



Caltrans[®]

**STATE OF CALIFORNIA
DEPARTMENT OF TRANSPORTATION**

**FORMS FOR
BID**

**FOR CONSTRUCTION ON STATE HIGHWAY IN ORANGE COUNTY IN ORANGE,
ANAHEIM, FULLERTON, PLACENTIA AND BREA FROM 0.2 MILE SOUTH CHAPMAN
AVENUE TO LOS ANGELES COUNTY LINE**

In District 12 On Route 57

Under

***Notice to Bidders and Special Provisions* dated September 21, 2015**

***Standard Specifications* dated 2010**

***Project plans* approved August 31, 2015**

***Standard Plans* dated 2010**

**To be submitted conjointly with
Electronic *Bid* book dated September 21, 2015**

Identified by

Contract No. 12-0L0904

12-Ora-57-11.0/22.5

Project ID 1200020235

Federal-Aid Project

ACNHP-P057(060)E

STATE OF CALIFORNIA • DEPARTMENT OF TRANSPORTATION
DBE - COMMITMENT
 DES-OE-0102.10D (REV 12/2014)

CONTRACT NO: _____

BID AMOUNT: _____

\$ _____

BID OPENING DATE: _____

BIDDER'S NAME: _____

DBE GOAL FROM CONTRACT %: _____

| | | |
|---|--|---|
| DBE PRIME CONTRACTOR CERTIFICATION ¹ : | TOTAL NUMBER OF ALL SUBCONTRACTS (DBE & NON-DBE) | TOTAL VALUE OF ALL SUBCONTRACTS (DBE & NON-DBE) |
|---|--|---|

| BID ITEM NO. | ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ² | WORK CATEGORY CODES ³ | NAME OF DBEs (Must be certified on the date bids are opened. Include Caltrans' certification no., DBE address, and phone number. Show 2nd and lower tier subcontractors) | AMOUNT (\$) |
|--------------|---|----------------------------------|---|-------------|
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Show all DBE firms being claimed for credit, regardless of tier. Attach written confirmation from each DBE shown stating that it will be participating in the contract to perform the specific work shown for the specific amount agreed to.

The names of the 1st tier DBE subcontractors and items of work must be consistent with the Subcontractor List (Pub Cont Code § 4100 et seq.).

¹Each DBE prime contractor must enter its certification number and show all work to be performed by DBEs, including work performed by its own forces.

²If 100% of an item is not to be performed or furnished by the DBE, describe the exact portion of the item to be performed or furnished.

³Use Work Category Codes from the California Unified Certification Program database.

| | |
|------------------------------------|----------|
| Total Claimed Participation | \$ _____ |
| | _____ % |

The bidder acknowledges that it is committed to use the DBEs shown on this form to meet the contract goal (49 CFR 26.53).

Signature of Bidder _____

Date _____ (Area Code) Tel. No. _____

Person to Contact _____ (Please Type or Print)

ADA Notice For individuals with sensory disabilities, this document is available in alternate formats. For information, call (916) 445-1233, TTY 711, or write to Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

2. List the names of certified DBEs and all the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial and follow-up solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, e-mail messages, telephone records, fax confirmations, etc.

| Name of DBE Solicited | Date of Initial Solicitation | Items of Work Offered | Follow Up Methods and Dates |
|-----------------------|------------------------------|-----------------------|-----------------------------|
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3. For each item of work made available, indicate whether the Bidder provided plans and specifications specific to the items of work being offered, list the selected firm and its status, as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE. Provide copies of each DBE and Non-DBE quote submitted to the Bidder whenever a Non-DBE firm was selected over a DBE for work on the Contract.

| Items of Work | Provided Plans/ Specifications for Work Offered Yes/No | Name of Selected Firm | DBE or Non-DBE | Name of Rejected Firm | Quote (\$) | Price Difference (\$) |
|---------------|--|-----------------------|----------------|-----------------------|------------|-----------------------|
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |
| | <input type="checkbox"/> YES <input type="checkbox"/> NO | | | | | |

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above. Provide evidence as to why additional agreements could not be reached for DBEs to perform work.

4. Describe the Bidder's outreach efforts to identify and solicit the interest of all certified DBEs that have the capability to perform the work of the Contract. Provide copies of supporting documents.

| Description of Outreach | Dates | Location (if applicable) | Results |
|-------------------------|-------|--------------------------|---------|
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5. Describe the Bidder's efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the Contract to assist them in responding to a solicitation. Identify the DBEs assisted, the type of information provided, and the date of the contracts. Provide copies of supporting documents.

6. Describe the Bidder's efforts made to assist interested DBEs in obtaining bonding, lines of credit, or insurance. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents.

7. Describe the Bidder's efforts made to assist interested DBEs in obtaining necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE purchases or leases from the prime contractor or its affiliate. Identify the DBEs assisted, the type of assistance offered, and the dates. Provide copies of supporting documents. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting, and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

9. Include additional data to support a demonstration of good faith efforts.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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