

## CHAPTER 860 ROADSIDE CHANNELS

### Topic 861 – General

#### Index 861.1 - Introduction

Chapter 860 addresses the design of small open channels called roadside channels that are constructed as part of a highway drainage system. See Figure 861.1.

**Figure 861.1**  
**Small Roadside Channel**



An open channel is a conveyance in which water flows with a free surface. Although closed conduits such as culverts and storm drains function as open channels when flowing partially full, the term is generally applied to natural and improved watercourses, gutters, ditches, and channels. While the hydraulic principles discussed in this chapter are valid for all drainage structures, the primary consideration is given to roadside channels.

In addition to performing its hydraulic function, the roadside channel should be economical to construct and maintain. Some roadside channels serve as dual purpose channels which concurrently function as infiltration swales for stormwater purposes. See Index 861.11, “Water Quality Channels”. Roadside channel design should consider errant vehicles leaving the traveled way, be pleasing in appearance, convey collected water without damage to the transportation facility or adjacent property and minimize environmental impacts. These

considerations are usually so interrelated that optimum conditions cannot be met for one without compromising one or more of the others. The objective is to achieve a reasonable balance, but the importance of traveler safety must not be underrated. See Index 861.4, “Safety Considerations”.

Roadside channels play an important role in the highway drainage system as the initial conveyance for highway runoff. Roadside channels are often included as part of the typical roadway section. Therefore, the geometry of roadside channels depends on available right-of-way, flow capacity requirements, and the alignment and profile of the highway. Most roadside channels capture sheet flow from the highway pavement and cut slope and convey that runoff to larger channels or to culverts within the drainage system. See Figure 861.2.

**Figure 861.2**  
**Roadside Channel Outlet to Storm Drain at Drop Inlet**



This initial concentration of runoff may create hydraulic conditions that are erosive to the soil that forms the channel boundary. To perform reliably, the roadside channel is often stabilized against erosion by placing a protective lining over the soil. This chapter presents two classes of channel linings called rigid and flexible linings that are well suited for construction of small roadside channels.

## 861.2 Hydraulic Considerations

An evaluation of hydraulic considerations for the channel design alternatives should be made early in the project development process. The extent of the hydrologic and hydraulic analysis should be commensurate with the type of highway, complexity of the drainage facility, and associated costs, risks, and impacts. Most of the roadside channels and swales discussed in this chapter convey design flows less than 50 cubic feet per second and generally do not require detailed hydrologic and hydraulic analyses beyond developing the parameters required for the Rational Formula (see Index 819.2(1)), Manning's Equation, and the shear stress equations presented within this Chapter and Hydraulic Engineering Circular (HEC) No. 15, "Design of Roadway Channels with Flexible Linings". The hydraulic design of an open channel consists of developing a channel section to carry the design discharge under the controlling conditions, adding freeboard as needed and determining the type of channel protection required to prevent erosion. In addition to erosion protection, channel linings can be used to increase the hydraulic capacity of the channel by reducing the channel roughness.

The hydraulic capacity of a roadside channel is dependent on the size, shape, slope and roughness of the channel section. For a given channel, the hydraulic capacity becomes greater as the grade or depth of flow increases. The channel capacity decreases as the channel surface becomes rougher. A rough channel can sometimes be an advantage on steep slopes where it is desirable to keep flow velocities from becoming excessively high. See Topics 866 and 867.

(1) *Flood Control Channels.* Flood control channels are typically administered by a local agency and present extreme consequences should failure occur. Therefore, when channels or drainage facilities under the jurisdiction of local flood control agencies or Corps of Engineers are involved, the design must be coordinated via negotiations with the District Hydraulic Engineer and the agencies involved. See Index 861.7, "Coordination with other Agencies" and Index 865.2.

For flood control purposes, a good open channel design within the right of way

minimizes the effect on existing water surface profiles. Open channel designs which lower the water surface elevation can result in excessive flow velocities and cause erosion problems. A planned rise in water surface elevation can cause:

- Objectionable flooding of the roadbed and adjacent properties or facilities;
- An environmental and maintenance problem with sedimentation due to reduced flow velocities.

Additional hydraulic considerations may include: movable beds, heavy bedloads and bulking during flood discharges. A detailed discussion of sediment transport and channel morphology is contained in the FHWA's HDS No. 6 River Engineering for Highway Encroachments.

Reference is made to Volume VI of the AASHTO Highway Drainage Guidelines for a general discussion on channel hydraulic considerations.

## 861.3 Selection of "Design Flood"

As with other drainage facilities, the first step in the hydraulic design of roadside channels is to establish the range of peak flows which the channel section must carry. The recommended design flood and water spread criteria for roadway drainage type installations are presented in Table 831.3.

For flood control and cross drainage channels within the right of way, see Index 821.3, "Selection of Design Flood". Empirical and statistical methods for estimating design discharges are discussed in Chapter 810, "Hydrology".

## 861.4 Safety Considerations

An important aspect of transportation facility drainage design is that of traffic safety.

The shape of a roadside channel section should minimize vehicular impact and provide a traversable section for errant traffic leaving the traveled way. The ideal channel section, from a traversability standpoint, will have flattened side slopes and a curved transition to the channel bottom. When feasible, it is recommended that channels be constructed outside the clear recovery zone.

### 861.5 Maintenance Considerations

Design of open channels and roadside ditches should recognize that periodic maintenance inspection and repair is required. Provisions should be incorporated into the design for access to a channel by maintenance personnel and equipment. Consideration should be given to the size and type of maintenance equipment required when assessing the need for permanent or temporary access easements for entrance ramps and gates through the right of way fences.

Damaged channels can be expensive to repair and interfere with the safe and orderly movement of traffic.

**Figure 861.3  
Damaged Channel**



Minor erosion damage within the right of way should be repaired immediately after it occurs and action taken to prevent the recurrence. Conditions which require extensive repair or frequently recurring maintenance may require a complete redesign rather than repetitive or extensive reconstruction. The advice of the District Hydraulics Engineer should be sought when evaluating the need for major restoration.

The growth of weeds, brush, and trees in a drainage channel can effectively reduce its hydraulic efficiency. See Figure 861.4. The result being that a portion of the design flow may overflow the channel banks causing flooding and possible erosion.

**Figure 861.4  
Concrete Lined Channel with  
Excessive Weed Growth**



Accumulation of sediment and debris may destroy vegetative linings leading to additional erosion damage.

Channel work on some projects may be completed several months before total project completion. During this interim period, the contractor must provide interim protection measures. Per Index 865.3(3), the design engineer should include temporary channel linings to assure that minor erosion will not develop into major damage. As needed, the District Project Engineer may obtain vegetative recommendations from the District Landscape Architect. The Project Engineer must verify vegetative component compatibility with the final design.

### 861.6 Economics

Economical drainage design is achieved by selecting the design alternative which best satisfies the established design criteria at the lowest cost.

The economic evaluation of design alternatives should be commensurate with the complexity and importance of the facility. Analysis of the channel location, shape, size, and materials involved may reveal possibilities for reducing construction costs, flood damage potential, maintenance problems and environmental impacts.

### 861.7 Coordination with Other Agencies

There are many Federal, State and local agencies and private entities engaged in water related planning, construction and regulation activities whose interests can affect the design of some

highway drainage channels (e.g., flood control channels described under Index 861.2(1)). Such agencies may request the channel design satisfy additional and perhaps governing design criteria. Early coordination with these agencies may help avoid delays in the project development process and post-project conflicts. Early coordination may also reveal opportunities for cooperative projects which may benefit both Caltrans and the water resources agency. For information on cooperative agreements refer to Index 803.2.

### 861.8 Environment

Many of the same principles involved in sound highway construction and maintenance of open channels parallel environmental considerations. Environmental problems can arise if riparian species inhabit the channel. Erosion, sedimentation, water quality, and aesthetics should be of prime concern to the highway design engineer. Refer to Index 110.2 and the Project Planning and Design Guide for discussion on control of water pollution.

### 861.9 Unlined Channels

Whenever feasible, roadside channels should be designed with natural bottoms. Use linings only when warranted.

Refer to Table 865.2 for typical permitted shear stress and velocity for bare soil and vegetation.

### 861.10 Lined Channels

The main purposes of channel linings are:

- (a) To prevent erosion damage.
- (b) To increase velocity for prevention of excessive sedimentation
- (c) To increase capacity.

See Topic 865 for design concepts.

### 861.11 Water Quality Channels

Biofiltration swales are vegetated channels, typically configured as trapezoidal or v-shaped channels (trapezoidal recommended where feasible) that receive and convey stormwater flows while meeting water quality criteria and other flow criteria independent of Chapter 860. Pollutants are removed by filtration through the vegetation, sedimentation, absorption to soil particles, and infiltration through the soil. Strips and swales are

effective at trapping litter, total suspended solids (soil particles), and particulate metals. In most cases, flow attenuation is also provided.

Refer to Appendix B, Table B-1 of the Project Planning and Design Guide for a summary of preliminary design factors for biofiltration strips and swales:

<http://www.dot.ca.gov/hq/oppd/stormwtr/ppdg/swdr2012/PPDG-May-2012.pdf>

See HDM Table 816.6A and Index 865.5 for Manning's roughness coefficients used for travel time calculations for the rational formula based on water quality flow (WQF) to check swale performance against biofiltration criteria at WQF, i.e., a Hydraulic Residence Time of 5 minutes or more; a maximum velocity of 1.0 ft/s; and a maximum depth of flow of 0.5 ft. See Bio-Strips and Bio-Swales under Biofiltration Design Guidance at:

[http://www.dot.ca.gov/hq/oppd/storm1/caltrans\\_20090729.html](http://www.dot.ca.gov/hq/oppd/storm1/caltrans_20090729.html)

### 861.12 References

More complete information on hydraulic principles and engineering techniques of open channel design may be found in FHWA's Hydraulic Design Series No. 3, "Design Charts for Open Channel Flow", Hydraulic Design Series No. 4, "Introduction to Highway Hydraulics", Hydraulic Engineering Circular No. 15 (HEC No. 15), "Design of Roadway Channels with Flexible Linings" and Hydraulic Engineering Circular No. 22 (HEC No. 22), Chapter 5, "Urban Drainage Design Manual – Roadside and Median Channels". For a general textbook discussion of open channel hydraulics, reference is made to "Open-Channel Hydraulics" by Ven Te Chow. In addition, many helpful design aids are included in "Handbook of Hydraulics", by Brater and King.

## Topic 862 - Roadside Drainage Channel Location

### 862.1 General

Assuming adequate functional design, the next most important design consideration is channel location. Locations that avoid poorly drained areas, unstable soil conditions, and frequently flooded areas can

greatly reduce drainage related problems. Refer to Index 110.4 for discussion on wetlands protection.

Typically drainage and open channel considerations are not considered the primary decision factors in the roadway location; however they are factors which will often directly or indirectly affect many other considerations. Often minor alignment adjustments can avoid serious drainage problems.

If a channel can be located far enough away from the highway, the concerns of traffic safety and aesthetics can be significantly mitigated. See Figure 862.1. The cost of additional right of way may be offset somewhat by the reduced cost of erosion control, traffic protection, and landscaping.

**Figure 862.1**  
**Small-Rock Lined Channel**  
**Outside of Clear Recovery Zone**



### 862.2 Alignment and Grade

Ordinarily, the highway drainage channel must be located where it will best serve its intended purpose, using the grade and alignment obtainable at the site. Insofar as practicable, abrupt changes in alignment and grade should be avoided. A sharp change in alignment presents a point of attack for flowing water, and abrupt changes in grade can result in possible scour when the grade is steepened or deposition of transported material when the grade is flattened.

Ideally, a drainage channel should have flow velocities that neither erode nor cause deposition in the channel. This optimum velocity is dependent on the size and slope of channel, the quantity of

flowing water, the material used to line the channel, the nature of the bedding soil and the sediment being transported by the flow. Refer to Table 865.2 for recommended permissible flow velocities in unlined channels.

Realignment considerations for channels within the right of way are discussed in Index 867, Channel Changes.

### 862.3 Point of Discharge

The point of discharge into a natural watercourse requires special attention. Water entering a natural watercourse from a highway drainage channel should not cause eddies with attendant scour of the natural watercourse. In erodible embankment soils, if the flow line of the drainage channel is appreciably higher than that of the watercourse at the point of discharge, then the use of a spillway may be advisable to prevent erosion of the channel.

## Topic 863 - Channel Section

### 863.1 Roadside and Median Channels

Roadside and median channels are open-channel systems which collect and convey stormwater from the pavement surface, roadside, and median areas. These channels may outlet to a storm drain piping system via a drop inlet (see Figure 861.2), to a detention or retention basin or other storage component, or to an outfall channel. Roadside and median channels are normally triangular or trapezoidal in cross section and are lined with grass or other protective lining.

Reference is made to the FHWA publication HEC No. 22, Chapter 5.

The shape of a channel section is generally determined by considering the intended purpose, terrain, flow velocity and quantity of flow to be conveyed.

### 863.2 Triangular

The triangular channel or V-ditch is intended primarily for low flow conditions such as in median and roadside ditches. V-shaped ditches are susceptible to erosion and will require lining when shear stress and velocity exceed the values given for bare soil in Table 865.2. It is good practice to round the bottom of a V-ditch. See Figure 862.1 and Figure 863.1.

**Figure 863.1**  
**Small-Rock Lined Triangular**  
**Channel with Rounded Bottom**



### 863.3 Trapezoidal

The most common channel shapes is the trapezoidal section.

Trapezoidal channels are easily constructed by machinery and are often the most economical.

When a wide trapezoidal section is proposed, both traffic safety and aesthetics can be improved by rounding all angles of the channel cross section with vertical curves. The approximate length of these vertical curves can be determined by the formula:

$$L = \frac{40}{X}$$

where:

L = Length of vertical curve in feet

X = Horizontal component of side slopes expressed as x, y coordinates with y = 1

For narrow channels, L, is limited to the bottom width.

### 863.4 Rectangular

Rectangular channels are used to convey large flows in areas with limited right of way. At some locations, guardrail or other types of positive traffic barrier may be necessary between the traveled way and the channel.

Though rectangular channels are relatively expensive to construct, since the walls must be designed as earth retaining structures, the construction costs can be somewhat offset by the reduced costs associated with right of way, materials, and channel excavation. See Index 865.2 for the design of concrete lined flood control channels.

## Topic 864 - Channel Stability Design Concepts

### 864.1 General

The gradient of roadside channels typically parallels the grade of the highway. Even at relatively mild highway grades, highly erosive hydraulic conditions can exist in adjacent roadside channels. Consequently, designing a stable conveyance becomes a critical component in the design of roadside channels.

The need for erosion prevention is not limited to the highway drainage channels; it extends throughout the right-of-way and is an essential feature of adequate drainage design. Erosion and maintenance are minimized largely by the use of flat side slopes rounded and blended with natural terrain, drainage channels designed with due regard to location, width, depth, slopes, alignment, and protective treatment, proper facilities for groundwater interception, dikes, berms, and other protective devices, and protective ground covers and planting.

### 864.2 Stable Channel Design Procedure

For most highway drainage channels bed and side slope instability cannot be tolerated and stable channel design must be based on the concepts of static equilibrium, including the use of a lining material if necessary. The permissible tractive force (shear stress) procedure requires that the shear stresses on the channel bottom and sides do not exceed the allowable amounts for the given channel boundary. Based on the actual physical processes involved in maintaining a stable channel, specifically the stresses developed at the interface between flowing water and materials forming the channel boundary, the tractive force procedure is a more realistic model and was adopted as the preferred design procedure for HEC No. 15, which is the primary reference for stable channel design.

The maximum shear stress along the channel bottom may be estimated by the following equation:

$$\tau_d = \gamma d S$$

where:

$\tau_d$  = Shear stress in channel at maximum depth, lb/ft<sup>2</sup>

$\gamma$  = Specific weight of water

$d$  = Maximum depth of flow in channel for the design discharge, ft

$S$  = Slope of channel, ft/ft

When the permissible shear stress is greater than or equal to the computed shear stress, the lining is considered acceptable:

$$\tau_p \geq SF \tau_d$$

where:

$\tau_p$  = Permissible shear stress for the channel lining, lb/ft

SF = Safety factor

The safety factor provides for a measure of uncertainty, as well as a means for the designer to reflect a lower tolerance for failure by choosing a higher safety factor. A safety factor of 1.0 is appropriate in many cases and may be considered the default. However, safety factors from 1.0 to 1.5 may be appropriate, subject to the designer's discretion, where one or more of the following conditions may exist:

- (a) critical or supercritical flows are expected
- (b) climatic regions where vegetation may be uneven or slow to establish
- (c) significant uncertainty regarding the design discharge
- (d) consequences of failure are high

The relationship between permissible shear stress and permissible velocity for a lining can be found by substituting the equation for maximum shear stress and continuity equation into Manning's equation:

$$V_p = \frac{\alpha}{n\sqrt{\gamma d}} R^{1/6} \tau_p^{1/2}$$

where:

$V_p$  = Permissible velocity, ft/s

$\tau_p$  = Permissible shear stress, lb/ft<sup>2</sup>

$\alpha$  = Unit conversion constant, 1.49

As a guide, Table 865.2 provides typical values of permissible velocity and permissible shear stress for selected lining types.

The basic procedure for designing a flexible lining consists of the following steps.

Step 1. Determine a design discharge,  $Q$ , and select the channel slope and channel shape.

Step 2. Select a trial lining type. Initially, the Engineer may need to determine if a long-term lining is needed and whether or not a temporary or transitional lining is required. For determining the latter, the trial lining type could be chosen as the native material (unlined), typically bare soil. For example, it may be determined that the bare soil is insufficient for a long-term solution, but vegetation is a good solution. For the transitional period between construction and vegetative establishment, analysis of the bare soil will determine if a temporary lining is prudent. Per Index 865.1, District Landscape should be consulted to provide feasible long-term vegetation recommendations. The Engineer and the Landscape Architect should discuss the compatibility of any living materials (temporary, transitional or permanent) with the proposed lining material and verify impacts to conveyance before the Engineer finalizes the design.

Step 3. Estimate the depth of flow,  $d_i$  in the channel and compute the hydraulic radius,  $R$ . The estimated depth may be based on physical limits of the channel, but this first estimate is essentially a guess. Iterations on Steps 3 through 5 may be required.

Step 4. Estimate Manning's  $n$  and the discharge implied by the estimated  $n$  and flow depth values. Calculate the discharge ( $Q_i$ ).

Step 5. Compare  $Q_i$  with  $Q$ . If  $Q_i$  is within 5 percent of the design,  $Q$ , then proceed on to Step 6. If not, return to Step 3 and select a new estimated flow depth,  $d_{i+1}$ . This can be estimated from the following equation or any other appropriate method.

$$d_{i+1} = d_i \left( \frac{Q}{Q_i} \right)^{0.4}$$

Step 6. Calculate the shear stress at maximum depth,  $\tau_d$ , determine the permissible shear stress,  $\tau_p$ , according to the methods described in HEC No. 15 and select an appropriate safety factor (i.e., 1 to 1.5).

Step 7. Compare the permissible shear stress to the calculated shear stress from Step 6 using:

$$\tau_p \geq SF\tau_d$$

If the permissible shear stress is adequate then the lining is acceptable. If the permissible shear is inadequate, then return to Step 2 and select an alternative lining type with greater permissible shear stress from Table 865.2. As an alternative, a different channel shape may be selected that results in a lower depth of flow. The selected lining is stable and the design process is complete. Other linings may be tested, if desired, before specifying the preferred lining.

Direct solutions for Manning's equation for many channels of trapezoidal, rectangular, triangular and circular cross sections can be found within the Channel Analysis subcomponent FHWA's Hydraulic Toolbox software program.

### 864.3 Side Slope Stability

Shear stress is generally reduced on the channel sides compared with the channel bottom. The maximum shear on the side of a channel is given by the following equation:

$$\tau_s = K_1 \tau_d$$

where:

$\tau_s$  = Side shear stress on the channel, lb/ft<sup>2</sup>

$K_1$  = Ratio of channel side to bottom shear stress

$\tau_d$  = Shear stress in channel at maximum depth, lb/ft<sup>2</sup>

The value  $K_1$  depends on the size and shape of the channel. For parabolic or V-shape with rounded bottom channels there is no sharp discontinuity along the wetted perimeter and therefore it can be assumed that shear stress at any point on the side slope is related to the depth at that point using the shear stress equation from Index 864.2:

$$\tau_d = \gamma d S$$

For trapezoidal and triangular channels, the following  $K_1$  values may be applied:

$$K_1 = 0.77 \quad Z \leq 1.5$$

$$K_1 = 0.066Z + 0.67 \quad 1.5 < Z < 5$$

$$K_1 = 1.0 \quad 5 \leq Z$$

The  $Z$  value represents the horizontal dimension 1:Z (V:H). Use of side slopes steeper than 1:3 (V:H) is not encouraged for flexible linings because of the potential for erosion of the side slopes. Steep side slopes are allowable within a channel if cohesive soil conditions exist. Channels with steep slopes should not be allowed if the channel is constructed in non-cohesive soils.

For channels lined with gravel or small-rock slope protection, the maximum suggested side slope is 1 V : 3 H, and flatter slopes are encouraged. If steeper side slopes are required, see Chapter 6 of HEC No. 15 for design procedures.

## Topic 865 - Channel Linings

### 865.1 Flexible Verses Rigid

Lining materials may be classified as flexible or rigid. Flexible linings are able to conform to changes in channel shape and can sustain such changes while maintaining the overall integrity of the channel. In contrast, rigid linings cannot change shape and tend to fail when a portion of the channel lining is damaged. Channel shape may change due to frost-heave, slumping, piping, etc. Typical flexible lining materials include grass or small-rock slope protection, while typical rigid lining materials include hot mixed asphalt or Portland cement concrete. Flexible linings are generally less expensive, may have a more natural appearance, permit infiltration and exfiltration and are typically more environmentally acceptable. Vegetative channel lining is also recognized as a best management practice for storm water quality design in highway drainage systems. A vegetated channel helps to deposit highway runoff contaminants (particularly suspended sediments) before they leave the highway right of way and enter streams. See Index 861.11 'Water Quality Channels' and Figure 865.1.

On steep slopes, most vegetated flexible linings are limited in the erosive forces they can sustain without damage to the channel and lining unless the vegetative lining is combined with another more erosion-resistant long-term lining below, such as a cellular soil confinement system. See Figure 865.1 and Index 865.3(1). The District Landscape Architect should be contacted to provide viable vegetation alternatives within the District, however all design responsibilities belong to the Project Engineer.

**Figure 865.1  
Steep-Sloped Channel with  
Composite Vegetative Lining**



Vegetative flexible lining placed on top of cellular soil confinement system on a steep-sloped channel.

**865.2 Rigid**

A rigid lining can typically provide higher capacity and greater erosion resistance and in some cases may be the only feasible alternative.

Rigid linings are useful in flow zones where high shear stress or non-uniform flow conditions exist, such as at transitions in channel shape or at an energy dissipation structure.

The most commonly used types of rigid lining are hot mixed asphalt and Portland cement concrete. Hot mixed asphalt is used mainly for small ditches, gutters and overside drains (see Standard Plan D87D) because it cannot withstand hydrostatic pressure from the outside.

Table 865.1 provides a guide for Portland cement concrete and air blown mortar roadside channel linings. See photo below Table 865.1 for example.

For the design of concrete lined flood control channels discussed in Index 861.2 (1), see U.S. Army Corps of Engineers publication; “Structural Design of Concrete Lined Flood Control Channels”, EM 1110-2-2007:

<http://planning.usace.army.mil/toolbox/library/EMs/em1110.2.2007.pdf>

**Table 865.1  
Concrete<sup>(2)</sup> Channel Linings**

Abrasion Level <sup>(1)</sup>	Thickness of Lining (in)		Minimum Reinforcement
	Sides	Bottom	
1 - 3	5	5	6 x 6- W2.9 x W2.9 welded wire fabric

NOTES:

(1) See Table 855.2A.

(2) Portland Cement Concrete or Air Blown Mortar

**Figure 865.2  
Concrete Lined Channel**



For large flows, consideration should be given to using a minimum bottom width of 12 feet for construction and maintenance purposes, but depths of flow less than one foot are not recommended.

Despite the non-erodible nature of rigid linings, they are susceptible to failure from foundation instability and abrasion. The major cause of failure is undermining that can occur in a number of ways.

### 865.3 Flexible

Flexible linings can be long-term, transitional or temporary. Long-term flexible linings are used where the channel requires protection against erosion for the design service life of the channel. Per Index 861.12, more complete information on hydraulic principles and engineering techniques of flexible channel lining design may be found in HEC No. 15 and Chapter 5 of HEC No. 22.

Flexible linings act to reduce the shear stress on the underlying soil surface. Therefore, the erodibility of the underlying soil is a key factor in the performance of flexible linings. Erodibility of non-cohesive soils (plasticity index less than 10) is mainly due to particle size, while cohesive soil erodibility is a function of cohesive strength and soil density. Vegetative and rolled erosion control product lining performance relates to how well they protect the underlying soil from shear stress, and so these lining types do not have permissible shear stresses independent of soil type. The soil plasticity index should be included in the Materials or Geotechnical Design Report.

In general, when a lining is needed, the lowest cost lining that affords satisfactory protection should be used. This may include vegetation used alone or in combination with other types of linings. Thus, a channel might be grass-lined on the flatter slopes and lined with more resistant material on the steeper slopes. In cross section, the channel might be lined with a highly resistant material (e.g., cellular soil confinement system – see Index 865.3(1) *Long Term*) within the depth required to carry floods occurring frequently and lined with grass above that depth for protection from the rare floods.

(1) *Long Term*. Long-term lining materials include vegetation, rock slope protection, gabions (wire-enclosed rock), and turf reinforcement mats with enhanced UV stability. Standard Specification Section 72-4 includes specifications for constructing small-rock slope protection for gutters, ditches or channels and includes excavating and backfilling the footing trench, placing RSP fabric and placing small

rocks (cobble, gravel, crushed gravel, crushed rock, or any combination of these) on the slope. Where the channel design includes a requirement for runoff infiltration to address stormwater needs, the designer may need to consider installation of a granular filter in lieu of RSP fabric if it is anticipated that the RSP fabric would become clogged with sediment. See following link to HEC No. 23, Volume 2, Design Guideline 16, Index 16.2.1, for information on designing a granular filter:

<http://www.fhwa.dot.gov/engineering/hydraulics/pubs/09112/page16.cfm>

Standard Specification Section 72-16 includes specifications for constructing gabion structures. Gabions consist of wire mesh baskets that are placed and then filled with rock. Gabion basket wires are susceptible to corrosion and are most appropriate for use as a channel lining where corrosion potential is minimized, such as desert or other arid locations.

Cellular soil confinement systems may be used as an alternative for steep channels with a variety of infills available including soil and gravel. Soil confinement systems consist of sheet polyethylene spot welded to form a system of individual confinement cells. See Figure 865.3.

**Figure 865.3**  
**Long-Term Flexible Lining**



Placing a polyethylene cellular soil confinement system on a steep-sloped channel.

Per Index 865.1, these systems may be combined with other vegetated flexible linings, e.g., turf reinforcement mats.

- (2) *Transitional*. Transitional flexible linings are used to provide erosion protection until a long-term lining, such as grass, can be established. For mild slopes, these may include jute netting (depending on environmental, i.e., wildlife, parameters) or turf reinforcement. Turf reinforcement can serve either a transitional or long-term function by providing additional structure to the soil/vegetation matrix. Typical turf reinforcement materials include gravel/soil mixes and turf reinforcement mats (TRM's). A TRM is a non-degradable rolled erosion control product (RECP) processed into a three-dimensional matrix. For examples see following link:

<http://www.dot.ca.gov/hq/LandArch/ec/recp/trm.htm>

The design for transitional products should be based on a flood event with an exceedance probability at least equal to the expected product service life (i.e., 12 to 36 months).

- (3) *Temporary*. Temporary channel linings are used without vegetation to line channels that might be part of a construction site or some other short-term channel situation.

Standard Specification Section 21-1 was developed primarily to address slope erosion products, however, it includes specifications for constructing turf reinforcing mats, netting and rolled erosion control products (RECP's – see Index 865.6) which may also be applied to channels as temporary and transitional linings. See Index 865.1 for coordinating vegetative recommendation with District Landscape Architecture.

#### 865.4 Composite Lining Design

The procedure for composite lining design is based on the stable channel design procedure presented in Index 864.2 with additional sub-steps to account for the two lining types. Specifically, the modifications are:

Step 1. Determine design discharge and select channel slope and shape. (No change.)

Step 2. Need to select both a low flow and side slope lining. (See Table 866.3A.)

Step 3. Estimate the depth of flow in the channel and compute the hydraulic radius. (No change.)

Step 4. After determining the Manning's n for the low flow and side slope linings, calculate the effective Manning's n:

$$n_e = \left[ \frac{P_L}{P} + \left( 1 - \frac{P_L}{P} \right) \left( \frac{n_s}{n_L} \right)^{3/2} \right]^{2/3} n_L$$

where:

$n_e$  = Effective Manning's n value for the composite channel

$P_L$  = Low flow lining perimeter, ft

$P$  = Total flow perimeter, ft

$n_s$  = Manning's n value for the side slope lining

$n_L$  = Manning's n value for the low flow lining

Step 5. Compare implied discharge and design discharge. (No change.)

Step 6. Determine the shear stress at maximum depth,  $\tau_d$  ( $\tau_d = \gamma dS$ ), and the shear stress on the channel side slope,  $\tau_s$  (see Index 864.2).

Step 7. Compare the shear stresses,  $\tau_d$  and  $\tau_s$ , to the permissible shear stress,  $\tau_p$ , for each of the channel linings. If  $\tau_d$  or  $\tau_s$  is greater than the  $\tau_p$  for the respective lining, a different combination of linings should be evaluated. See Table 865.2.

#### 865.5 Bare Soil Design and Grass Lining

Per Index 865.1, the District Landscape Architect should be contacted to recommend vegetation alternatives (including vegetation for transitional products, if needed) and the same procedure for the stable channel design procedure presented in Index 864.2 should be followed by the Project Engineer. See Figure 865.4 for grass lining example in a median channel. For slope stability when constructing embankment (4:1 and steeper), 85-90% relative compaction is desired. Although not optimal for best plant growth, compaction of up to 90% is not a major constraint for grass establishment. Prior to seeding, scarification to a depth of 1 inch of the compacted soil surface is recommended for improving initial runoff absorption and ensuring the seed is incorporated

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into the soil. A temporary degradable erosion control blanket (ECB) (e.g., single net straw) can then be installed on top.

The permissible shear stress for the vegetation is based on the design flood (Table 831.3). If the calculated shear for any given vegetation method is inadequate, then an alternative vegetation type with greater shear stress must be selected and/or a different channel shape may be selected that results in a lower depth of flow.

**Figure 865.4**  
**Grass-Lined Median Channel**



The permissible shear stress for rolled erosion control products should be based on a flood event with an exceedance probability no less than the expected product service life (i.e., 12 to 36 months). The maximum shear stresses for channel applications shown in Erosion Control Technology Council Rolled Erosion Control Products Specification Chart must be lower than the permissible shear stresses indicated in Table 865.2. See: <http://www.ectc.org/specifications.asp>

The Manning's roughness coefficient for grass linings varies depending on grass properties and shear stress given that the roughness changes as the grass stems bend under flow. The equation describing the  $n$  value for grass linings is:

$$n = \alpha C_n \tau_0^{-0.4}$$

where:

$\tau_0$  = Average boundary shear stress, lb/ft<sup>2</sup>

$\alpha$  = Unit conversion constant, 0.213

$C_n$  = Grass roughness coefficient (use 0.20 or Tables 4.3 and 4.4 from HEC-15)

The remaining shear at the soil surface is termed the effective shear stress. When the effective shear stress is less than the allowable shear for the soil surface, then erosion of the soil surface will be controlled. The effective shear at the soil surface is given by the following equation.

$$\tau_e = \tau_d (1 - C_f) \left( \frac{n_s}{n} \right)^2$$

where:

$\tau_e$  = Effective shear stress on the soil surface, lb/ft<sup>2</sup>

$\tau_d$  = Design shear stress, lb/ft<sup>2</sup>

$C_f$  = Grass cover factor (use 0.6 to 0.8 or Table 4.5 from HEC-15)

$n_s$  = Soil grain roughness

$n$  = Overall lining roughness

The soil grain roughness,  $n_s$ , is 0.016 when  $D_{75} < 0.05$  in. For larger grained soils the soil grain roughness is

$$n_s = \alpha (D_{75})^{1/6}$$

where:

$n_s$  = Soil grain roughness ( $D_{75} > 1.3$  (0.05 in))

$D_{75}$  = Soil size where 75 percent of the material is finer, in

$\alpha$  = Unit conversion constant, 0.026

The permissible soil shear stress for fine-grained, non-cohesive soils ( $D_{75} < 0.05$  in. is relatively constant and is conservatively estimated at 0.02 lb/ft<sup>2</sup>. For coarse grained, non-cohesive soils (0.05 in.  $< D_{75} < 2$  in.) the following equation applies.

$$\tau_{p,soil} = \alpha D_{75}$$

where:

$\tau_{p,soil}$  = Permissible soil shear stress, lb/ft<sup>2</sup>

$D_{75}$  = Soil size where 75 percent of the material is finer, in

$\alpha$  = Unit conversion constant, 0.4

**Table 865.2<sup>(2)</sup>**  
**Permissible Shear and Velocity for Selected Lining Materials**

Boundary Category	Boundary Type	Permissible Shear Stress (lb/ft <sup>2</sup> )	Permissible Velocity (ft/s)
Soils <sup>(1)</sup>	Fine colloidal sand	0.03	1.5
	Sandy loam (noncolloidal)	0.04	1.75
	Clayey sands (cohesive, $PI \geq 10$ )	0.095	2.6
	Inorganic silts (cohesive, $PI \geq 10$ )	0.11	2.7
	Silty Sands (cohesive, $PI \geq 10$ )	0.072	2.4
	Alluvial silt (noncolloidal)	0.05	2
	Silty loam (noncolloidal)	0.05	2.25
	Finer than course sand - $D_{75} < 0.05$ in. (non-cohesive)	0.02	1.3
	Firm loam	0.075	2.5
	Fine gravels	0.075	2.5
	Fine gravel (non-cohesive, $D_{75} = 0.3$ in, $PI < 10$ )	0.12	2.8
	Gravel ( $D_{75} = 0.6$ in) (non-cohesive, $D_{75} = 0.6$ in, $PI < 10$ )	0.24	3.7
	Inorganic clays (cohesive, $PI \geq 20$ )	0.14	2.9
	Stiff clay	0.25	4.5
	Alluvial silt (colloidal)	0.25	3.75
	Graded loam to cobbles	0.38	3.75
	Graded silts to cobbles	0.43	4
Shales and hardpan	0.67	6	
Vegetation	Class A turf (Table 4.1, HEC No. 15)	3.7	8
	Class B turf (Table 4.1, HEC No. 15)	2.1	7
	Class C turf (Table 4.1, HEC No. 15)	1.0	3.5
	Long native grasses	1.7	6
	Short native and bunch grass	0.95	4

**Table 865.2<sup>(2)</sup> (con't.)**  
**Permissible Shear and Velocity for Selected Lining Materials**

Boundary Category	Boundary Type	Permissible Shear Stress (lb/ft <sup>2</sup> )	Permissible Velocity (ft/s)
Rolled Erosion Control Products (RECPs)			
Temporary Degradable Erosion Control Blankets (ECBs)	Single net straw	1.65	3
	Double net coconut/straw blend	1.75	6
	Double net shredded wood	1.75	6
Open Weave Textile (OWT)	Jute	0.45	2.5
	Coconut fiber	2.25	4
	Vegetated coconut fiber	8	9.5
	Straw with net	1.65	3
Non Degradable Turf Reinforcement Mats (TRMs)	Unvegetated	3	7
	Partially established	6.0	12
	Fully vegetated	8.00	12
Rock Slope Protection, Cellular Confinement and Concrete			
Rock Slope Protection	Small-Rock Slope Protection (4-inch Thick Layer)	0.8	6
	Small-Rock Slope Protection (7-inch Thick Layer)	2	8
	No. 2	2.5	10
	Facing	5	12
Gabions	Gabions	6.3	12
Cellular Confinement: Vegetated infill	71 in <sup>2</sup> cell and TRM	11.6	12
Cellular Confinement: Aggregate Infill	1.14 - in. D <sub>50</sub> (45 in <sup>2</sup> cell)	6.9	12
	3.5" D <sub>50</sub> (45 in <sup>2</sup> cell)	15.1	11.5
	1.14" D <sub>50</sub> (71 in <sup>2</sup> cell)	13.2	12
	3.5" D <sub>50</sub> (71 in <sup>2</sup> cell)	18	11.7
	1.14" D <sub>50</sub> (187 in <sup>2</sup> cell)	10.92	12
	3.5" D <sub>50</sub> (187 in <sup>2</sup> cell)	10.55	12
Cellular Confinement: Concrete Infill	(71 in <sup>2</sup> cell)	2	12
Hard Surfacing	Concrete	12.5	12

## NOTES:

- (1) PI = Plasticity Index (From Materials or Geotechnical Design Report)
- (2) Some materials listed in Table 856.2 have been laboratory tested at shear stresses/velocities above those shown. For situations that exceed the values listed for roadside channels, contact the District Hydraulic Engineer.

A simplified approach for estimating the permissible shear stress for cohesive soils (based on Equation 4.6 in Chapter 4 of HEC No. 15) is illustrated in Figure 4.1 of Chapter 4 in HEC No. 15. The combined effects of the soil permissible shear stress and the effective shear stress transferred through the vegetative lining results in a permissible shear stress for the given conditions. Table 865.2 provides typical values of permissible shear stress and permissible velocity for cohesive soils and selected lining types. Representative values for different soil, vegetation and lining types are based on the methods found in Chapter 4 of HEC No. 15 while those for gravel, rock gabions and rock slope protection are based on methods found in Chapters 6 and 7 of HEC No. 15. The permissible shear stress values shown for soil confinement systems are based on testing by others, however, the maximum permissible velocity shown in Table 865.2 for all boundary types has been limited to 12 feet per second based on the following assumptions:

- The upper limit of flow rate is 50 cfs
- The longitudinal slope is 10 percent maximum
- The maximum side slope is 2H:1V
- The maximum storm duration is one hour

When the permissible shear stress is greater than or equal to the computed shear stress, the lining is considered acceptable. If the computed velocity exceeds the permissible velocity, or any of the above-listed assumptions are exceeded, contact the District Hydraulic Engineer for support.

### 865.6 Rolled Erosion Control Products

(1) *General.* Manufacturers have developed a variety of rolled erosion control products (RECPs) for erosion protection of channels.

RECPs consist of materials that are stitched or bound into a fabric. Vegetative and RECP lining performance relates to how well they protect the underlying soil from shear stresses so these linings do not have permissible shear stresses independent of soil types. Chapters 4 (vegetation) and 5 (RECPs) of HEC No. 15 describe the methods for analyzing these linings. Standard Specification Section 21-1 was developed primarily to address slope

erosion products, however, the specifications for constructing turf reinforcing mats (TRM's), open weave textiles and erosion control blankets may also be applied to channels as temporary and transitional linings, and some TRM's may be used as permanent linings.

(2) *Non-Hydraulic Design Considerations.* The long-term performance of TRMs has traditionally been evaluated using hydraulic testing performance within controlled flume environments, or laboratory testing of specific parameters, usually conforming to ASTM or other industry standards. In recent years additional important design factors have been identified, from damages due to insect infestation to drainage problems or soil conditions resulting in poor vegetative establishment. Table 5.5 within Chapter 5 of HEC No. 15 provides a detailed TRM protocol checklist.

Six broad categories of stressors or potential damages to RECPs are listed below that can cause decrease in performance, considered as a function of specific properties of these lining materials.

(a) Environmental stress – tensile stresses that exceed the mechanical strength of the material accelerated by other stresses in the exposure environment.

Many manufacturer-reported values for maximum velocity or shear stress are based on short duration testing, however, longer duration flows – hours to days – more closely represent field conditions. Erosive properties of soils change with saturation, vegetation becomes stressed or damaged, and properties of some lining materials change with long periods of inundation or hydraulic stress. The result is that maximum reported shear stress and velocity may overestimate actual field performance of the full range of channel lining materials in the event of longer duration flows (Table 865.2). See Index 865.5 for safety factor discussion.

(b) Mechanical damage – localized damage due to externally applied loads such as debris or machinery, often during

installation but also due to operation and maintenance activities

(c) Oxidation – due to exposure to air and water, a chemical reaction with a specific chemical group in a constituent polymer that leads to damage at a molecular level and changes in physical properties. Other chemical stresses can include acidity, corrosives, salinity, ozone and other air pollutants.

(d) Photo degradation – change in chemical structure due to exposure to UV wavelengths of sunlight, most often occurring during installation, prior to full vegetation establishment or inadequate vegetation establishment and coverage over time.

UV-Resistance per ASTM D-4355 should conform to the following for the specified type of TRM and design life:

- Temporary or transitional TRM – 90% tensile strength retained at 500 hr for the TRM product to be considered up to a 5-year design life.
- Long-term TRM – 90% tensile strength retained at 5,000 hr for the TRM product to be considered up to a 50-year design life.

(e) Temperature instability – changes in appearance, weight, dimension or other properties as a result of low, high, or cyclic temperature exposure.

As TRM or other materials are degrading, the vegetative component of a project is simultaneously becoming established, presumably leading to an overlap in effectiveness of each component. The engineer must carefully evaluate published performance data for specific materials with anticipated degradation, consider specific performance added by vegetative components, and apply a factor of safety in choosing materials that may provide enough strength initially to bridge the gap. Per Index 865.6(1), the District Landscape Architect should be consulted to provide viable long-term and compatible transitional

vegetation recommendations (if required by the designer).

## Topic 866 - Hydraulic Design of Roadside Channels

### 866.1 General

Open channel hydraulic design is of particular importance to highway design because of the interrelationship of channels to most highway drainage facilities.

The hydraulic principles of open channel flow are based on steady state uniform flow conditions, as defined in Index 866.2. Though these conditions are rarely achieved in the field, generally the variation in channel properties is sufficiently small that the use of uniform flow theory will yield sufficiently accurate results for most roadside channels.

### 866.2 Flow Classifications

(1) *Steady vs. Unsteady Flow.* The flow in an open channel can be classified as steady or unsteady. The flow is said to be steady if the depth of flow at a section, for a given discharge, is constant with respect to time. The flow is considered unsteady if the depth of flow varies with respect to time.

(2) *Uniform Flow.* Steady flow can further be classified as uniform or nonuniform. The flow is said to be uniform if the depth of flow and quantity of water are constant at every section of the channel under consideration. Uniform flow can be maintained only when the shape, size, roughness and slope of the channel are constant. Under uniform flow conditions, the depth and mean velocity of flow is said to be normal. Under these conditions the water surface and flowlines will be parallel to the stream bed and a hydrostatic pressure condition will exist, the pressure at a given section will vary linearly with depth.

As previously mentioned, uniform flow conditions are rarely attained in the field, but the error in assuming uniform flow in a channel of fairly constant slope, roughness and cross section is relatively small when compared to the uncertainties of estimating the design discharge.

(3) *Non-uniform Flow.* There are two types of steady state non-uniform flow:

- Gradually varied flow.

Gradually varied flow is described as a steady state flow condition where the depth of water varies gradually over the length of the channel. Under this condition, the streamlines of flow are practically parallel and therefore, the assumption of hydrostatic pressure distribution is valid and uniform flow principles can be used to analyze the flow conditions.

- Rapidly varied flow.

With the rapidly varied flow condition, there is a pronounced curvature of the flow streamlines and the assumption of hydrostatic pressure distribution is no longer valid, even for the continuous flow profile. A number of empirical procedures have been developed to address the various phenomena of rapidly varied flow. For additional discussion on the topic of rapidly varied flow, refer to "Open-Channel Hydraulics" by Chow.

### 866.3 Open Channel Flow Equations

The equations of open channel flow are based on uniform flow conditions. Some of these equations have been derived using basic conservation laws (e.g. conservation of energy) whereas others have been derived using an empirical approach.

(1) *Continuity Equation.* One of the fundamental concepts which must be satisfied in all flow problems is the continuity of flow. The continuity equation states that the mass of fluid per unit time passing every section in a stream of fluid is constant. The continuity equation may be expressed as follows:

$$Q = A_1V_1 = A_2V_2 = \dots = A_nV_n$$

Where Q is the discharge, A is the cross-sectional flow area, and V is the mean flow velocity. This equation is not valid for spatially varied flow, i.e., where flow is entering or leaving along the length of channel under consideration.

(2) *Bernoulli Equation.* Water flowing in an open channel possesses two kinds of energy: (1)

potential energy and (2) kinetic energy. Potential energy is due to the position of the water surface above some datum. Kinetic energy is due to the energy of the moving water. The total energy at a given section as expressed by the Bernoulli equation is equal to:

$$H = z + d + \frac{V^2}{2g}$$

where:

H = Total head, in feet of water

z = Distance above some datum, in feet

d = Depth of flow, in feet

$\frac{V^2}{2g}$  = Velocity head, in feet

g = Acceleration of gravity

= 32.2 feet per second squared

(3) *Energy Equation.* The basic principle used most often in hydraulic analysis is conservation of energy or the energy equation. For uniform flow conditions, the energy equation states that the energy at one section of a channel is equal to the energy at any downstream section plus the intervening energy losses. The energy equation, expressed in terms of the Bernoulli equation, is:

$$z_1 + d_1 + \frac{V_1^2}{2g} = z_2 + d_2 + \frac{V_2^2}{2g} + h_L$$

where:

$h_L$  = Intervening head losses, in feet

(4) *Manning's Equation.* Several equations have been empirically derived for computing the average flow velocity within an open channel. One such equation is the Manning Equation. Assuming uniform and turbulent flow conditions, the mean flow velocity in an open channel can be computed as:

$$V = \frac{1.486}{n} R^{2/3} S^{1/2}$$

where:

V = Mean velocity, in feet per second

n = Manning coefficient of roughness

S = Channel slope, in foot per foot

R = Hydraulic Radius, in feet

= A/WP

where:

A = Cross sectional flow area, in square feet

WP = Wetted perimeter, in feet

Commonly accepted values for Manning's roughness coefficient, n, based on materials and workmanship required in the Standard Specifications, are provided in Table 866.3A. The tabulated values take into account deterioration of the channel lining surface, distortion of the grade line due to unequal settlement, construction joints and normal surface irregularities. These average values should be modified to satisfy any foreseeable abnormal conditions. See Chapters 4 and 6 in HEC No. 15 for Manning's roughness equations for grass linings, RSP, cobble and gravel linings. Refer to Index 861.11 for a discussion of Manning's roughness coefficients for water quality channels.

Direct solutions for Manning's equation for many channels of trapezoidal, rectangular, triangular and circular cross sections can be found within the Channel Analysis subcomponent FHWA's Hydraulic Toolbox software program.

- (5) *Conveyance Equation.* Often it is convenient to group the properties peculiar to the cross section into one term called the conveyance factor, K. The conveyance factor, as expressed by the Manning's equation, is equal to:

$$K = \frac{1.486}{n} AR^{2/3}$$

For the non-pressure, full flow condition, the geometric properties and conveyance of a channel section can be computed. Then for a given channel slope the discharge capacity can be easily determined.

- (6) *Critical Flow.* A useful concept in hydraulic analysis is that of "specific energy". The specific energy at a given section is defined as the total energy, or total head, of the flowing

**Table 866.3A**

**Average Values for Manning's Roughness Coefficient (n)**

Type of Channel	n value
<b>Unlined Channels:</b>	
Clay Loam	0.023
Sand	0.020
Gravel	0.030
Rock	0.040
<b>Lined Channels:</b>	
Portland Cement Concrete	0.014
Air Blown Mortar (troweled)	0.012
Air Blown Mortar (untroweled)	0.016
Air Blown Mortar (roughened)	0.025
Asphalt Concrete	0.016-0.018
Sacked Concrete	0.025
<b>Pavement and Gutters:</b>	
Portland Cement Concrete	0.013-0.015
Hot Mix Asphalt Concrete	0.016-0.018
<b>Depressed Medians:</b>	
Earth (without growth)	0.016 - 0.025
Earth (with growth)	0.050
Gravel ( $d_{50} = 1$ in. flow depth $\leq 6$ in.)	0.040
Gravel ( $d_{50} = 2$ in. flow depth $\leq 6$ in.)	0.056

**NOTES:**

For additional values of n, see HEC No. 15, Tables 2.1 and 2.2, and "Introduction to Highway Hydraulics", Hydraulic Design Series No. 4, FHWA Table 14.

water with respect to the channel bottom. For a channel of small slope;

$$E = d + \frac{V^2}{2g}$$

where:

E = Specific energy, in feet

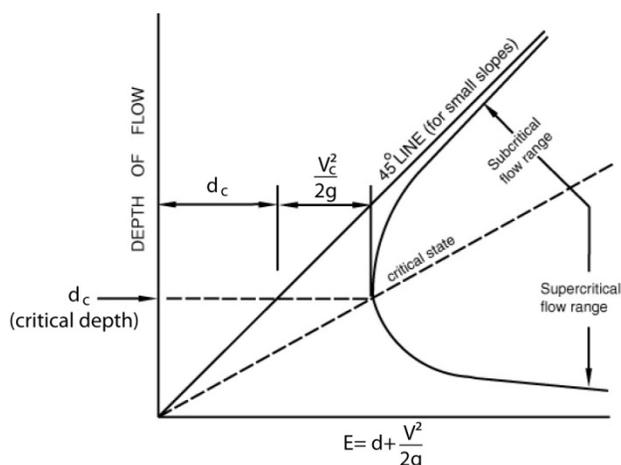
$d$  = Depth of flow, in feet

$\frac{v^2}{2g}$  = Velocity head, in feet

When the depth of flow is plotted against the specific energy, for a given discharge and channel section, the resulting plot is called a specific energy diagram (see Figure 866.3C). The curve shows that for a given specific energy there are two possible depths, a high stage and a low stage. These flow depths are called alternate depths. Starting at the upper right of the curve with a large depth and small velocity, the specific energy decreases with a decrease in depth, reaching a minimum energy content at a depth of flow known as critical depth. A further decrease in flow depth results in a rapid increase in specific energy.

Flow at critical depth is called critical flow. The flow velocity at critical depth is called critical velocity. The channel slope which produces critical depth and critical velocity for a given discharge is the critical slope.

**Figure 866.3C**  
**Specific Energy Diagram**



Uniform flow within approximately 10 percent of critical depth is unstable and should be avoided in design, if possible. The reason for this can be seen by referring to the specific energy diagram. As the flow approaches critical depth from either limb of the curve, a very small change in energy is required for the depth to abruptly change to the alternate depth

on the opposite limb of the specific energy curve. If the unstable flow region cannot be avoided in design, the least favorable type of flow should be assumed for the design.

When the depth of flow is greater than critical depth, the velocity of flow is less than critical velocity for a given discharge and hence, the flow is subcritical. Conversely, when the depth of flow is less than critical depth, the flow is supercritical.

When velocities are supercritical, air entrainment may occur. This produces a bulking effect which increases the depth of flow. For concrete lined channels, the normal depth of flow with bulking can be computed by using a Manning's "n" value of 0.018 instead of the 0.014 value given in Table 866.3A. Air entrainment also causes a reduction in channel friction with a resulting increase in flow velocity. A Manning's "n" value of about 0.008 is recommended for computing the velocity and specific energy of flow in concrete-lined channels carrying supercritical flow

Critical depth is an important hydraulic parameter because it is always a hydraulic control. Hydraulic controls are points along the channel where the water level or depth of flow is limited to a predetermined level or can be computed directly from the quantity of flow. Flow must pass through critical depth in going from subcritical flow to supercritical flow. Typical locations of critical depth are at:

- Abrupt changes in channel slope when a flat (subcritical) slope is sharply increased to a steep (supercritical) slope,
- A channel constriction such as a culvert entrance under some conditions,
- The unsubmerged outlet of a culvert on subcritical slope, discharging into a wide channel or with a free fall at the outlet, and
- The crest of an overflow dam or weir.

Critical depth for a given channel is dependent on the channel geometry and discharge only, and is independent of channel slope and roughness.

When flow occurs at critical depth the following relationship must be satisfied

$$\frac{A^3}{T} = \frac{Q^2}{g}$$

where:

A = Cross sectional area, ft<sup>2</sup>

T = Top width of water surface, ft

Q = Discharge, CFS

g = Acceleration of gravity, 32.2 ft/s<sup>2</sup>

Critical depth formulas, based on the above equation, for various channel cross-sections include:

- Rectangular sections,

$$d_c = \left(\frac{q^2}{g}\right)^{1/3}$$

Where:

q = Flow per unit width, CFS

- Trapezoidal sections. The tables in King's "Handbook of Hydraulics" provide easy solutions for critical depth for channels of varying side slopes and bottom widths.
- Circular sections. The tables in King's "Handbook of Hydraulics" can be used for obtaining easy solutions for critical depth.

(7) *Froude Number*. The Froude number is a useful parameter which uniquely describes open flow. The Froude number is a dimensionless value:

$$Fr = \frac{V}{(gD)^{1/2}}$$

Where:

D = A/T = Hydraulic depth, in feet

Fr < 1.0 ==> Subcritical flow

Fr = 1.0 ==> Critical flow

Fr > 1.0 ==> Supercritical flow

### 866.4 Water Surface Profiles

Depending on the site conditions, accuracy required, and risks involved, a single section

analysis may be sufficient to adequately describe the channel stage discharge relationship. The basic assumptions to a single section analysis are uniform cross section, slope, and Manning's "n" values which are generally applicable to most roadside and median channels. The condition of uniform flow in a channel at a known discharge is computed using the Manning's equation combined with the continuity equation:

$$Q = \frac{1.49}{n} AR^{2/3} S^{1/2}$$

The depth of uniform flow is solved by rearranging Manning's Equation to the form the given below. This equation is solved by trial and error by varying the depth of flow until the left side of the equation is zero:

$$\frac{Qn}{1.49S^{1/2}} - AR^{2/3} = 0$$

Per Index 866.3 (4), direct solutions for Manning's equation for many channels of trapezoidal, rectangular, triangular and circular cross sections can be found within the Channel Analysis subcomponent FHWA's Hydraulic Toolbox software program.

Where uniform flow conditions do not adequately describe the actual flow conditions (e.g., natural channels) or where additional accuracy is desired, the computation of complete water surface profiles for each discharge value may be necessary using detailed backwater analysis methods. Per Index 802.1(4)(g) contact the District Hydraulic Engineer for support.

## Topic 867 - Channel Changes

### 867.1 General

Chapter 860 primarily addresses the design of small man-made open channels called roadside channels (gutters, ditches, swales etc.) that are constructed as part of a highway drainage system. However, both the terms 'open channel' or 'channel' may be applied to any natural or improved watercourse as well as roadside channels. See Index 861.1.

A channel change is any realignment or change in the hydraulic characteristics of an existing channel. Per Index 802.1(4)(g), contact the District Hydraulic Engineer for support.

The main reasons for channel changes to either natural or improved watercourses (flood control channels, irrigation channels etc.) within the right of way are to:

- Permit better drainage
- Improve flow conditions
- Protect the highway from flood damage
- Reduce right of way requirements

The guidelines in Topic 823 (Culvert Location) generally recommend alignment of the thalweg of the stream with the centerline of the culvert, however, for economic reasons, small skews should be eliminated, moderate skews retained and large skews reduced. Road crossings requiring fish passage are strongly encouraged to retain the natural alignment of the stream, regardless of the skew. Alignment of the culvert centerline with the channel approach angle aids debris passage during storm flows and minimizes hydraulic turbulence which may impede fish passage.

Sometimes a channel change may be to its vertical alignment. For example, inverted siphons or sag culverts may be used to carry irrigation channels crossing the right of way via vertical realignment entirely below the hydraulic grade line. However, maintenance concerns include sediment build-up and potential leakage problems with full-flow barrel(s). See Index 829.7(2) and Index 867.2 below.

### 867.2 Design Considerations

Channel changes should be designed with extreme caution and coordinated with District Hydraulics. Careful study of the channel characteristics upstream and downstream as well as within the channel change area is required to achieve a safe and effective design.

Channel changes may result in a decreased surface roughness or increased channel slope. As a result the following may occur:

- Higher velocities which result in damage due to scour
- Sedimentation and meandering at downstream end of channel change

- A flattened downstream gradient which progresses upstream undercutting the channel banks or highway fill
- Flattened downstream gradient or channel restrictions may create undesirable backwater conditions.

A channel change perched above the bottom of an old flood stage stream bed may cause the stream to return to its old channel during a subsequent flood. In addition, the designer should consult with Geotechnical Services to ensure that infiltration through the bank would not be problematic.

## Topic 868 - Freeboard Considerations

### 868.1 General

Freeboard is the extra height of bank above the design depth where overflow is predicted to cause damage. Freeboard allowances will vary with each situation.

### 866.2 Height of Freeboard

- (1) *Straight Alignment.* In channels where overflow may cause substantial damage, a guide for freeboard height for channels on a straight alignment, is provided in Table 868.2

**Table 868.2**

### Guide to Freeboard Height

Shape of Channel	Subcritical Flow	Supercritical Flow
Rectangular	0.1 He	0.20 d
Trapezoidal	0.2 He	0.25 d

where:

He = Energy head, in feet

d = Depth of flow, in feet for a straight alignment

- (2) *Critical Flow.* An unstable zone of flow occurs where the flow is near critical state. This is characterized by random waves. An allowance for waves should be added to the normal depth when the slope of the channel is between 0.7  $S_c$  and 1.3  $S_c$ .

$$H_w = 0.25d_c \left[ 1 - 11.1 \left( \frac{S}{S_c} - 1 \right)^2 \right]$$

where:

$H_w$  = height of wave, in feet

$d_c$  = critical depth, in feet

$S$  = slope of channel, in foot per feet

$S_c$  = critical slope, in foot per feet

(3) *Superelevation.* The height of freeboard discussed above does not provide for superelevation of the water surface on curved alignments.

Flow around a curve will result in a rise of the water surface on the outside of the curve and extra lining is necessary to guard against overtopping.

Additional freeboard is necessary in bends and can be calculated use the following equation:

$$\Delta d = \frac{V^2 T}{g R_c}$$

where:

$\Delta d$  = Additional freeboard required because of superelevation, feet

$V$  = Average channel velocity, ft/s

$T$  = Water surface top width, ft

$G$  = Acceleration due to gravity, ft/s<sup>2</sup>

$R_c$  = Radius of curvature of the bend to the channel centerline, ft

See HEC No. 15, Chapter 3, for shear stress considerations around bends.