

DEPARTMENT OF TRANSPORTATION

DIVISION OF ENGINEERING SERVICES

OFFICE ENGINEER

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*Flex your power!
Be energy efficient!*

September 20, 2012

04-Nap-29-40.9/41.4

04-4S0204

Project ID 0400001199

ACSTP-P029(110)E

Addendum No. 1

Dear Contractor:

This addendum is being issued to the contract for CONSTRUCTION ON STATE HIGHWAY IN NAPA COUNTY NEAR CALISTOGA FROM 1.4 MILES TO 1.9 MILES NORTH OF TUBBS LANE.

Submit bids for this work with the understanding and full consideration of this addendum. The revisions declared in this addendum are an essential part of the contract.

Bids for this work will be opened on Tuesday, October 9, 2012.

This addendum is being issued to revise the Project Plans, the Notice to Bidders and Special Provisions, the Bid book, the Federal Minimum Wages with Modification Number 10 dated September 14, 2012, and provide additional Supplemental Project Information.

Project Plan Sheets 4 and 6 are revised. Copies of the revised sheets are attached for substitution for the like-numbered sheets.

In the Special Provisions, Section 5-1.07, "AREAS FOR CONTRACTOR'S USE," is revised as attached.

In the Special Provisions, Section 5-1.09, "SUPPLEMENTAL PROJECT INFORMATION," the table, Supplemental Project Information, is revised as follows:

Supplemental Project Information

Means	Description
Included in the Information Handout	1. Permits from Department of Fish & Game 2. Foundation Report dated 7/21/11 3. US Fish and Wildlife Service Biological Opinion dated June 1, 2012 4. Conceptual Water Pollution Control Program
Available as specified in the Standard Specifications	Cross sections

In the Special Provisions, Section 5-1.095, "SPECIES PROTECTION," is added as attached.

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In the Special Provisions, Section 5-1.10, "BIRD PROTECTION," is revised as follows:

"5-1.10 BIRD PROTECTION

The Department anticipates nesting or attempted nesting by migratory and nongame birds from February 1 to September 15.

Stop all work within a 100-foot radius of the discovery except as specified in the following table:"

Radii Exceptions	
Species	Work stoppage radii (feet)
Raptors and owls	300

In the Special Provisions, Section 5-1.12, "ENVIRONMENTALLY SENSITIVE AREA," the second paragraph is revised as follows:

"Before start of work, protect the ESA by installing temporary reinforced silt fence."

In the Special Provisions, Section 10-1.01, "ORDER OF WORK," the second, third, fourth, fifth and sixth paragraphs are revised as follows:

"Notify the Engineer 30 days before ground breaking.

The Caltrans biologist will conduct preconstruction surveys for California red-legged frogs prior to the start of work.

Primary vegetation removal of trees and shrubs from the project area must be conducted between September 16 and January 31.

Secondary vegetation removal must be conducted between May 15 and January 31 as part of the retaining wall construction and associated activities. This will include removal of residual stumps and clearing and grubbing to facilitate the construction and slope stabilization.

Retaining walls construction and all other associated activities shall be finished between May 15 and January 31.

All the culvert work in CDFG jurisdiction (PM 41.17 and PM 41.26) shall be performed between June 15 and October 15 only.

Preconstruction shotcrete test panels shall be constructed prior to applying shotcrete to the walls under construction.

Attention is directed to "Environmentally Sensitive Area" and "Temporary Reinforced Silt Fence of these special provisions. Prior to beginning work, the boundaries of the Environmentally Sensitive Areas (ESA) shall be clearly delineated in the field. The boundaries shall be delineated by the installation of temporary reinforced silt fence. "

In the Special Provisions, Section 10-1.03, "CONSTRUCTION SITE MANAGEMENT," subsection, "CONSTRUCTION," sub-subsection, "Waste Management," sub-sub-subsection, "Solid Waste," the second sentence of the first paragraph is revised as follows:

"Pick up and remove litter, trash, and debris from the job site daily."

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In the Special Provisions, Section 10-1.03, "CONSTRUCTION SITE MANAGEMENT," subsection, "CONSTRUCTION," sub-subsection, "Sweeping," the last sentence of the fourth paragraph is revised as follows:

"If stockpiled, dispose of collected material daily under "Waste Management" of these special provisions."

In the Special Provisions, Section 10-1.10, "TEMPORARY REINFORCED SILT FENCE," subsection, "GENERAL," sub-subsection, "Summary," the third paragraph is revised as follows:

"Temporary reinforced silt fence must be Type 1."

In the Special Provisions, Section 10-1.11, "TEMPORARY FENCE (TYPE ESA)," is deleted.

In the Special Provisions, Section 10-1.39, "FIBER ROLLS," subsection, "MATERIALS," sub-subsection, "Fiber Roll," the fourth sentence of item No. 1 is revised as follows:

"The blanket shall have a biodegradable jute, sisal, or coir fiber netting."

In the Bid book, in the "Bid Item List," Item 2 is revised and Item 3 is deleted as attached.

In the Bid book, the forms Small Business Status (Form No. DES-OE-0102.4), Caltrans Bidder - DBE - Commitment (Form No. DES-OE-0102.10B), and Good Faith Efforts Documentation - DBE (Form No. DES-OE-0102.11A) are added as attached.

To Bid book holders:

Replace page 3 of the "Bid Item List" in the Bid book with the attached revised page 3 of the Bid Item List. The revised Bid Item List is to be used in the bid.

Attached is a copy of the Information Handout (Conceptual Water Pollution Control Program).

Inquiries or questions in regard to this addendum must be communicated as a bidder inquiry and must be made as noted in the Notice to Bidders section of the Notice to Bidders and Special Provisions.

Indicate receipt of this addendum by filling in the number of this addendum in the space provided on the signature page of the Bid book.

Submit bids in the Bid book you now possess. Holders who have already mailed their book will be contacted to arrange for the return of their book.

Inform subcontractors and suppliers as necessary.

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This addendum, attachments and the modified wage rates are available for the Contractors' download on the Web site:

http://www.dot.ca.gov/hq/esc/oe/project_ads_addenda/04/04-4S0204

If you are not a Bid book holder, but request a book to bid on this project, you must comply with the requirements of this letter before submitting your bid.

Sincerely,



REBECCA D. HARNAGEL
Chief, Office of Plans, Specifications & Estimates
Office Engineer
Division of Engineering Services

Attachments

5-1.07 AREAS FOR CONTRACTOR'S USE

Attention is directed to the provisions in Section 7-1.19, "Rights in Land and Improvements," of the Standard Specifications and these special provisions.

Areas available for the exclusive use of the Contractor are designated on the plans. Before occupying or doing any work in any designated area, the Contractor must submit to the Engineer for review and approval:

1. Final grading plan
2. Release of Liability
3. Water Pollution Control Plan

Use of the Contractor's work areas and other State-owned property shall be at the Contractor's own risk, and the State shall not be held liable for damage to or loss of materials or equipment located within these areas.

The Contractor shall obtain encroachment permits prior to occupying State-owned parcels outside the contract limits. The required encroachment permits may be obtained from the Department of Transportation, Office of Encroachment Permits:

<http://www.dot.ca.gov/hq/traffops/developserv/permits/>

Residence trailers will not be allowed within the highway right of way, except that one trailer will be allowed for yard security purposes.

The Contractor shall remove equipment, materials, and rubbish from the work areas and other State--owned property which the Contractor occupies. The Contractor shall leave the areas in a presentable condition in conformance with the provisions in Section 4-1.02, "Final Cleaning Up," of the Standard Specifications.

The Contractor shall secure, at the Contractor's own expense, areas required for plant sites, storage of equipment or materials or for other purposes, if sufficient area is not available to the Contractor within the contract limits, or at the sites designated on the plans outside the contract limits.

The Contractor may secure, at the Contractor's own expense, areas instead of or in addition to those designated on the plans for plant sites, storage of equipment or materials or for other purposes. Before occupying or doing any work in an area not designated on the plans, the Contractor must submit to the Engineer for review and approval:

1. A site plan, including site limits and access roads
2. Final property owner agreement
3. Release of Liability
4. Final environmental documentation prepared by appropriately qualified environmental specialists
5. All necessary permits, licenses, agreements and certifications (PLACS)
6. Final grading plan
7. Water Pollution Control Plan

5-1.095 SPECIES PROTECTION

GENERAL

Summary

This work includes protecting regulated species or their habitat.
This project is within or near habitat for regulated species:

California red-legged frog
Northern spotted owl

Comply with Section 14-6.02 of the Standard Specifications.

CONSTRUCTION

Protective Radius

Upon discovery of a regulated species, stop construction activities within a 50-foot radius of the discovery or as defined in the table below. Immediately notify the Engineer. Do not resume activities until receiving written notification from the Engineer.

Regulated Species Name	Protective Radius
California red-legged frog	50 feet
northern spotted owl	300feet

Biological Resource Information

Implement the following Biological Resource Information requirements.

1. Prior to performing any work at the job site, all Contractor and State field personnel must complete a 2 hour maximum U.S. Fish and Wildlife Service Biological Opinion training that communicates details of the permit requirements and the project area wildlife species information. The initial training and any subsequent training, if required, will be provided by the Department’s Biologist at the Engineer’s field office. Upon completion of the program, personnel will sign a form stating that they attended the program. The Contractor must provide this list to the Engineer. The Contractor shall notify the Engineer 10 calendar days before beginning work to coordinate the pre-construction training. No work shall begin until the construction personnel have been trained. Training shall be repeated for all new personnel starting on the job after the initial training, prior to the new personnel entering the job site.

Protection Measures

Within Biological Monitoring Area1 (entire project limits), implement the following protection measures:

1. All construction activities, including vehicle parking, staging, laydown areas, and access roads shall be limited to the project limits as defined by the TRSF/ESA fence as shown on the plans.
2. Do not direct light away from Route 29.
3. All excavated, steep walled holes or trenches more than 1 foot deep must be covered at the close of each workday with plywood or other suitable material or provided with one or more escape ramps constructed of earth fill or wooden planks.
4. Before holes or trenches are filled, they will be thoroughly inspected for trapped animals. If at any time a California red-legged frog is discovered, stop all work and notify the Engineer.
5. If construction activities stop for a period of 5 or more days, the Contactor must notify the Engineer 48 hours prior to re-initiation of the construction activities, so that a new preconstruction survey can be conducted.

MEASUREMENT AND PAYMENT

Full compensation for Species Protection is included in the various contract items of work and no additional compensation will be allowed.

BID ITEM LIST

04-4S0204

Item No.	Item Code	Item Description	Unit of Measure	Estimated Quantity	Unit Price	Item Total
1	070012	PROGRESS SCHEDULE (CRITICAL PATH METHOD)	LS	LUMP SUM	LUMP SUM	
2	071324	TEMPORARY REINFORCED SILT FENCE	LF	3,710		
3	BLANK					
4	074016	CONSTRUCTION SITE MANAGEMENT	LS	LUMP SUM	LUMP SUM	
5	074017	PREPARE WATER POLLUTION CONTROL PROGRAM	LS	LUMP SUM	LUMP SUM	
6	074028	TEMPORARY FIBER ROLL	LF	1,500		
7	074031	TEMPORARY GRAVEL BAG BERM	LF	240		
8	074033	TEMPORARY CONSTRUCTION ENTRANCE	EA	2		
9	074034	TEMPORARY COVER	SQYD	1,500		
10	074035	TEMPORARY CHECK DAM	LF	150		
11	074038	TEMPORARY DRAINAGE INLET PROTECTION	EA	4		
12	074040	TEMPORARY HYDRAULIC MULCH (BONDED FIBER MATRIX)	SQYD	5,500		
13	074041	STREET SWEEPING	LS	LUMP SUM	LUMP SUM	
14	074042	TEMPORARY CONCRETE WASHOUT (PORTABLE)	LS	LUMP SUM	LUMP SUM	
15	120090	CONSTRUCTION AREA SIGNS	LS	LUMP SUM	LUMP SUM	
16	120149	TEMPORARY PAVEMENT MARKING (PAINT)	SQFT	110		
17	120159	TEMPORARY TRAFFIC STRIPE (PAINT)	LF	1,850		
18	120165	CHANNELIZER (SURFACE MOUNTED)	EA	28		
19	128601	TEMPORARY SIGNAL SYSTEM	LS	LUMP SUM	LUMP SUM	
20	128652	PORTABLE CHANGEABLE MESSAGE SIGN (LS)	LS	LUMP SUM	LUMP SUM	

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

CONTRACT NO. _____ - _____

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California? Check one:

Yes: Certification number? _____ No

Note: This small business questionnaire is included for statistical reporting only.

STATE OF CALIFORNIA - DEPARTMENT OF TRANSPORTATION
CALTRANS BIDDER - DBE - COMMITMENT
 DES-OE-0102.10B (NEW 06/2012)

This information may be submitted with your bid proposal. If it is not, and you are the apparent low bidder or the second or third low bidder, it must be submitted and received as specified in Section 2 of the Special Provisions. Failure to submit the required DBE information will be grounds for finding the proposal nonresponsive.

CONTRACT NO:

BID AMOUNT:

\$

BID OPENING DATE:

BIDDER'S NAME:

DBE GOAL FROM CONTRACT, %:

DBE PRIME CONTRACTOR CERTIFICATION¹:

CONTRACT ITEM NO.	ITEM OF WORK AND DESCRIPTION OF SERVICES TO BE SUBCONTRACTED OR MATERIALS TO BE PROVIDED ²	FOR CALTRANS USE ONLY	NAME OF DBEs (Must be certified on the date bids are opened - include Caltrans certification #, DBE address and phone number) (Indicate 2nd and lower tier subcontractors)	DOLLAR AMOUNT DBE ³

IMPORTANT: Identify all DBE firms being claimed for credit, regardless of tier. Names of the First Tier DBE Subcontractors and their respective items(s) of work listed above must be consistent, where applicable, with the names and items of work in the "Subcontractor List" submitted with your bid. Copies of the DBE quotes are required.

1. DBE prime contractors must enter their certification number and indicate all work to be performed by DBEs including work performed by its own forces.
2. If 100% of item is not to be performed or furnished by DBE, describe exact portion of item to be performed or furnished.
3. See Section titled "Disadvantaged Business Enterprises" in Section 2 "Bidding" of the special provisions to determine the credit allowed for DBE firms.

Submit to:

MSC 43
 OFFICE ENGINEER
 DEPARTMENT OF TRANSPORTATION
 1727 30TH STREET
 SACRAMENTO, CA 95816-7005

Total Claimed Participation

\$

%

Signature of Bidder

Date

(Area Code) Tel. No.

Person to Contact

(Please Type or Print)

ADA Notice

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

CONTRACT NO. 04-4S0204
 ADDED PER ADDENDUM NO. 1 DATED SEPTEMBER 20, 2012

GOOD FAITH EFFORTS DOCUMENTATION - DBE

DES-OE-0102.11A (NEW 06/2012)

2. List the names of certified DBEs and the dates on which they were solicited to bid on this project. Include the items of work offered and the dates and methods used for following up initial solicitations to determine with certainty whether the DBEs were interested. Attach copies of solicitations, telephone records, fax confirmations, etc.

Name of DBEs Solicited	Date of Initial Solicitation	Item(s) of Work	Follow Up Methods and Dates

3. For each item of work made available, list the selected firm and its status as a DBE, the DBEs that provided quotes, the price quote for each firm, and the price difference for each DBE if the selected firm is not a DBE.

Item(s) of Work	Name of Selected Firm	DBE or non-DBE	Name of Rejected Firm	Quote (\$)	Price Difference (\$)

If the firm selected for the item is not a DBE, provide the reasons for the selection on a separate sheet and attach names, addresses, and phone numbers for the firms listed above.

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4. List the names and dates of each publication in which a request for DBE participation for this project was placed by the bidder. Attach copies of published advertisements or proofs of publication:

Publications	Dates of Advertisement

5. List the names of agencies and the dates on which they were contacted to provide assistance in contacting, recruiting and using DBE firms. If the agencies were contacted in writing, provide copies of supporting documents.

Name of Agency	Date of Contact	Method of Contact	Results

6. List efforts made to provide interested DBEs with adequate information about the plans, specifications, and requirements of the contract to assist them in responding to a solicitation. Identify the DBE assisted, the information provided, and the date of contact. Provide copies of supporting documents.

7. List efforts made to assist interested DBEs in obtaining bonding, lines of credit, insurance, necessary equipment, supplies, materials, or related assistance or services, excluding supplies and equipment the DBE subcontractor purchases or leases from the prime contractor or its affiliate. Identify the DBE assisted, the assistance offered, and the date. Provide copies of supporting documents.

8. Include additional data to support a demonstration of good faith efforts.

NOTE: USE ADDITIONAL SHEETS OF PAPER IF NECESSARY.

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