALE HOUSE	Completed
07	29250	SHOPP	VEN	101	MODIFY TRAFFIC SIGNAL	Completed
08	0071H		SBD	066	REPLACE LANDSCAPE & IRRIGATION	Completed
08	0071J		SBD	215	REPLACE LANDSCAPE AND IRRIGATION	Completed
08	0071K		SBD	215	REPLACE LANDSCAPE AND IRRIGATION	Completed
08	0071L		SBD	215	REPLACE LANDSCAPE AND IRRIGATION	Completed
08	0G900	SHOPP	SBD	247	CONSTRUCT STANDARD PAVED SHOULDER	Completed
08	0M200	SHOPP	RIV	371	CONSTRUCT SHOULDER	Completed
08	0N560	SHOPP	SBD	040	BRIDGE REPLACEMENT	Completed
08	0N591	SHOPP	SBD	040	BRIDGE REPLACEMENT	Completed
08	0R840	SHOPP	SBD	015	REPAIR CULVERT BY COMPACTION GROUTING TH	Completed
10	0U610	SHOPP	SJ	012	REMOVE & REPLACE EXISTING CONCRETE BRIDG	Completed
11	40850	SHOPP	SD	125	INSTALL MEDIAN BARRIER	Completed
12	0J61U	SHOPP	ORA	005	REVEGETATION OF EXPOSED SLOPES FOR NPDES	Completed

Third Quarter - 28 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION	
01	0B000	SHOPP	LAK	020	REPLACE HOT MIX ASPHALT, APPLY GAP GRADED	
01	0B100	SHOPP	HUM	101	INSTALL CABLE MEDIAN BARRIER	
02	4C402	STIP	SHA	005	WIDEN TO 6 LANES.	
02	4F100	SHOPP	PLU	070	PERM. RESTORATION	
03	3E120	SHOPP	BUT	070	SEISMIC RETROFIT	
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE	
03	1F260	STIP	SIE	089	CONSTRUCT TWO WILDLIFE UNDERCROSSINGS A	
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	
03	2F160		SAC	160	RE-ALIGN ROUTE	
03	3C000		SAC	005	CONST HOV LANES	
03	3F000	SHOPP	YUB	020	HMA OVERLAY	
03	3F180	SHOPP	SAC	080	PLACE VEGETATION CONTROL AND GRAVEL	
04	04100		SM	092	RESURFACE ORTHOTROPIC DECK	
04	1SS02	SHOPP	ALA	013		Completed
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB	
06	0M050	SHOPP	FRE	168	REALIGN CURVE	
07	28380	STIP	LA	101	VINE PLANTING TRANS ENHANCEMENT *ITIP TE	
07	29090	SHOPP	LA	210	REPLACE MBGR TO CONCRETE BARRIER	
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	
08	0J990	SHOPP	SBD	018	REPLACE AND REPAIR DAMAGED SIDEWALK AND	
08	0P980	SHOPP	SBD	018	SIGNALIZE INTERSECTION. ADA REQUIREMENTS	
08	0Q600	SHOPP	SBD	002	MODIFY DIKE AND PLACE CONCRETE SLOPE	
09	33500	SHOPP	MNO	395	MITIGATE MONO LAKE ROCKFALL	
10	0S870	SHOPP	STA	004	SHOULDER WIDENING WITH RUMBLE STRIPS	
11	26041	SHOPP	SD	008	DRAINAGE IMPROVEMENTS	
11	28250	SHOPP	SD	005	STORM WATER MITIGATION STORM WATER MIT	
12	0H900	SHOPP	ORA	055	REMOVE DISEASED EUC. TREES, UPGRADE EXIST	

Fourth Quarter - 23 Planned Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	47940	STIP	DN	199	SHOULDER WIDENING & BRIDGE WIDENING /RE	
01	4500U	SHOPP	DN	199	WIDENING	
02	2E740	SHOPP	SHA	299	CURVE IMPROVEMENT	
02	3E410	SHOPP	SHA	299	HIGHWAY REALIGNMENT	
02	3E790	SHOPP	TRI	299	CURVE IMPROVEMENTS	
02	4E410	SHOPP	TRI	299	.121 WEAVERVILLE CAPM	Completed
03	3E110	SHOPP	NEV	080	PAINT STRUCTURES	
04	1G850	SHOPP	SM	092	INSTALL OR MODIFY METAL BEAM GUARD RAILS	
04	3G450		CC	580	REPLACE JOINT SEALS	
04	3G750	SHOPP	SM	092	CONSTRUCT RSP AND INSTALL DRAINAGE	
04	3G760	SHOPP	NAP	128	INSTALL SLOPE INDICATORS,INSTALL NEW HORIZ	
05	0T540	SHOPP	SCR	129	CURVE REALIGNMENT	
07	28720	SHOPP	LA	134	STORM WATER SOURCE CONTROL *2012 SHOPP	
07	28730	SHOPP	LA	210	STORM WATER SOURCE CONTROL *2012 SHOPP	
07	29210	SHOPP	LA	101	MAINTENANCE SAFETY	
07	29220	SHOPP	LA	002	MAINTENANCE SAFETY	
09	35120	SHOPP	INY	395	SHOULDER WIDENING AND CONSTRUCT RUMBLE	
10	0Q120	STIP	MER	099	WIDEN FROM FOUR LANES TO SIX LANES	
10	0Q170	SHOPP	SJ	580	DIGOUT AND REPAIR OF LOCALIZED FAILURES/AC	Completed
11	26160	SHOPP	SD	005	FREWAY MAINTENANCE ACCESS	
11	40930	SHOPP	SD	075	BRIDGE REHABILITATION	
11	41060	SHOPP	SD	005	INSTALL AND UPGRADE CURB RAMPS	
12	0H20U	SHOPP	ORA	055	REMOVE DISEASED TREES AND UPGRADE HIGHW	

Legend

	Completed
	Env Doc Done, Project Report Pending
	Behind Schedule
	To Be Completed
	Delay Out of Year

Draft Environmental Document (DED) Milestone Delivery

First Quarter - 12 Planned DED Deliverables

D	EA	Pgm	Cty	Rte	Description	
03	0F270	SHOPP	YUB	065	SCOUR MITIGATION BRIDGE REHAB	Completed
03	0F690	SHOPP	BUT	070	REPLACE BRIDGE	Completed
03	1F400		PLA	080	WIDENING EASTBOUND ROADWAY	Behind Schedule
03	1F420	SHOPP	BUT	099	SHOULDER WIDENING ON STRUCTURE	Completed
03	4E860	SHOPP	PLA	193	IMPROVE AND WIDEN CURVE	Completed
03	4M200	SHOPP	COL	005	SUB-SCOUR MITIGATION-7 BRIDGES	Completed
04	4A090	SHOPP	NAP	029	REPLACEMENT OF TROUTDAL CREEK BRIDGE ON	Behind Schedule
05	0G040	SHOPP	SLO	101	HIGHWAY REHAB	Behind Schedule
06	43080	STIP	TUL	065	CONSTRUCT 4-L EXPRESSWAY ALONG AN EXISTIN	Completed
06	0G850		KER	058	WIDEN FREEWAY FROM 4 TO 6 LANES	Completed
06	0M050	SHOPP	FRE	168	REALIGN CURVE	Completed
08	04351	STIP	SBD	58	REALIGN & WIDEN 2 TO 4 LANE EXPWY	Completed

Second Quarter - 6 Planned DED Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	49710	SHOPP	MEN	271	HAZARDOUS WASTE MITIGATION	Completed
03	3E120	SHOPP	BUT	070	SEISMIC RETROFIT	Completed
03	2F160		SAC	160	RE-ALIGN ROUTE	Behind Schedule
05	0T540	SHOPP	SCR	129	CURVE REALIGNMENT	Behind Schedule
08	0Q600	SHOPP	SBD	002	MODIFY DIKE AND PLACE CONCRETE SLOPE	Behind Schedule
10	0Q120	STIP	MER	099	WIDEN FROM FOUR LANES TO SIX LANES	Behind Schedule

Third Quarter - 11 Planned DED Deliverables

D	EA	Pgm	Cty	Rte	Description	
02	3E410	SHOPP	SHA	299	HIGHWAY REALIGNMENT	
02	4C402	STIP	SHA	005	WIDEN TO 6 LANES.	
04	3G620	SHOPP	SF	101	BRIDGE REHABILITATION	
04	4S190	SHOPP	SON	116	CONSTRUCT SOLDIER PILE WALL	
05	0T630	SHOPP	SB	101	CURVE REALIGNMENT	
08	34770	STIP	SBD	58	CONSTRUCT 4-LANE EXPWY ON NEW ALIGN	
08	0J930	SHOPP	SBD	015	REHABILITATE BRIDGE	
08	0Q300	SHOPP	SBD	138	CONSTRUCT TWO LANE CONVENTIONAL HWY	
09	35120	SHOPP	INY	395	SHOULDER WIDENING AND CONSTRUCT RUMBL	
10	0F280	SHOPP	CAL	004	CONSTRUCT WALL FOR SEDIMENT CONTROL	
10	0V290	SHOPP	CAL	026	INSTALL A TWO-WAY LEFT-TURN LANE	

Fourth Quarter - 10 Planned DED Deliverables

D	EA	Pgm	Cty	Rte	Description	
01	26201	STIP	MEN	101	RYAN CREEK FISH PASSAGE - COHO SALMON MIT	
01	49771	SHOPP	MEN	001	PERMANENT RESTORATION: REPAIR SLIPOUT / F	
01	0A360	SHOPP	HUM	299	CURVE IMPROVEMENT	
01	0B500	SHOPP	MEN	101	REPAIR SLIDES	
03	0F240	SHOPP	SAC	005	PLACE 3/4" POLYESTER OVERLAY, REPLACE JT SEA	
03	2F210	SHOPP	SAC	050	BRIDGE DECK REHABILITATION	
04	17244	SHOPP	ALA	084	ENVIRONMENTAL MITIGATION	
04	0A020	SHOPP	SON	001	REALIGN ROADWAY	Delay Out of Year
04	1G020		SM	082	INSTALL LEFT TURN CHANNELIZATION	
08	0N970	SHOPP	SBD	395	CONSTRUCT 4' MEDIAN BUFFER & WIDEN EXISTI	

	Completed
	Not Needed due to Env Doc Change
	Behind Schedule
	To Be Completed
	Delay Out of Year (see delay categories below)

2012/13 FY Right of Way Capital Major Project List

D	EA	PPNO	Cty	Rte	Description	RW Cert Date	RW Capital All Funds	RW Capital STIP/SHOPP	Doc Year	Total RW Capital Estimate	2013 FY Alloc Plan	TOTAL FYTD Commitments as of 01/01/13	Allocation Expended %	Allocation Remaining	1st Qtr	2nd Qtr	3rd Qtr	4th Qtr
01	262001	0125F	MEN	101	CONSTRUCT 4-LANE FREEWAY, PHASE 1	5/28/2010	33,000	26,750	2010	42,188	15,020,000	2,014,050	13.41%	13,005,950	1,112	2,012,938	0	0
01	3986U1		LAK	053	WIDEN ROADWAY WITH HMA OVER AB	5/25/2010	1,086	1,086	2008	1,031	1,021,000	0	0.00%	1,021,000	0	0	0	0
02	360700	3427	SHA	299	ROADWAY REHABILITATION	4/1/2013	3,092	3,092	2010	2,143	2,039,000	304,105	14.91%	1,734,895	184,450	119,656	0	0
03	3C3800	3258	ED	050	STORM WATER MITIGATION	6/1/2013	3,400	3,400	2010	3,002	2,378,000	139,447	5.86%	2,238,553	70,247	69,200	0	0
04	245441		SON	101	COLLEGE AVENUE IMPROVEMENT	8/20/2012	4,430	4,100	2010	4,509	1,256,000	0	0.00%	1,256,000	0	0	0	0
04	264071	0360J	MRN	101	CONSTRUCT INTERCHANGE ON RTE 101 AT SAN ANTONIO RD;INCLUDING FRONTAGE	4/26/2012	24,566	8,254	2010	33,803	2,336,000	1,018,602	43.60%	1,317,398	15,021	1,003,581	0	0
04	264081	0360H	SON	101	CONSTRUCT INTERCHANGE ON RTE 101 AT PETALUMA BLVD;INCLUDING FRONTAGE	4/15/2012	13,406	10,810	0	0	4,815,000	1,945,628	40.41%	2,869,372	401,852	1,543,776	0	0
04	4A5100	0609K	SF	280	REPLACE BRIDGE HINGES	5/1/2013	3,570	3,570	2010	3,390	1,413,000	235,364	16.66%	1,177,636	235,364	0	0	0
05	315801	0058E	MON	101	CONSTRUCT NEW INTERCHANGE AT SAN JUAN ROAD	4/2/2012	26,900	16,450	2010	26,899	13,236,000	5,685	0.04%	13,230,315	4,718	967	0	0
05	330771	0226G	SLO	046	CONVERT TO 4-LANE EXPRESSWAY	1/6/2012	4,400	4,400	2010	3,320	1,150,000	214,572	18.66%	935,428	0	214,572	0	0
05	344901	0297	SBT	156	WIDEN 2 TO 4 LANES	1/16/2015	14,636	14,636	2010	21,807	5,649,000	0	0.00%	5,649,000	0	0	0	0
05	492801	4928	SLO	001	REALIGN ROADWAY	8/8/2013	14,170	14,170	2010	15,603	8,600,000	20,264	0.24%	8,579,736	20,150	114	0	0
05	0C6401	6400	SB	246	PASSING LANES AND OPERATIONAL IMPROVEMENTS	4/3/2013	1,205	1,205	2010	1,073	1,056,000	0	0.00%	1,056,000	0	0	0	0
05	4482U1	0482	SB	101	RECONSTRUCT INTERCHANGES (2) AND REPLACE CARPINTERIA CR BR	7/15/2014	9,597	5,883	2010	9,388	3,101,000	6,000	0.19%	3,095,000	6,000	0	0	0
06	487501	4348	KIN	198	RECONSTRUCT INTERCHANGE	8/15/2012	3,327	1,327	2010	1,704	1,196,000	49,315	4.12%	1,146,685	40,111	9,204	0	0
07	119341	0310B	LA	10	CONSTRUCT HOV LANE IN EA DIRECTION *OVERSIGHT ONLY*PR & ENVR ONLY*S	4/11/2013	8,000	8,000	2010	6,306	4,973,000	21,227	0.43%	4,951,773	21,227	0	0	0
07	172151	2022C	LA	30	FREEWAY CONSTRUCTION	6/16/2000	31,739	31,739	1998	22,505	3,390,000	0	0.00%	3,390,000	0	0	0	0
07	202111	4137	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	5/11/2011	3,000	3,000	2010	2,270	1,000,000	69,653	6.97%	930,347	0	69,653	0	0
07	202121	4137A	LA	710	LONG LIFE PAVEMENT & WIDEN BRIDGES	4/8/2013	14,500	14,500	2010	23,297	11,000,000	0	0.00%	11,000,000	0	0	0	0
07	215921	2808	LA	005	ROADWAY WIDENING (SEG 2) *POR=2159A1	1/4/2013	249,994	89,757	2010	400,434	26,930,000	13,459,828	49.98%	13,470,172	2,619,199	10,840,629	0	0
07	215941	4155	LA	005	ROADWAY WIDENING (SEG 4) *POR=2159A1	3/9/2012	111,583	85,404	2010	239,388	25,568,000	7,508,476	29.37%	18,059,524	3,576,110	3,932,366	0	0
07	215951		LA	005	ROADWAY WIDENING & STRIPING (SEG 5) *POR=2159A1	1/4/2013	36,452	36,452	2010	83,755	16,000,000	2,154,314	13.46%	13,845,686	1,558,572	595,742	0	0
07	260701	3918	VEN	101	HOV LANES *COMBINED/196401	6/22/2011	1,980	1,980	2010	1,975	1,000,000	168	0.02%	999,832	168	0	0	0
07	293901	4554	LA	060	BRIDGE REPLACEMENT	2/3/2012	1,000	1,000	2010	6,105	1,000,000	768,495	76.85%	231,505	768,495	0	0	0
07	1170U1	0309N	LA	010	CONSTRUCT HOV LANES & SOUNDWALLS *COMB WITH 117081 & 111721	6/8/2012	20,304	20,304	2010	31,944	4,500,000	801,069	17.80%	3,698,932	765,345	35,724	0	0
08	355560	0174L	SBD	015	ADD NB MIXED FLOW LANE W/AUX LANE. RECON "D" & "E" ST IC'S & STODDARD	1/7/2013	23,984	23,984	2010	32,094	5,881,000	407,857	6.94%	5,473,143	374,359	33,498	0	0
08	0F6601	0253E	SBD	247	CONSTRUCT SHOULDERS @ VARIOUS LOC	9/6/2013	3,074	3,074	2010	3,473	1,132,000	73,200	6.47%	1,058,800	29,100	44,100	0	0
08	3401U1	0239D	SBD	138	WIDEN TO 4 LNS FR BEEKLEY RD TO JCT 15, ADD 1 LN EB FR PHELAN RD TO	12/5/2013	3,569	3,569	2010	9,927	3,569,000	109,987	3.08%	3,459,014	12,607	97,380	0	0
10	0A872	9940C		219	WIDEN TO 4 LANES AND INTERSECTION IMPROVEMENTS	11/7/2011	17,281	15,041	2010	15,696	1,600,000	839,210	52.45%	760,790	22,526	816,684	0	0
11	167891		IMP	078	CONSTRUCT FOUR LANE EXPRESSWAY	4/1/2010	26,375	13,371	2010	18,016	1,912,000	0	0.00%	1,912,000	0	0	0	0
12	0C5601	4587A	ORA	091	PROVIDE AN AUXILIARY LANE FROM NB SR-55 TO TUSTIN AVE OFF-RAMP	6/15/2013	1,700	1,700	2010	6,077	1,700,000	169,329	9.96%	1,530,671	42,424	126,905	0	0
12	0E3101	4102	ORA	074	RECONSTRUCT IC AT SR-74	4/19/2012	28,753	28,753	2010	28,753	7,680,000	463,869	6.04%	7,216,131	153,661	310,207	0	0
12	0H2081	3577A	ORA	055	FLATTEN THE SLOPE ABOVE THE MAINTENANCE ACCESS ROAD	5/24/2012	11,670	11,670	2010	3,166	2,500,000	35	0.00%	2,499,965	35	0	0	0

Grand Total 185,601,000 32,799,748 152,801,252 10,922,852 21,876,896 0 0



Division of Construction
CONTRACT ADMINISTRATION
Q2 Fiscal Year 2012/13 (\$ in Millions)



Construction Contracts - Quarterly Status Report^a

	Q2 11/12		Q3 11/12		Q4 11/12		Q1 12/13		Q2 12/13	
	Number	Value								
1. Ongoing Contracts @ Beginning of Quarter	715	\$10,547	638	\$ 9,851	635	\$10,942	764	\$11,162	739	\$ 11,547
2. New Contracts this Quarter	72	\$ 341	123	\$ 1,605	245	\$ 919	103	\$ 775	88	\$ 1,345
3. Accepted Contracts this Quarter	149	\$ 1,037	126	\$ 514	116	\$ 699	128	\$ 390	188	\$ 818
Ongoing Contracts @ End of Quarter (1+2-3)	638	\$ 9,851	635	\$10,942	764	\$11,162	739	\$11,547	639	\$ 12,074
4. Accepted Contracts @ Beginning of Quarter	1,408	\$ 7,302	1,293	\$ 7,590	1,264	\$ 7,325	1,238	\$ 6,916	1,249	\$ 6,425
5. Accepted Contracts this Quarter	149	1,037	126	514	116	699	128	390	188	818
6. Contracts Closed this Quarter	257	501	153	659	140	1,103	115	874	85	407
7. New Contracts in Arbitration this Quarter	7	248	2	120	2	5	2	7	2	6
Accepted Contracts @ End of Quarter (4+5-6-7) ^b	1,293	\$ 7,590	1,264	\$ 7,325	1,238	\$ 6,916	1,249	\$ 6,425	1,350	\$ 6,830
Accepted Contracts with claims only @ End of Quarter ^c	88	\$ 887	114	\$1,647	58	\$1,110	64	\$1,394	105	\$693
8. Contracts in Arbitration @ Beginning of Quarter ^d	38	\$ 638	40	\$849	38	\$ 913	32	\$ 578	28	\$ 485
9. New Contracts in Arbitration this Quarter	7	248	2	120	2	5	2	7	2	6
10. Contracts with Arbitration Settlements/Awards this Quarter	5	37	4	56	8	340	6	100	7	147
Contracts in Arbitration @ End of Quarter (8+9-10)	40	\$ 849	38	\$ 913	32	\$ 578	28	\$ 485	23	\$ 344

- ^a Quarterly figures updated to reflect revised or new data at the end of the current quarter.
- ^b Accepted contracts with close-out activities in progress. Contracts in arbitration are not included.
- ^c Beginning Quarter 1 of FY 2010/2011, quarterly figures exclude contracts that have a final estimate (FE), a district director determination (DDD), or district expenditures done (DED).
- ^d A contractor may file for arbitration 240 days after project acceptance, or within 90 days after final determinations on claims have been made. Contractors must file within 90 days after the Department makes a final determination on claims or lose opportunity for arbitration. (Contractors have 180 days to file on contracts that were approved prior to January 1, 1999.)

Total dollar amount of claims at end of current quarter = \$38M
Total dollar amount filed for in arbitration at end of current quarter = \$47M

Includes contracts flagged as: Emergency, Local Assistance, and Warranty

FY 2012-13 Completed STIP Projects, (STATE Funds Only Pre-Construction, State & Local Funds for Construction)

																	Total Overall Project		
				Project Development PJD				Right of Way Component				Construction Component							
		PAED		PSE		RW Support		RW Capital		CON Support		CON Capital							
D	CTY	RT	Description	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost				
☒ Costs > 120%				◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%							
Quarter 1 Projects																			
1	Men	101	Simpson Ln Intersection	\$ 642,640	\$ 642,640	\$ 922,363	\$ 922,363	☑	\$ 372,207	\$ 372,207	\$ 640,178	640,178	☑	\$ 323,421	\$ 323,421	\$ 1,695,000	\$ 1,659,154	☑	☑
4	SCI	680	Sunol Grade SB HOV Ph 3	\$ 345,000	\$ -	\$ 5,715,000	\$ 6,121,000	◆	\$ 160,000	\$ 178,000	\$ 1,295,000	151,000	●	\$ 8,245,000	\$ 4,425,000	\$ 54,390,750	\$ 50,198,000	☑	☑
5	SB	101	Landscaping	\$ 1,049,000	\$ 962,682	\$ 3,051,000	\$ 3,388,697	◆	\$ 559,000	\$ 340,504	\$ 414,000	247,989	●	\$ 2,470,000	\$ 3,131,431	\$ 10,040,000	\$ 8,785,312	☑	☑
5			Wildlife Fencing	\$ -	\$ -	\$ -	\$ -		\$ -	\$ -	\$ -	\$ -		\$ 50,000	\$ 106,595	\$ 597,800	\$ 596,800	◆	◆
6	Fre	99	North Fresno 6 Ln	\$ 500,000	\$ 494,458	\$ 1,500,000	\$ 1,491,674	☑	\$ 200,000	\$ 9,187	\$ 250,000	87,638	●	\$ 3,500,000	\$ 3,206,647	\$ 21,000,000	\$ 16,834,950	☑	☑
8	Riv	10	Ramon Rd IC	\$ 1,816,000	\$ 1,696,102	\$ 7,661,000	\$ 6,164,059	☑	\$ 1,499,000	\$ 1,100,851	\$ 10,749,000	7,510,636	●	\$ 5,753,000	\$ 5,248,692	\$ 36,882,065	\$ 25,000,000	●	●
9	Iny	Var	Historic Alignment Pullouts	\$ 71,000	\$ 87,232	\$ 179,000	\$ 145,302	☑	\$ 8,000	\$ -	\$ -	0	●	\$ 115,000	\$ 76,731	\$ 410,000	\$ 369,535	☑	☑
Quarter 2 Projects																			
4	SM	82	El Camino Real Signals	\$ -	\$ 59,783	\$ 2,196,000	\$ 2,497,895	◆	\$ 30,000	\$ 185,658	\$ 60,000	31,892	☒	\$ 1,704,000	\$ 1,449,403	\$ 5,991,000	\$ 5,149,201	☑	☑
5	Mon	101	Airport Blvd IC East	\$ 976,000	\$ 1,085,234	\$ 1,121,000	\$ 1,515,400	☒	\$ 784,000	\$ 539,553	\$ 1,896,000	942,699	●	\$ 3,500,000	\$ 2,844,817	\$ 10,754,531	\$ 9,347,940	☑	☑
5	SB	101	Milpas-Cabrillo Op Impvmts	\$ 3,000,000	\$ 2,299,180	\$ 6,610,000	\$ 6,604,768	☑	\$ 2,200,000	\$ 1,986,437	\$ -	6,350	☑	\$ 14,532,000	\$ 12,936,828	\$ 59,105,200	\$ 58,686,325	☑	☑
6	Ker	99	Tree Planting	\$ 35,000	\$ 37,263	\$ 246,000	\$ 230,113	☑	\$ 3,000	\$ 492	\$ -	0	●	\$ 424,000	\$ 186,748	\$ 456,000	\$ 336,762	●	●
7	LA	134	Rte 134 On Ramp Hollywood	\$ 1,054,000	\$ 922,612	\$ 3,712,000	\$ 3,942,113	◆	\$ 922,000	\$ 1,316,194	\$ 5,339,000	5,013,767	◆	\$ 1,320,000	\$ 7,806,318	\$ 34,434,000	\$ 31,675,835	◆	◆
8	SBd	15	Desert Group Visitor Center	\$ 74,000	\$ 73,615	\$ 1,114,000	\$ 1,211,550	◆	\$ 1,000	\$ 256	\$ -	0	●	\$ 350,000	\$ 385,597	\$ 1,029,000	\$ 907,978	☑	◆
8	SBd	210	Etiwanda Windbreak Ldscp	\$ 289,000	\$ 307,226	\$ 150,000	\$ 116,800	☑	\$ 60,000	\$ -	\$ -	0	●	\$ 368,000	\$ 786,531	\$ 935,000	\$ 781,118	☒	◆
11	SD	15	Managed Lns	\$ -	\$ -	\$ 4,242,000	\$ 2,042,000	●	\$ 150,000	\$ 75,560	\$ 214,700	\$ -	●	\$ 1,000,000	\$ 217,775	\$ 56,502,000	\$ 51,182,991	☑	☑
11	SD	15	Managed Lns Unit 2	\$ -	\$ -	\$ 2,800,000	\$ -	●	\$ -	\$ -	\$ -	0	●	\$ 21,236,000	15,145,451	\$ 94,432,000	\$ 76,930,107	●	●

FY 2012-13 Completed SHOPP Projects

																	Total Overall Project		
				Project Development PJD				Right of Way Component				Construction Component							
		PAED		PSE		RW Support		RW Capital		CON Support		CON Capital							
D	CTY	RT	Description	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost	Bud	Cost				
☒ Costs > 120%				◆ Costs 100 - 120%				☑ Costs 80 - 100%				● Costs < 80%							
Quarter 1 Projects (72 Projects)																			
				\$ 21,853,000	\$ 18,270,000	\$ 51,997,000	\$ 49,427,000		\$ 4,965,000	\$ 3,119,000	\$ 11,128,000	3,164,000		\$ 70,530,000	\$ 51,013,000	\$ 404,701,000	\$ 282,684,000		
Quarter 2 Projects (57 Projects)																			
				\$ 9,719,000	\$ 8,073,000	\$ 34,331,000	\$ 33,386,000		\$ 3,832,000	\$ 2,248,000	\$ 13,243,000	2,529,000		\$ 45,167,000	\$ 32,268,000	\$ 213,287,000	\$ 151,512,000		
Quarter 3 Projects																			
Quarter 4 Projects																			
Total All Projects																			
				\$ 31,572,000	\$ 26,343,000	\$ 86,328,000	\$ 82,813,000	☑	\$ 8,797,000	\$ 5,367,000	\$ 24,371,000	\$ 5,693,000	●	\$ 115,697,000	\$ 83,281,000	\$ 617,988,000	\$ 434,196,000	●	●

Support to Capital Table: The table below is being provided as recommended by the Bureau of State Audits in their 2011 audit.

	# Projects	S/C Ratio	Goal
Capital Cost \$1-5 Million	55	50	< 60
Capital Cost \$5-10 Million	15	33	<45
Capital Cost \$10-15 Million	5	30	<35
Capital Cost \$15-25 Million	6	15	<32
Capital Cost \$25-140 Million	8	26	<30