CALIFORNIA DEPARTMENT OF TRANSPORTATION

First Quarter
Fiscal Year 2014-15
Project Delivery Report

Quarterly Report to the California Transportation Commission

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The project delivery report is prepared quarterly and is published 45 days after the end of the quarter in November, February, May, and November.

Executive Summary

Purpose of Report

This report provides project delivery information on transportation projects for which the California Department of Transportation (Caltrans) was fully responsible for the development and construction management. The report is intended to cover reporting requirements required by California statutes, California Transportation Commission resolutions, and audit recommendations by the California State Auditor. This report fulfills Caltrans' project delivery reporting requirements.

Project Milestones and Accomplishments

Caltrans is highlighting projects that achieved a major milestone or significant accomplishment in the past quarter.

Promoting Goods Movement:

Sha-99-Capstone project on Highway 299 awarded. This project is the last of six projects with a combined construction value of $64 million to improve the alignment of Buckhorn Grade, allowing large trucks to travel from Redding to the coast on highway 299, improving goods movement.

Project Approved, Environmental Document Completed:

Ora-5-Freeway widening project approved. $357 million project completed environmental document and project approval. Project is being designed as three segments for construction.

Open to Traffic:

But-99-Chico Auxiliary Lanes ribbon cutting Sep. 4, 2014. $27 million partnership project with good community, local agency, and Caltrans interaction.

Mer-99-Freeway conversion ribbon cutting Dec. 5, 2014. $78 million project for expressway to freeway conversion in Merced County between Buchanon Hollow Rd. to Child Ave. and new Arboleda interchange.

Ora-5-High Occupancy Vehicle Connectors opened. $217 million project for four freeway to freeway connectors opened to traffic in Dec. 2014. (Routes 22, 405, 605.)

Ora-57-Freeway widening opened. $68 million project for freeway widening of Route 57 was completed in Nov. 2014.

Innovation:

Mpa-140-Ferguson Slide project awarded for removal of material blocking highway. This is the first "Construction Manager / General Contractor" contract awarded.

Performance Measures

Our Commitment to Delivery

Delivery of capital programs is one of the most essential functions that Caltrans does to preserve, protect, and enhance system performance of the state highway system. Operational improvement projects help the existing highway system function more efficiently. System preservation projects (such as bridge rehabilitation and pavement rehabilitation) help the highway system last longer and decrease maintenance costs. Safety projects reduce traffic accident fatalities and serious injuries. System expansion projects add capacity by adding lanes or constructing new highways to reduce congestion.

Measuring and reporting performance on project milestones shows how well we are meeting our commitments to deliver projects as committed in our primary work programs: the State Transportation Improvement Program and the State Highway Operations and Protection Program and for local projects where we are providing project services.

Measures - 1st Quarter Fiscal Year 2014-15						
Measure	Annual Plan		Year-End Projection		Progress	Status
	Committed Delivery	Delivery Goal	Forecasted Delivery	Percent Forecasted		
Delivery						
Projects Ready for Construction	343	100%	338	99%	X	5 high risk projects
Capital Value Ready for Allocation (millions)	$2,633.1	100%	$2,581.7	98%	X	5 high risk projects
Project Approval, Environmental Documents						
Projects Approved	258	90%	253	98%	✓	On target to meet goal
Draft Environmental Documents Completed	74	80%	72	97%	✓	On target to meet goal
Right of Way						
Projects Certified	335	100%	330	99%	X	5 high risk projects
Allocation Funds Committed	$163.0	100%	$163.0	100%	✓	On target to meet goal
Construction						
Contracts Accepted	174	95%	170	98%	✓	On target to meet goal
Closeout Costs						
State Transportation Improvement Program Costs	NA	< 100%	97%	97%	✓	On target to meet goal
State Highway Operations and Protection Program Costs	NA	< 100%	96%	96%	✓	On target to meet goal

Legend

✓ It is expected that Caltrans will meet the delivery goal.
X There is high risk on enough projects that Caltrans may not meet the delivery goal.
✗ It is likely that Caltrans will not meet the delivery goal.

Project Watch List

Caltrans identifies projects deemed "at risk" for budget or schedule on a watch list. Projects are continuously monitored to ensure issues affecting the budget, scope, or schedule are brought to the attention of managers and transportation stakeholders to resolve or minimize issues.

The project watch list will change from one quarter to another (projects dropped or added) as supplemental funds are approved, budget risks are mitigated, and schedule risks are resolved. Since the report is prepared quarterly, in order to keep projects on track to award, there may be supplemental funds request or costs requiring additional funds prior to award presented to the Commission for approval on new projects between reports.

Budget Risk

In managing construction capital budgets, Caltrans balances risk in project budgeting with the need to ensure that an appropriate mix of projects are brought forward in sufficient quantities to use our annual federal obligation authority. Complete and reasonable estimates are necessary to avoid undesired consequences, including loss of federal or local funds. Before presenting budget change requests to the Commission, Caltrans robustly examines each request to validate costs and evaluate options.

In the past five years, 97 percent of construction projects were completed within the Commission's capital allocation and Caltrans delegated funding authority.

Projects with Significant Risk for Supplemental Funds

Risks are categorized as : ***H*** High ***M*** Moderate ***L*** Low

*Notes: * STIP - State Transportation Improvement Program, SHOPP State Highway Operations and Protection Program, CMAQ - Congestion Management and Air Quality Program*
*** Costs are in millions*

Caltrans currently has 657 projects valued at $11.2 billion under construction. We have identified risks below on 21, or 3 percent of the projects in construction. Risks have also been identified on 8 projects in preconstruction for contract award.

Construction Projects Completed or Nearly Complete

Location		Project Description	Program	Capital $	Support $	Risk	Risk Component
Ala	*880*	*Replace High Street Bridge*	*SHOPP*	*$ 84.8*	*$ 32.4*	***H***	*Construction Capital*
Supplemental funds may be needed to close-out construction contract. Issues include claims for rebar escalation, item adjustment, soil disposal.							
Sol	*80*	*Relocate Cordelia Truck Scales*	*Bond SHOPP*	*$ 31.8*	*$ 29.1*	***H***	*Construction Capital*
Supplemental funds may be needed to close-out construction contract to settle claims. Joint funding between state (bond) and region.							

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
SM	1	Pond Repair	STIP	$ 1.3	$ 1.3	H	Construction Capital

Supplemental funds may be needed to close-out construction contract to settle claims, water quality constraints issues.

LA	10	Metal Beam Guardrail	SHOPP	$ 2.7	$ 3.3	H	Construction Capital

Supplemental funds may be needed to close-out construction contract. Issues include quantities, right of way delay, and costs to remove a fixed object.

LA	405	Widen Highway	SHOPP	$ 22.3	$ 13.5	H	Construction Capital

Supplemental funds may be needed to close-out construction contract and pay interest on claims with merit.

Tuo	108	Sonora Bypass	Bond, STIP	$ 27.0	$ 14.4	H	Construction Capital

Supplemental funds needed to close-out construction contract and pay storm water fine.

Ora	39	Sidewalk, Culvert	Minor	$ 1.1	$ 2.3	H	Construction Capital

Supplemental funds may be needed to close-out construction contract to settle 7 notices of potential claims.

Construction Projects In Progress

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
Son	101	Widen Freeway - Sonoma Narrows	STIP, Bond	$ 94.6	$ 32.7	H	Construction Support

Supplemental funds needed for construction support due to additional staffing requirements for bird monitoring protocols. Modifications to design and stage construction added a year to the construction contract schedule.

LA	1	Repair failed slope	SHOPP	$ 7.3	$ 3.5	H	Construction Capital Construction Support

As a result of inverse law suit from adjacent property owner, additional funds is required for the law suit settlement and to redesign and construct a taller wall. Project is currently under suspension.

LA	710	Long-life pavement	SHOPP	$ 119.3	$ 31.2	H	Construction Capital

Potential for supplemental funds to settle 26 outstanding claims.

Sta	219	Widen to four lanes	STIP, Bond	$ 32.8	$ 9.9	H	Right of Way Capital

Potential for supplemental funds (county share debit adjustment of right of way) at completion of construction, due to final condemnation and final right of way acquisition costs.

Men	101	Willits Bypass	STIP	$ 209.1	$ 99.7	M	Construction Capital

Supplemental funds approved Dec. 10, 2014, based on a 50 percent confidence level of risks. Significant risks remain to complete the project.

SF	101	Doyle Drive	STIP	$ 852.0		M	Construction Capital

(a) January meeting has a request for an allocation of $3.8 million from the project reserve funds for Presidio project review.
(b) Risk of supplemental funds to complete construction. $100 million in 34+ outstanding claims. Project is a "P3" contract (public-private-partnership contract).

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
SCl	9	Realignment	SHOPP	$ 5.7	$ 4.9	M	Construction Capital
Potential for supplemental funds due to differing site conditions.							
LA	10	Flyover Connector	SHOPP	$ 67.5	$ 12.7	M	Construction Capital
Potential for supplemental funds due to time-related overhead delays from adjacent contract exceeds budget.							
LA	47	Schuyler Heim Bridge	SHOPP	$ 247.3	$ 32.6	M	Construction Capital
Potential for supplemental funds due to unknown subsurface conditions, right of way delay issues with port, and to settle potential claims.							
DN	199	Bridge Replacement	STIP	$ 14.7	$ 6.0	L	Construction Capital Construction Support
Federal injunction, 1-year delay, time related overhead costs may result in a need to supplement the budget for construction capital. Additional construction season may result in a need to supplement the construction support budget due to another construction season.							
Son	101	College Avenue Widening	STIP	$ 6.5	$ 2.4	L	Construction Capital
Potential for supplemental funds due to delay claims and item adjustments from change orders.							
SCr	9	Pollution Control	SHOPP	$ 1.9	$ 3.0	L	Construction Capital
Potential for supplemental funds due to differing site conditions.							
SBd	15	Point of Entry, Truck Enforcement	SHOPP	$ 41.6	$ 24.1	L	Construction Capital
Potential for supplemental funds due to time-related overhead delays by fire marshal site reviews, well drilling, and painting issues.							
SJ	12	Bouldin Island Rehabilitation	SHOPP	$ 35.9	$ 9.7	L	Construction Capital
Potential for supplemental funds due to discrepancies in bid items and larger than anticipated settlement of fill material.							

Preconstruction - Contract Award

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
Mon	1	Construct Viaduct	SHOPP	$ 3.4	$ 2.1	H	Construction Capital
Costs requiring additional funds to award approval needed to advertise.							
LA	1	Repair Drainage	SHOPP	$ 6.7	$ 1.9	H	Construction Capital
Costs requiring additional funds to award approved Dec. 2014. Bid results require supplemental to award.							
Men	101	Willits Mitigation Riparian Wetland	STIP	$ 43.0	$ 3.0	M	Construction Capital
Bids rejected. Repackage and re-advertise. Analysis increased estimate. Final estimate pending results of first project re-advertised.							
Ala	880	Median Barrier	SHOPP	$ 35.7	$ 12.5	M	Construction Capital
Bids rejected. Based on analysis, the cost estimate increased.							
Tuo	120	Bridge Rehabilitation	SHOPP	$ 13.7	$ 5.8	M	Construction Capital
Costs requiring additional funds to award approval may be needed to advertise due to unique construction, environmental and tourists constraints.							

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
ED	89	Stormwater Improvements	SHOPP	$ 9.4	$ 6.6	L	Construction Capital
Bids rejected. Repackage and re-advertise. Analysis increased estimate.							
SM	101	Bridge Replacement	SHOPP	$ 17.0	$ 7.1	L	Construction Capital
Re-bid. Estimate increased based on analysis of prior bids.							
Fre	168	Enhance Vista Point	STIP	$ 1.5	$ $ 1.6	L	Construction Capital
Initial bids rejected. Repackage, remove items and re-advertise.							

Schedule Risk

In managing delivery, Caltrans is taking intelligent and reasonable risks to deliver projects. In the past nine years, Caltrans has delivered 2,389 out of 2,408 projects committed, or 99.2 percent.

Contract For Delivery Projects with Significant Risk to Miss Delivery in Fiscal Year

Caltrans currently has 343 projects valued at $2.6 billion in the Contract for Delivery. We have identified 17, or 5 percent of committed projects at risk in the delivery contract.

Risks are categorized as : H High M Moderate L Low

*Notes: * STIP - State Transportation Improvement Program, SHOPP State Highway Operations and Protection Program, CMAQ - Congestion Management and Air Quality Program*
*** Costs are in millions*

Project issues listed typically identify current pending issues to meet delivery in the current year. Previous issues in different program areas may have contributed to the overall delivery risk for the projects listed below.

High-Risk Delivery

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
Sha	44	Curve Improvement	SHOPP	$ 3.1	$ 2.1	H	Fiscal Year Delivery
Safety project, public opposition. Change in environmental document type challenge.							
Ala	680	Freeway Performance Initiative	Local	$ 17.7	$ 9.5	H	Fiscal Year Delivery
Funding pending negotiation with region.							
Ala	880	Freeway Performance Initiative	Local	$ 11.0	$ 5.5	H	Fiscal Year Delivery
Funding pending negotiation with region.							
Mrn	101	Freeway Performance Initiative	Local	$ 8.6	$ 7.2	H	Fiscal Year Delivery
Funding pending negotiation with region.							
LA	710	Long-life Pavement, Widen Bridge	SHOPP	$ 149.7	$ 28.8	H	Fiscal Year Delivery
Railroad issues.							

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
LA	405	Paint Structure	SHOPP	$ 8.1	$ 3.1	H	Fiscal Year Delivery
Railroad service agreement, Department of General Services exemption, hazardous waste liability.							
LA	103	Paint Bridge	SHOPP	$ 4.8	$ 2.4	H	Fiscal Year Delivery
Railroad service agreement, identification of property owners.							
SBd	247	Construct Paved Shoulder	SHOPP	$ 19.5	$ 9.7	H	Fiscal Year Delivery
Condemnation (8 parcels). Target date of Jun. 30, 2015 for right of way certification. Will request an allocation extension.							
Riv	371	Left-turn Lane	SHOPP	$ 0.6	$ 0.9	H	Fiscal Year Delivery
Utility relocation with a small company utility owner resisting relocation.							

Moderate to Low-Risk Delivery

Location		Project Description	Program*	Capital** $	Support** $	Risk	Risk Component
Hum	99	Curve Improvement	SHOPP	$ 1.4	$ 1.6	M	Fiscal Year Delivery
Blasting needed in areas with endangered species.							
LA	5	Widen Freeway	Local CMAQ	$ 545.8	$ 69.4	M	Fiscal Year Delivery
Permits, right of way work around, and utilities.							
LA	10	High Occupancy Vehicle Lanes	Local CMAQ	$ 180.9	$ 53.9	M	Fiscal Year Delivery
Possession and use agreement with Cal Poly Pomona parcel.							
Cal	26	Install Two-Way Left Turn-lane	SHOPP	$ 1.7	$ 1.9	M	Fiscal Year Delivery
Right of way impacts involving illegal encroachment and eviction.							
Plu	147	Replace Bridge	SHOPP	$ 8.3	$ 4.8	L	Fiscal Year Delivery
Environmental document being challenged by permitting agency.							
SBd	138	Realign Roadway	SHOPP	$ 32.4	$ 8.4	L	Fiscal Year Delivery
Permit risks, right of way owners not responsive, storm water waiver.							
Tuo	120	Replace Bridge Deck, Retrofit	SHOPP	$ 13.7	$ 5.8	L	Fiscal Year Delivery
Stage construction and closure impacts to tourists.							
Ora	5	Advanced Traffic Management System	SHOPP	$ 1.9	$ 0.9	L	Fiscal Year Delivery
Intelligent transportation system project challenges due to procurement and approvals.							

Delivery

Summary

Our Contract to Deliver

Each year since fiscal year 2005–06, the Caltrans Director has signed a Contract for Delivery with each of our 12 District Directors committing to deliver projects on schedule for construction. The Contract for Delivery includes a list of major state highway projects for which Caltrans will complete project plans, specifications and estimates and secure rights-of-way and permits in that fiscal year. This allows us to advertise and award construction contracts and begin construction.

In fiscal year 2014–15, we committed to deliver in the Contract for Delivery 343 projects, valued at $2.6 billion. Through the end of the first quarter, we delivered 18, or 5 percent of the annual commitment, with an estimated value of $53 million.

Program Delivery

Program delivery includes the contract for delivery and additional projects not in the contract for delivery. Additional projects include: Program amendments, Minor, Maintenance, and Emergency projects.

Through the end of the first quarter, fiscal year 2014-15, Caltrans delivered:
- *3, or 21 percent of committed State Transportation Improvement Program construction contracts with an estimated value of $9 million, or 9 percent of committed funding.*
- *15, or 5 percent of committed State Highway Operations and Protection Program construction contracts with an estimated value of $39 million, or 2 percent of committed funding.*
- *18 additional emergency, minor and maintenance projects with an estimated value of $21 million.*

The sum of all projects delivered from all program funding sources is 41 projects, valued at $100 million.

Measure: Projects Delivered - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Contract for Delivery

	Projects Delivered	Year-to-Date		Annual Commitment		Goal	Year-End Projection	
		Committed	Percent	Committed	Percent		Forecast	Percent
Projects Delivered	**18**	**19**	**95**	**343**	**5**	**100%**	**338**	**99**

Projects Delivered - Five-Year Trend

	1st Quarter Year-to-Date					1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Projects Delivered	128%	128%	86%	123%	95%	17%	13%	15%	7%	5%

Five-Year Trend Analysis: The number of projects committed for delivery in the first quarter was lower than past years. This resulted in a lower annual delivery percentage compared to past years in the five-year trend. The year-to-date percentage, however, is comparable to past years. A few projects are high risk and may not be delivered.

Measure: Capital Value Delivered - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Contract for Delivery

	Value Delivered	Year-to-Date		Annual Commitment		Goal	Year-End Projection	
		Committed	Percent	Committed	Percent		Forecast	Percent
Capital Value Delivered (millions)	**$53.3**	**$57.5**	**93**	**$2,633.1**	**2**	**100%**	**$2,581.7**	**98**

Construction Capital Value - Delivered Five-Year Trend

	1st Quarter Year-to-Date					1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Capital Value Delivered	104%	105%	31%	111%	93%	16%	9%	5%	7%	2%

Five-Year Trend Analysis: The construction capital value of projects committed for delivery in the first quarter was lower than past years. This resulted in a lower annual delivery percentage compared to past years in the five-year trend. The year-to-date percentage, however, is comparable to past years.

Program Delivery

The table below identifies capital funding programs used to fund projects being reported as delivered.

Costs are in millions.	Capital Value Committed	Capital Value Delivered	Capital Value Delivered	Projects Committed	Projects Delivered	Projects Delivered
State Transportation Improvement Program	$ 83.6	$ 0.4		10	1	
State Transportation Improvement Program Rail	$ 12.2	$ 8.2		4	2	
Amended State Transportation Improvement Program	$ 0.0	$ 0.0		0	0	
Advanced State Transportation Improvement Program		$ 0.0		0	0	
Subtotal **State Transportation Improvement Program**	$ 95.8	$ 8.6	9 %	14	3	21 %
State Highway Operations and Protection Program	$ 2,123.9	$ 23.3		317	12	
Amended State Highway Operations and Protection Program	$ 15.4	$ 15.4		3	3	
Advanced State Highway Operations and Protection Program		$ 0.0		0	0	
Subtotal **State Highway Operations and Protection Program**	$ 2,139.3	$ 38.7	2 %	320	15	5 %
Partnership (Local and regional funding contributions)	$ 408.9	$ 32.3		18	5	
Proposition 1B Bond	$ 16.5	$ 0		1	0	
Minor Program Funds in Contract for Delivery	$ 0.2	$ 0.2		0	0	
Emergency Repair		$ 3.2			3	
Minor Program		$ 5.7			6	
Maintenance Program		$ 11.7			9	
Total Delivery **All Program Funding Sources**		$ 100.4			41	

Contract for Delivery support costs (18 projects delivered) Budget $ 18.3 million Expended $ 16.7 million

Project Approval, Environmental Documents

Summary

Caltrans achieves several major project milestones throughout the year. This is important because most major projects take several years to complete. If a project misses an interim milestone, the project most likely will not be completed on schedule.

Project Approval

Project approval is also commonly referred to as "PA&ED," which is an abbreviation for the Project Approval and Environmental Document project milestone. Project approval is achieved when the project report has been signed. The project report includes the selection of the preferred project alternative and includes the project's environmental document.

In fiscal year 2014-15, we committed to deliver 258 project approvals and environmental documents. Through the end of the first quarter, fiscal year 2014-15, Caltrans has approved a total of 81, or 31 percent of the commitment.

Draft Environmental Documents Completed

The project team conducts environmental studies to analyze the effect of various project alternatives. The result of the studies is an environmental document. The type of environmental document depends on the significance of the impacts.

In fiscal year 2014-15, we committed to deliver 74 draft environmental documents. Through the end of the first quarter, fiscal year 2014-15, Caltrans has completed a total of 14, or 19 percent of the commitment.

Measure: Projects Approved, Environmental Documents - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Projects Approved

	Projects Approved	Year-to-Date		Annual Commitment		Goal	Year-End Projection	
		Committed	Percent	Committed	Percent		Forecast	Percent
Number of Projects Approved	**81**	**55**	**147**	**258**	**31**	**90%**	**253**	**98**

Projects Approved - Five-Year Trend

	1st Quarter Year-to-Date					1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Projects Approved	131%	98%	65%	114%	147%	43%	33%	19%	21%	31%

Five-Year Trend Analysis: The number of project approvals and environmental documents to date is comparable to past years. Five project approvals are forecast outside of the current year. Caltrans, however, remains on track to meet the goal for 90 percent of committed project approvals by the end of the year.

Measure: Draft Environmental Documents Completed - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Draft Environmental Documents

	Documents Completed	Year-to-Date		Annual Commitment		Goal	Year-End Projection	
		Committed	Percent	Committed	Percent		Forecast	Percent
Number of Draft Environmental Documents Completed	**14**	**19**	**74**	**74**	**19**	**80%**	**72**	**97**

Environmental Documents Completed - Five-Year Trend

	1st Quarter Year-to-Date					1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Draft Environmental Documents Completed	233%	91%	25%	125%	74%	21%	23%	8%	9%	19%

Five-Year Trend Analysis: The number of draft environmental documents completed to date is comparable to past years. Two documents will likely not be completed this year. Caltrans, however, remains on track to meet a goal of 80 percent completed by the end of the year.

Right of Way

Summary

Project Certifications

Right of way certification is achieved when all needed properties have been obtained, either by easement or acquisition, and all railroad and utility constraints are cleared.

In fiscal year 2014-15, we committed to certify right of way for 335 projects. Through the end of the first quarter, fiscal year 2014-15, Caltrans has certified a total of 20, or 6 percent of the annual commitment.

Allocation Funds Committed

The Division of Right of Way prepares an annual right of way capital plan and receives an annual allocation approved by the California Transportation Commission. Caltrans reports quarterly how funds have been committed against the plan and prepares a report for the Commission after the year has closed.

For fiscal year 2014-15, the Right of Way Capital Plan outlines funding needed to keep programmed projects on track for delivery as planned. Caltrans requested and received an allocation of $163.0 million. Through the end of the first quarter, fiscal year 2014-15, Caltrans has committed $65 million, or 40 percent of the right of way allocation approved by the Commission.

Measure: Projects Certified - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Project Certifications

	Projects Certified	Year-to-Date		Annual Commitment		Goal	Year End Projection	
		Committed	Percent	Committed	Percent		Forecast	Percent
Number of Projects Certified	**20**	**25**	**80**	**335**	**6**	**100%**	**330**	**99**

Projects Certified - Five-Year Trend

	1st Quarter Year-to-Date					1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Projects Certified	200%	136%	132%	100%	80%	36%	22%	19%	11%	6%

Five-Year Trend Analysis: The number of projects committed for delivery in the first quarter was lower than past years. This resulted in a lower annual delivery percentage compared to past years in the five-year trend. The year-to-date percentage, however, is comparable to past years. A few projects are high risk and man not be delivered.

Measure: Allocation Funds Committed - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Right of Way Allocation

	Funds Committed	Annual Commitment		Goal	Year-End Projection	
		Committed	Percent		Forecast	Percent
Allocation Funds Committed (millions)	**$53.3**	**$163.0**	**40**	**100%**	**$163.0**	**100**

Allocation Funds Committed - Five-Year Trend

	1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15
Allocation Funds Committed	17%	24%	22%	26%	40%

Five-Year Trend Analysis: Funds committed to date are higher than past years. Caltrans anticipates that we will stay within the annual allocation.

Right of Way Capital Plan

The table below shows different categories of planned right of way capital expenditures. The table shows the allocation and the actual funds committed by category.

Category	Allocation (millions)	Committed (millions)	Percent Committed
Capital Projects			
State Transportation Improvement Program	$ 94.6	$ 49.3	*52*
State Highway Operations and Protection Program	$ 34.8	$ 8.2	*24*
Subtotal	$ 129.4	$ 57.5	*44*
Specific Categories			
Post-certification	$ 25.1	$ 5.6	*22*
Permit Fees	$ 1.0	$ 0.2	*20*
Damage to Property (Inverse)	$ 7.5	$ 1.3	*17*
Subtotal	$ 33.6	$ 7.1	*21*
TOTAL	$ 163.0	$ 64.6	*40*

Construction

Summary

Contracts Accepted

Construction entails building improvements as shown on the contract plans. Caltrans oversees the contractors work and administers the contract by authorizing payments to the contractor for completed work. The contract is complete when the contract has been accepted by the states resident engineer.

- *In fiscal year 2014-15, we committed to accept 174 construction contracts. Through the end of the first quarter, fiscal year 2014-15, Caltrans has accepted 31, or 18 percent of the annual commitment.*
- *At the end of the first quarter, fiscal year 2014-15, Caltrans had 657 projects valued at $11.2 billion under construction.*

Measure: Contracts Accepted - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Contracts Accepted

	Contracts Accepted	Year-to-Date		Annual Commitment		Goal	Year-End Projection	
		Committed	Percent	Committed	Percent		Forecast	Percent
Number of Contracts Accepted	**31**	**32**	**97**	**174**	**18**	**95%**	**170**	**98**

Contracts Accepted - Five-Year Trend

	1st Quarter Year-to-Date					1st Quarter Annual Commitment				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Contracts Accepted	219%	155%	107%	97%	97%	31%	24%	15%	19%	18%

Five-Year Trend Analysis: The number of contracts accepted to date is comparable to past years. Four contract completions are forecast outside of the year. Caltrans, however, remains on track to meet the goal for 95 percent of committed contracts to be accepted by the end of the year.

Construction Program Quarterly Status Notes

Contractor Payments: To date, in fiscal year 2014-15, $868 million has been paid to contractors.

Construction Starts and Completions: 74 construction contracts valued at $493 million were started (including minor A and some minor B projects that are not programmed), and 101 construction contracts valued at $245 million were completed during the past quarter.

Claims and Arbitration: Caltrans has 45 construction contracts in post-contract acceptance with notice of potential claims in the amount of $49 million. We have 17 construction contracts in arbitration filed for $43 million. Three new arbitration cases were filed, and four contracts were settled or received an arbitration decision.

Closeout Costs

Summary

Program Costs

Pursuant to State Transportation Improvement Program guidelines and statutory requirements, Caltrans is reporting project closeout after project completion by comparing actual costs to final approved budgets. In consultation with Commission staff, project closeout reporting reflects projects where the construction contract was accepted two quarters ago, to capture costs after the preliminary final estimate payment to the contractor has been prepared by the state resident engineer.

- *Through the end of the first quarter, fiscal year 2014-15, Caltrans is reporting closeout of 8 State Transportation Improvement Program projects. The final approved budget for these projects was $134 million. The actual cost to complete these projects was $130 million, or 97 percent of the final approved budget.*
- *Through the end of the first quarter, fiscal year 2014-15, Caltrans is reporting closeout of 35 State Highway Operations and Protection Program projects. The final approved budget for these projects was $328 million. The actual cost to complete these projects was $316 million, or 96 percent of the final approved budget.*

Measures: Program Costs - 1st Quarter Fiscal Year 2014-15

Fiscal Year 2014-15 Program Costs

	Actual Cost Percent of Approved Budget	
	State Transportation Improvement Program	State Highway Operations and Protection Program
Program Costs	97	96

Program Costs - Five-Year Trend

	State Transportation Improvement Program					State Highway Operations and Protection Program				
	1st Quarter Year-To-Date					1st Quarter Year-To-Date				
	10-11	11-12	12-13	13-14	14-15	10-11	11-12	12-13	13-14	14-15
Program Cost	93%	99%	83%	91%	97%	70%	69%	72%	75%	96%

Five Year Trend Analysis: Total program actual costs remain under the approved program's budgets.

In 2014, Caltrans updated it change management policy by issuing Project Management Directive 022, "Capital Outlay Support Change Management Policy, Process, and Procedures." This policy establishes Caltrans management practices to monitor and manage project support costs. It also outlines documentation and approvals needed when costs change.

The tables below provides program closeout cost information for completed projects.

State Transportation Improvement Program[1] Closeout –Program Costs

Budget ($1,000's)	Actually Spent ($1,000's)	(+/-) ($1,000's)		Cost Ratios Actual / Budget
		Under	(Over)	
$ 133,759	$ 129,284	$ 4,475		97 %

[1] *State Transportation Improvement Program includes projects with one or more components funded from the State Transportation Improvement Program funds, and all contributor funds on all project components.*

A list of State Transportation Improvement Program closeout projects is included in the appendix, "(A) Caltrans Fiscal Year 2014-15 State Transportation Improvement Program Project Closeout".

State Highway Operations and Protection Program Closeout – Program Costs

Budget ($1,000's)	Actually Spent ($1,000's)	(+/-) ($1,000's)		Cost Ratios Actual / Budget
		Under	(Over)	
$ 327,534	$ 315,520	$ 12,014		96 %

A list of State Highway Operations and Protection Program closeout projects is included in the appendix, "(B) Caltrans Fiscal Year 2014-15 State Highway Operations and Protection Program Project Closeout".

Appendix

(A) Caltrans Fiscal Year 2014-15 State Transportation Improvement Program Project Closeout

(B) Caltrans Fiscal Year 2014-15 State Highway Operations and Protection Program Project Closeout

(A) Caltrans Fiscal Year 2014-15 State Transportation Improvement Program[1] Project Closeout

Project Description			Support		Capital		Program	
			Approved Support Budget[2]	Actual Support Costs	Approved Capital Budget[2]	Actual Capital Costs	Approved Project Budget[2]	Actual Project Costs
[1] State Transporation Improvement Program includes projects with one or more components funded from State Transportation Improvement Program funds and all contributor funds on all project components. [2] Budget information includes only budget information that expenditures are reflected in State data systems. Excludes local budgets with local expenditures.								
1st Qtr STIP Closeout								
SHA	044	Landscape	$ 144	$ 446	$ 672	$ 634	$ 816	$ 1,080
YOL	005	Tree Planting	$ 158	$ 176	$ 499	$ 498	$ 657	$ 674
SM	101	Plant Establishment	$ 33	$ 65	$ 195	$ 114	$ 228	$ 179
MON	001	Salinas IC	$ 11,373	$ 12,496	$ 20,318	$ 19,667	$ 31,691	$ 32,163
SLO	046	Replace Bridge	$ 2,485	$ 2,290	$ 4,185	$ 4,115	$ 6,670	$ 6,405
LA	138	Rte 138 Widening	$ 12,205	$ 9,691	$ 18,939	$ 14,939	$ 31,144	$ 24,630
LA	101	Widen Ramps	$ 3,683	$ 4,252	$ 5,478	$ 5,165	$ 9,161	$ 9,417
TUO	108	Sonora Bypass	$ 14,440	$ 15,827	$ 38,952	$ 38,909	$ 53,392	$ 54,736
			$ 44,521	$ 45,243	$ 89,238	$ 84,041	$ 133,759	$ 129,284

(B) Caltrans Fiscal Year 2014-15 State Highway Operations and Protection Program Project Closeout

Project Description			Support		Capital		Program	
			Approved Support Budget	Actual Support Costs	Approved Capital Budget	Actual Capital Costs	Approved Project Budget	Actual Project Costs
1st Qtr SHOPP Closeout								
HUM	255	Rehab Bridge	$ 625	$ 292	$ 2,005	$ 1,781	$ 2,630	$ 2,074
HUM	096	Repair Slipout	$ 800	$ 1,071	$ 5,110	$ 5,099	$ 5,910	$ 6,171
LAK	020	Damage Fires	$ 150	$ 133	$ 1,210	$ 528	$ 1,360	$ 662
HUM	036	Repair Culvert	$ 150	$ 96	$ 410	$ 199	$ 560	$ 296
MEN	001	Bridge, Fish Weir	$ 2,791	$ 3,746	$ 4,720	$ 4,630	$ 7,511	$ 8,375
LAK	053	Widen Roadway	$ 5,534	$ 7,285	$ 14,370	$ 13,226	$ 19,904	$ 20,511
PLU	089	Bridge Slab	$ 2,191	$ 2,325	$ 3,071	$ 2,829	$ 5,262	$ 5,154
SAC	012	Rehab Pavement	$ 1,550	$ 1,310	$ 4,491	$ 4,000	$ 6,041	$ 5,309
SCL	082	Signals Ramps	$ 640	$ 846	$ 704	$ 694	$ 1,344	$ 1,540
ALA	084	Erosion Control	$ 170	$ 117	$ 201	$ 146	$ 371	$ 263
SON	012	Traffic Signal	$ 3,027	$ 4,405	$ 3,987	$ 4,413	$ 7,014	$ 8,818
ALA	880	Roadway Rehab	$ 4,860	$ 6,637	$ 16,225	$ 14,733	$ 21,085	$ 21,370
SCL	009	Shoulder Widen	$ 1,302	$ 969	$ 1,052	$ 638	$ 2,354	$ 1,607
ALA	080	Bikeway	$ 2,095	$ 2,773	$ 2,477	$ 2,044	$ 4,572	$ 4,816
SM	101	Ramp Metering	$ 2,903	$ 5,126	$ 11,505	$ 10,568	$ 14,408	$ 15,694
ALA	880	Copper Wires	$ 300	$ 360	$ 1,000	$ 911	$ 1,300	$ 1,271
ALA	680	Repair Pavement	$ 875	$ 161	$ 3,500	$ 2,574	$ 4,375	$ 2,735
SCL	880	Eletrical Systems	$ 400	$ 366	$ 1,500	$ 1,500	$ 1,900	$ 1,866
NAP	029	Slope And Culverts	$ 2,831	$ 3,268	$ 3,070	$ 2,589	$ 5,901	$ 5,857
SON	001	Culvert,Rock Slope	$ 440	$ 483	$ 425	$ 301	$ 865	$ 785
MON	001	Bridge Rock Shed	$ 10,854	$ 11,095	$ 34,234	$ 33,312	$ 45,088	$ 44,406
MON	001	Soldier Pile	$ 1,363	$ 493	$ 5,505	$ 5,439	$ 6,868	$ 5,932
KER	058	Replace Bridge	$ 1,125	$ 1,571	$ 1,871	$ 1,610	$ 2,996	$ 3,181
KER	099	Replace Pavement	$ 5,104	$ 6,321	$ 91,789	$ 80,562	$ 96,893	$ 86,883
KIN	041	Rehab Roadway	$ 6,916	$ 7,081	$ 11,627	$ 9,856	$ 18,543	$ 16,936
LA	005	Median Barrier	$ 2,668	$ 4,283	$ 5,349	$ 5,297	$ 8,017	$ 9,580
LA	005	Restore Roadway	$ 5,300	$ 5,886	$ 11,520	$ 10,540	$ 16,820	$ 16,426
LA	210	Flume Liner	$ 495	$ 545	$ 586	$ 532	$ 1,081	$ 1,078
LA	001	Bridge Preservation	$ 1,425	$ 1,442	$ 3,016	$ 2,728	$ 4,441	$ 4,170
LA	605	Bridge Decks	$ 680	$ 960	$ 2,428	$ 2,297	$ 3,108	$ 3,257
RIV	074	Widen Intersection	$ 1,145	$ 1,723	$ 1,316	$ 1,006	$ 2,461	$ 2,729
RIV	086	Median Cross Over	$ 210	$ 290	$ 591	$ 536	$ 801	$ 825
SBD	040	Bridge Abutment	$ 165	$ 51	$ 500	$ -	$ 665	$ 51
AMA	012	Ada Curb Ramps	$ 1,411	$ 861	$ 195	$ 172	$ 1,606	$ 1,033
SD	075	Paint Bridge	$ 644	$ 1,389	$ 2,835	$ 2,470	$ 3,479	$ 3,859
			$ 73,139	$ 85,761	$ 254,395	$ 229,759	$ 327,534	$ 315,520